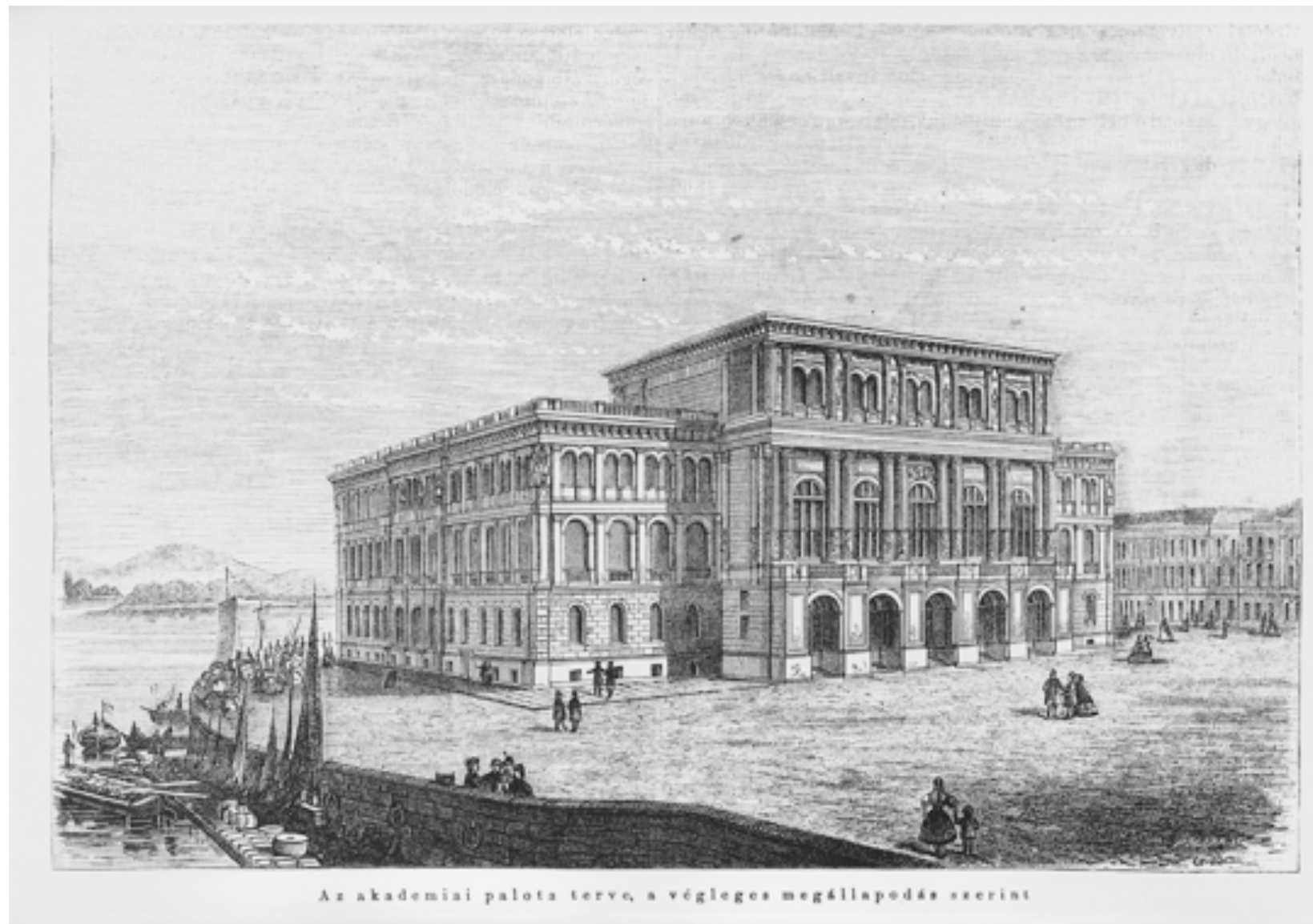




# Particle simulation methods for studies of low-pressure plasma sources I

Zoltán Donkó

Electrical Discharges & Plasma Physics Research Group  
Research Institute for Solid State Physics and Optics  
Hungarian Academy of Sciences, Budapest





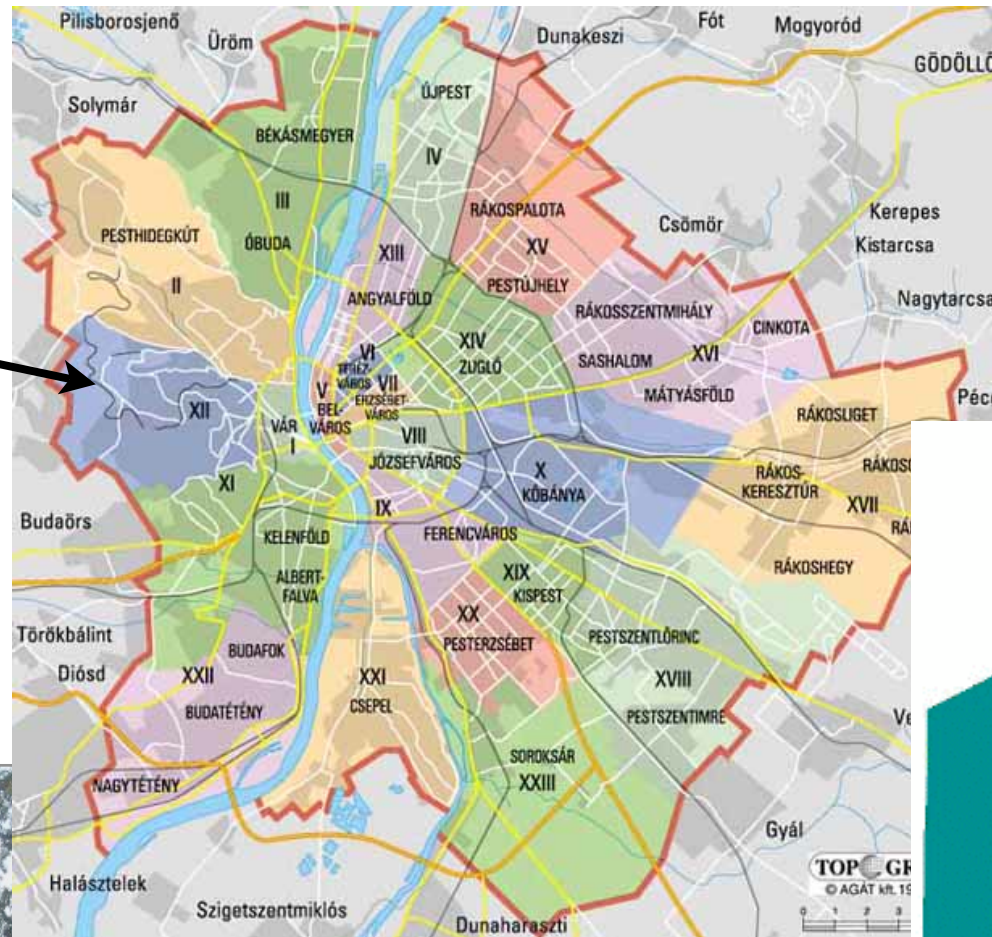
# Budapest / Academy of Sciences







## Research Institute for Solid State Physics and Optics





# Acknowledgments



Research Institute for  
Solid State Physics and Optics



Magyar  
Tudományos  
Akadémia

Hungarian Academy  
of Sciences



M. Jánossy K. Rózsa  
G. J. Kalman K. I. Golden L. Csillag  
L. C. Pitchford A. V. Phelps D. Marić B. Nyíri  
J. Goree M. Bonitz S. Holló  
Z. Lj. Petrović P. Hartmann A. Derzsi J. Schulze G. Malović  
U. Czarnetzki J. Karácsony I. Korolov A. Bogaerts H. R. Skullerud  
J. P. Boeuf D. Loffhagen E. Schüngel G. Bánó L. Szalai A. Gallagher  
K. Kutasi J. Glosik K. Wiesemann F. Gordillo-Vazquez  
M. M. Turner S. Matejčík F. Sigeneger P. Simon L. Tsendin  
M. Piheiro J. Thomán-Forgács P. Horváth N. Sadeghi  
N. Pinhão  
B. Jelenković D. Luggenhölscher J. Tóth  
E. Sárközi Gy. Császár  
.....

Hungarian Scientific  
Research Fund





# Particle simulation methods for studies of low-pressure plasma sources

## Startup thoughts

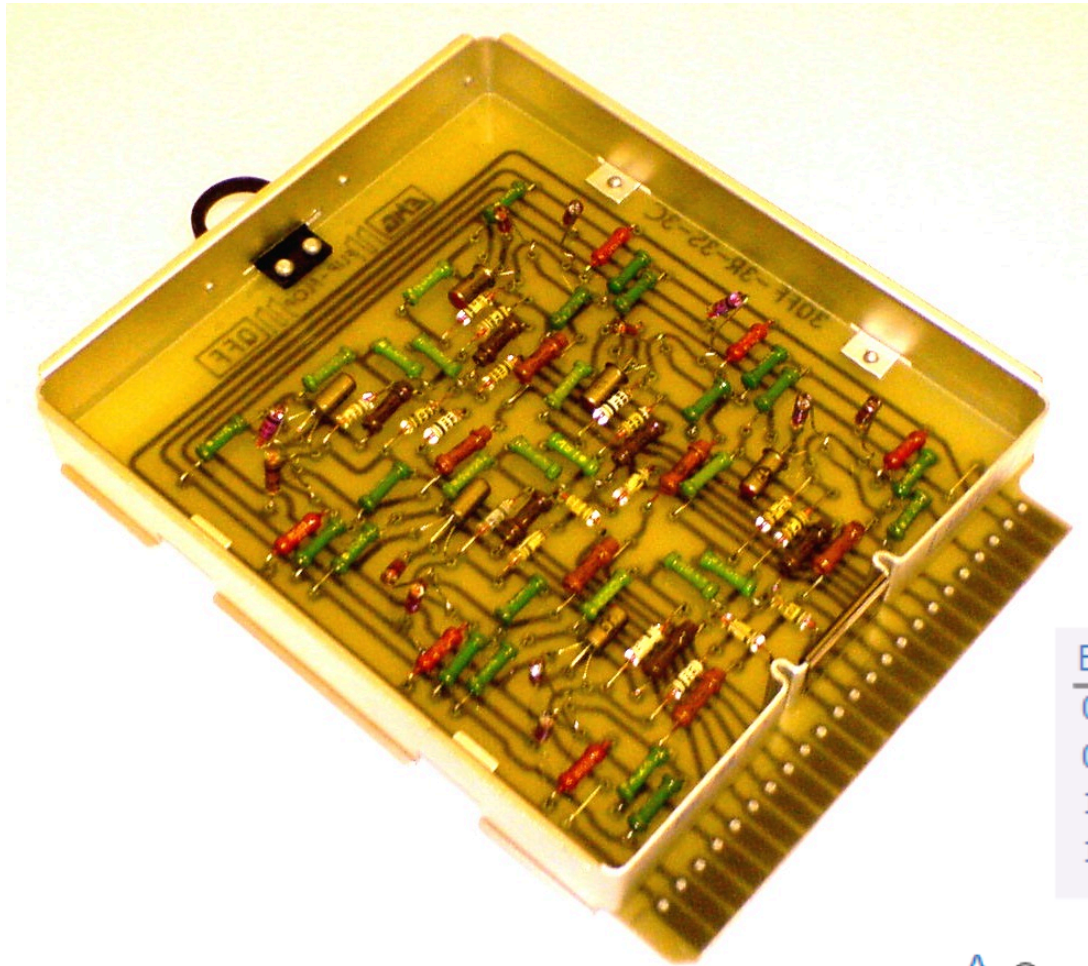
- WHAT do we do? - Describe motion and interactions of charged particles - in order to understand the physics of gas discharges
- WHY do we need simulations? - Kinetic level, flexible, visualization
- THIS TALK intends to illustrate the capabilities of particle simulations methods - we start with very elementary examples and proceed towards more complex topics
- “Bloom where you’ve been planted”

## Topics

- 1) The Monte Carlo technique, description of collisions
- 2) Simulation of particle swarms and Townsend discharges
- 3) Modeling of DC glow discharges
- 4) Heavy-particle processes in DC discharges
- 4) Particle-in-Cell + Monte Carlo collision: method
- 5) PIC/MCC simulation: results for CCRF discharges

# (Few words about) Computational resources

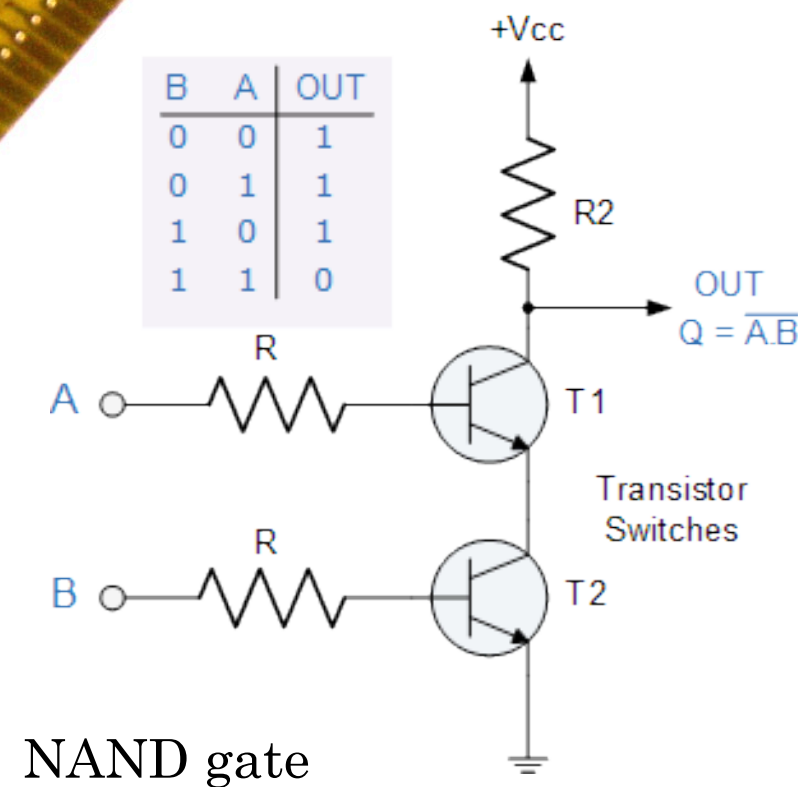
3 bits (transistor flip-flop)  
storage card (1960s)



32 GB memory card

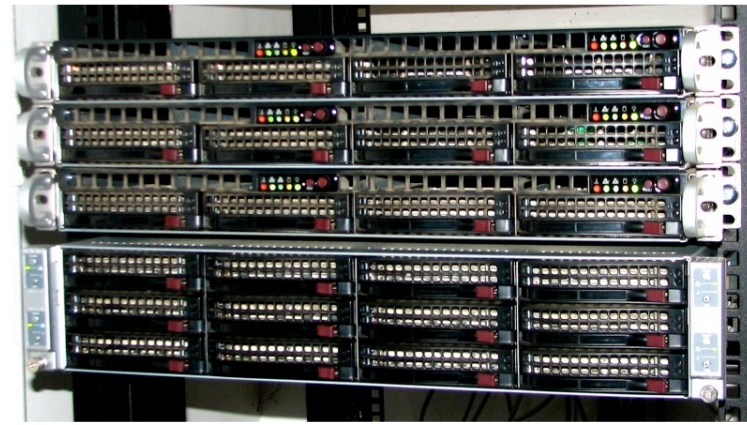


One of the most popular  
processors

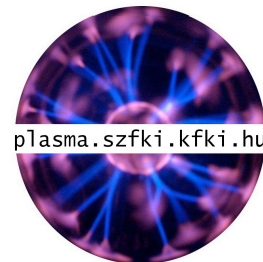




# (Few words about) Computational resources



- Amazing progress of resources
- Strong feedback of plasma science and technology on the development of devices



(???)  
GPU  
Clusters  
PCs  
PC

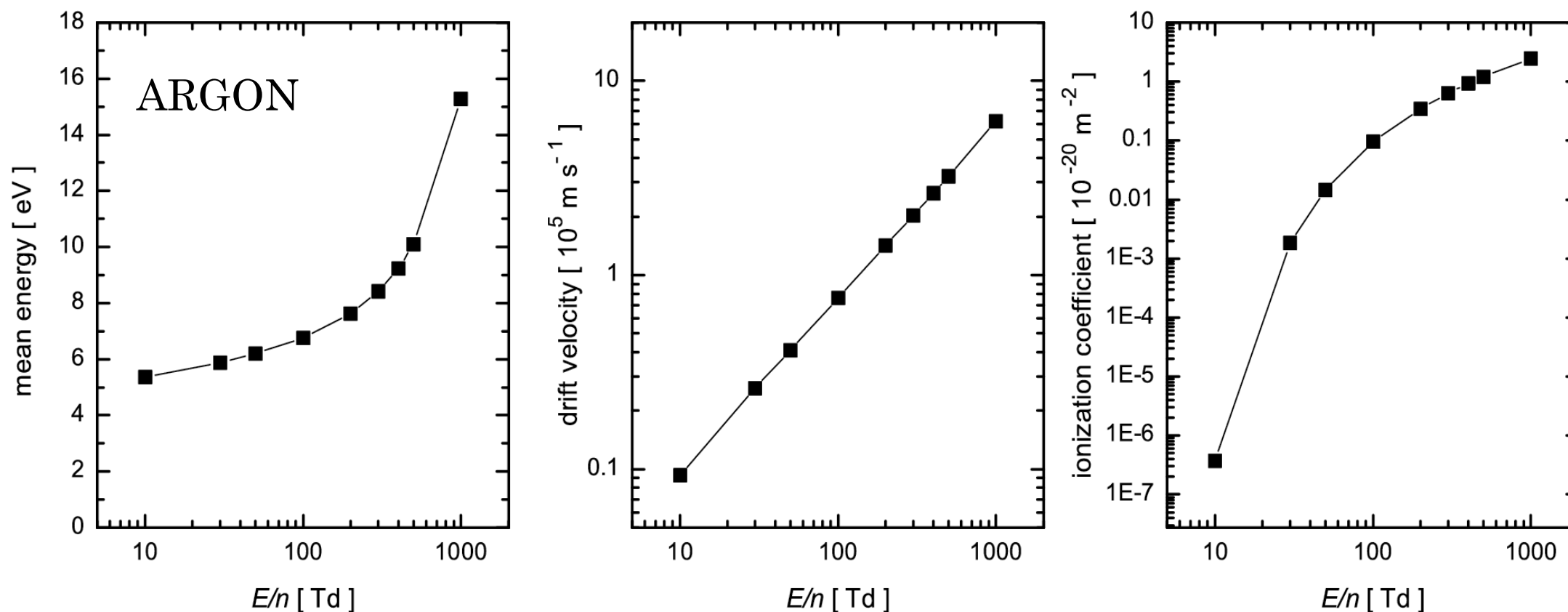
# I. Charged particle kinetics

- Fluid vs. kinetic description of transport
- Basics of Monte Carlo simulation
- Velocity distribution functions and transport parameters in homogeneous field
- Spatio-temporal relaxation of the electron gas



# Passage of electrons through a gas: electron transport coefficients

- Transport = free flights + collisions
- Look from a distance at the ensemble of particles: transport coefficients
- Transport coefficients can be measured or can be derived from cross section data
- Such calculations are zero-dimensional (homogeneous electric field, infinite space)



Are these data  
applicable to  
describe  
electron  
behavior in  
discharges??

# Fluid vs. kinetic description of transport

- Fluid models : hydrodynamic transport
- Transport coefficients are functions of local  $E/n$  (or on local mean electron energy)
- (Typical) Fluid equations:
 
$$\frac{\partial n_e}{\partial t} + \frac{\partial \phi_e}{\partial x} = S_e$$

$$\phi_e = -\mu_e n_e E - \frac{\partial(n_e D_e)}{\partial x} \quad \text{where} \quad \begin{aligned} \mu_e n &= f_1(E/n) \\ D_e n &= f_2(E/n) \\ \alpha/n &= f_3(E/n) \end{aligned}$$

$$S_e = \alpha \phi_e$$

Need:  $\frac{dE}{dx} \lambda \ll E \quad \frac{dE}{dt} \nu^{-1} \ll E$
- Such a description becomes invalid when the electric field changes rapidly in space/time  
 $\Rightarrow$  kinetic theory (to describe non-hydrodynamic / non-equilibrium / non-local transport)

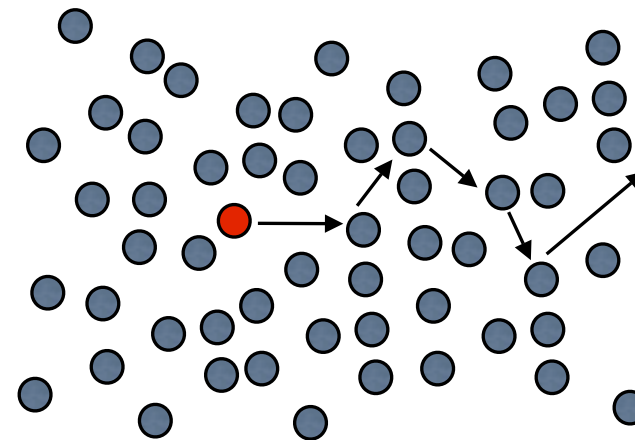
## Boltzmann equation

$$\left[ \frac{\partial}{\partial t} + \mathbf{a} \cdot \nabla_{\mathbf{v}} + \mathbf{v} \cdot \nabla_{\mathbf{r}} \right] f = \left( \frac{\partial f}{\partial t} \right)_{\text{coll}}$$

$$f = f(\mathbf{r}, \mathbf{v}, t)$$

velocity distribution function

## Particle simulation

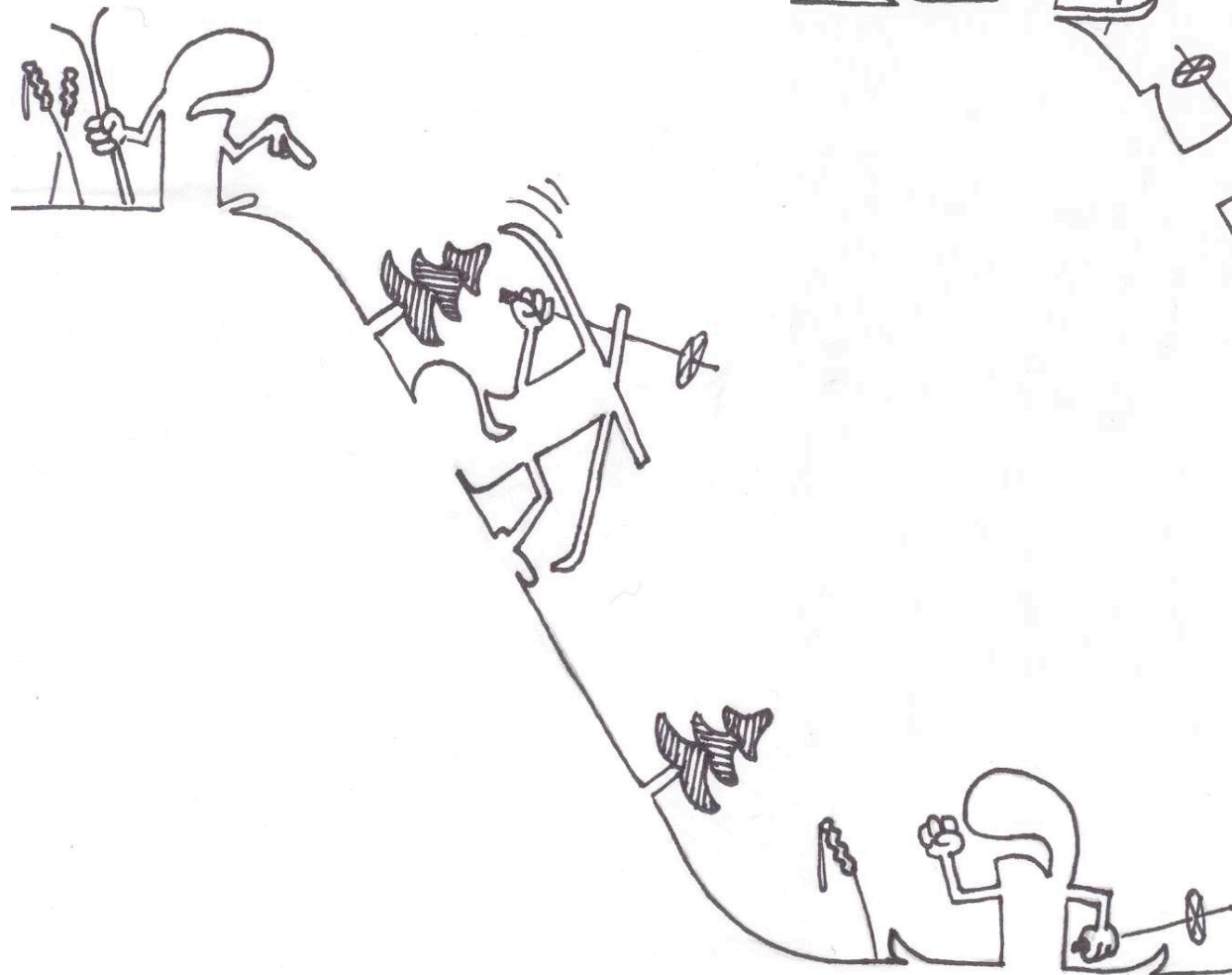




# How good are the transport coefficients ?

## Equilibrium vs. non-equilibrium transport

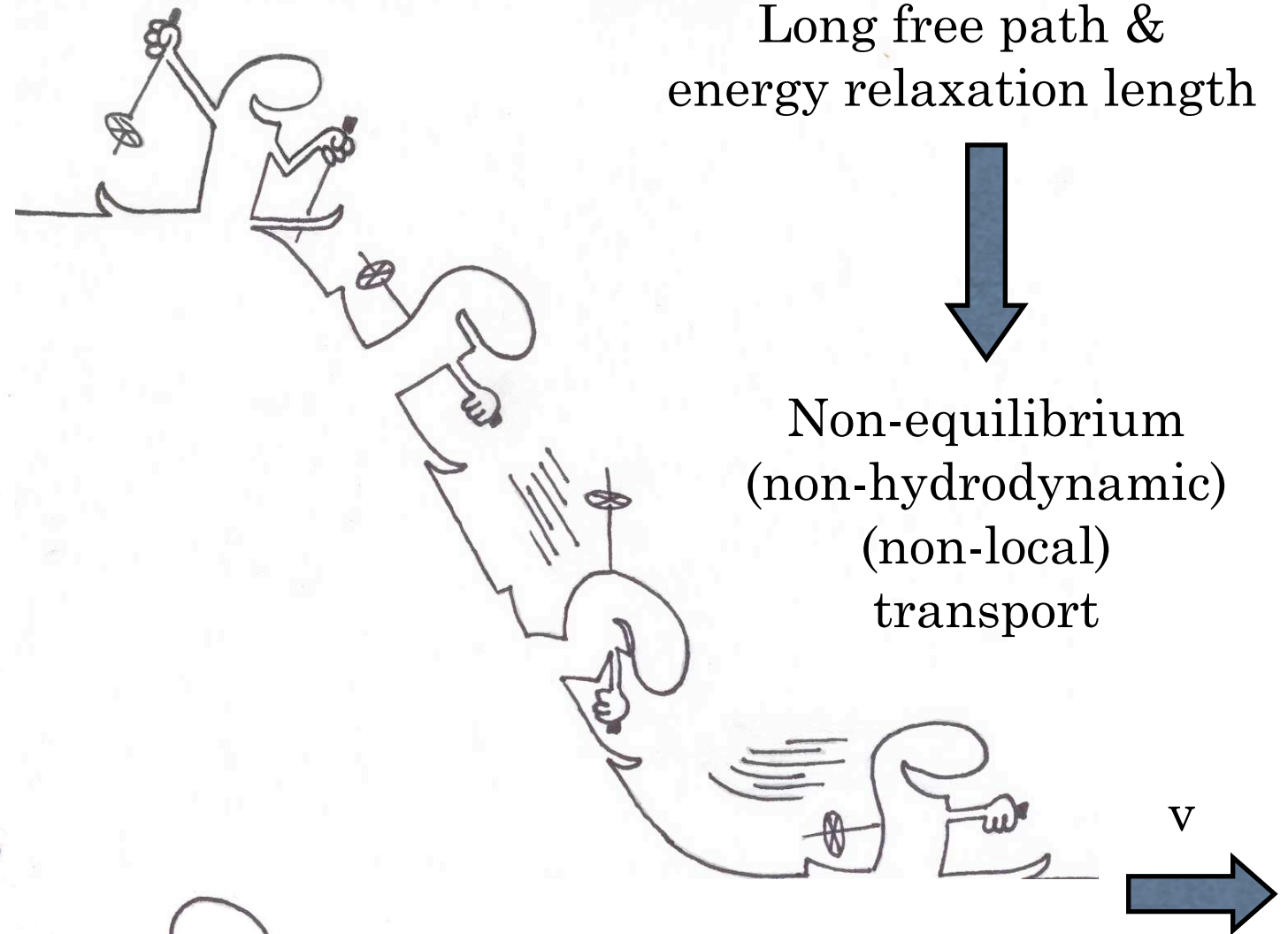
Short free path  
(frequent collisions)



Long free path &  
energy relaxation length

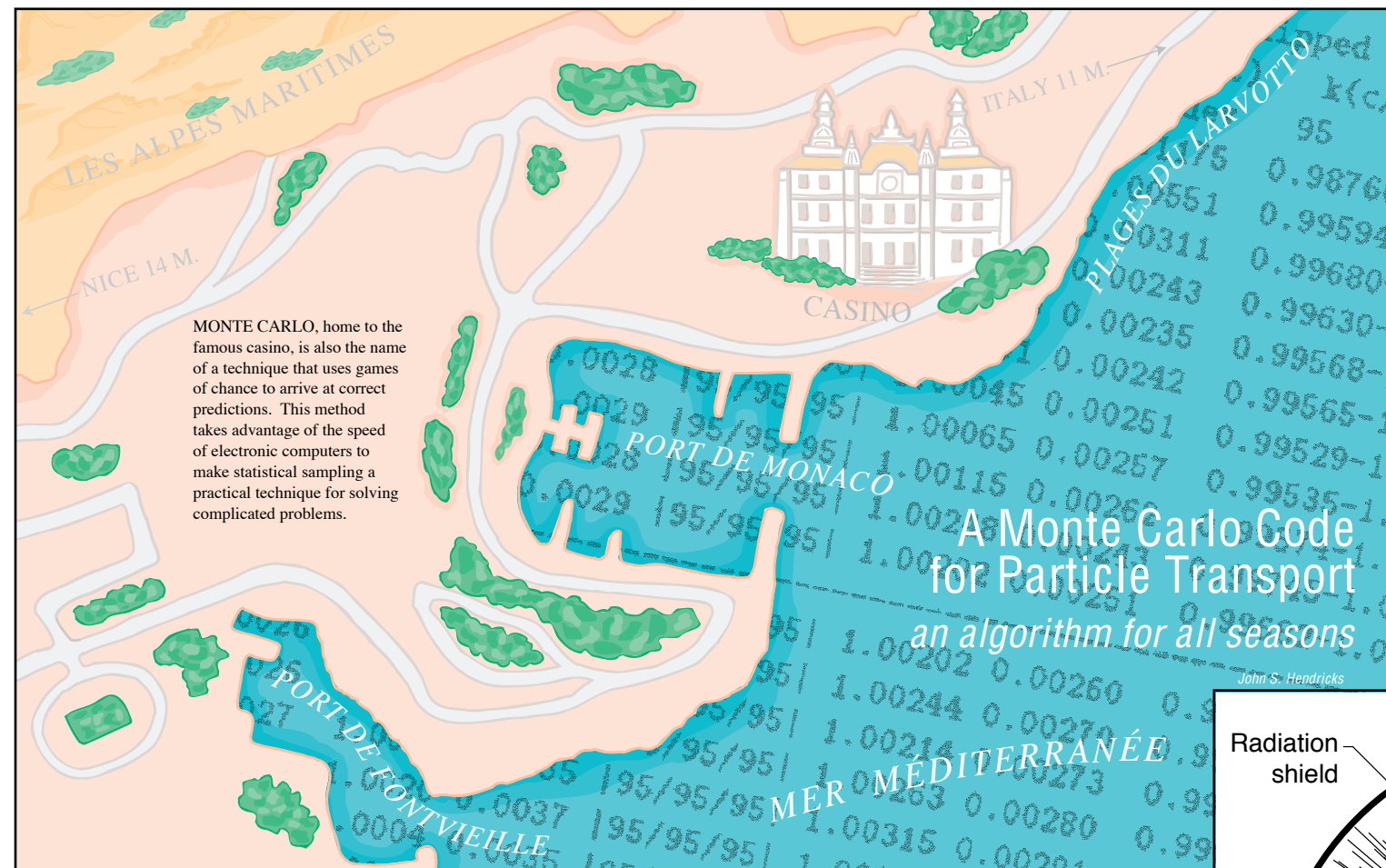


Non-equilibrium  
(non-hydrodynamic)  
(non-local)  
transport



Thanks G. Bánó

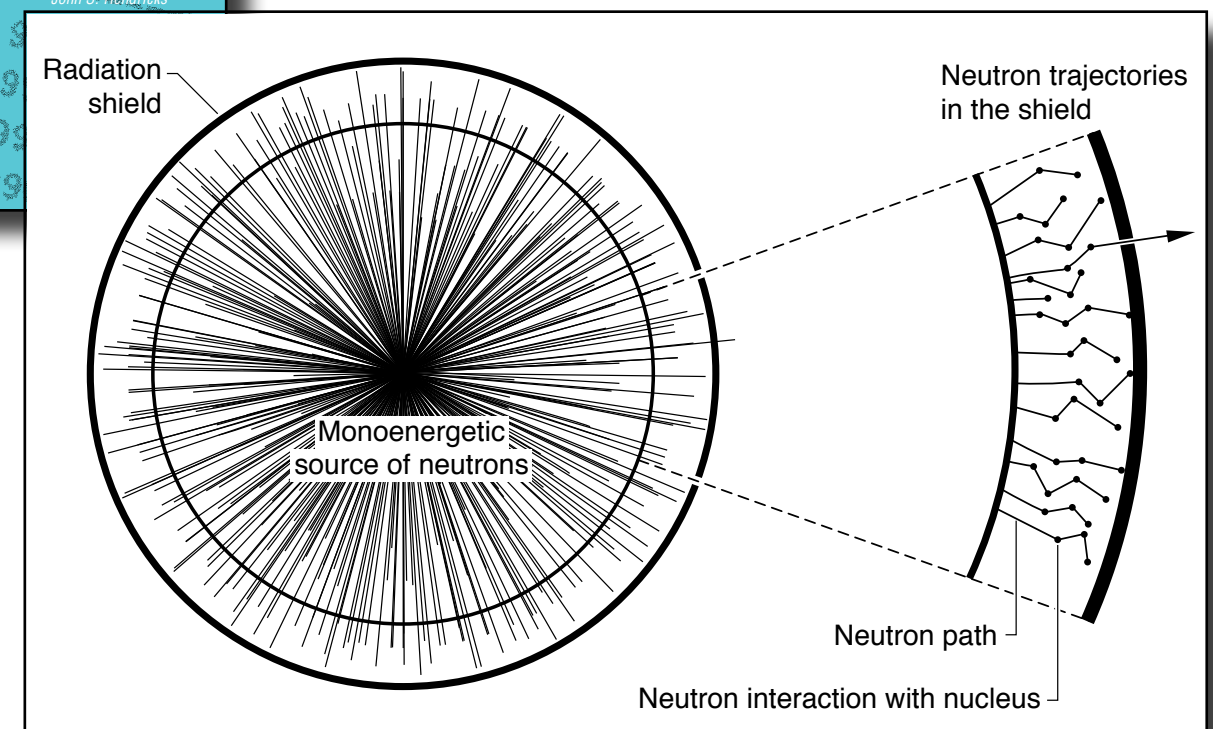
# Monte Carlo methods



Los Alamos Science. No. 22 (1994)

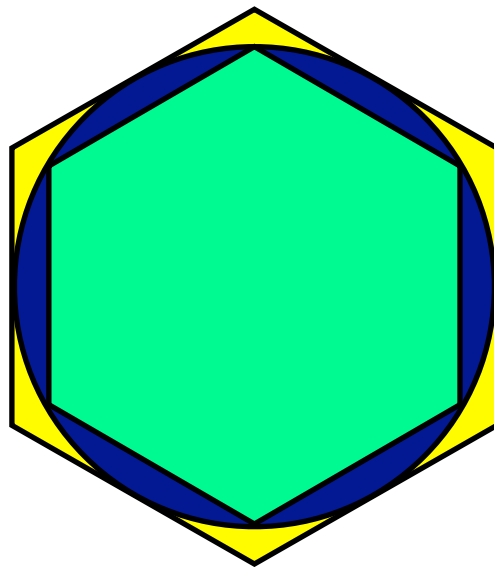
“The Monte Carlo method was first applied on the MANIAC computer at the Laboratory to predict the rate of neutron chain reactions in fission devices.....”

Stanislaw Ulam: statistical sampling  
John von Neumann: neutron transport  
Nicholas Metropolis: “Monte Carlo”





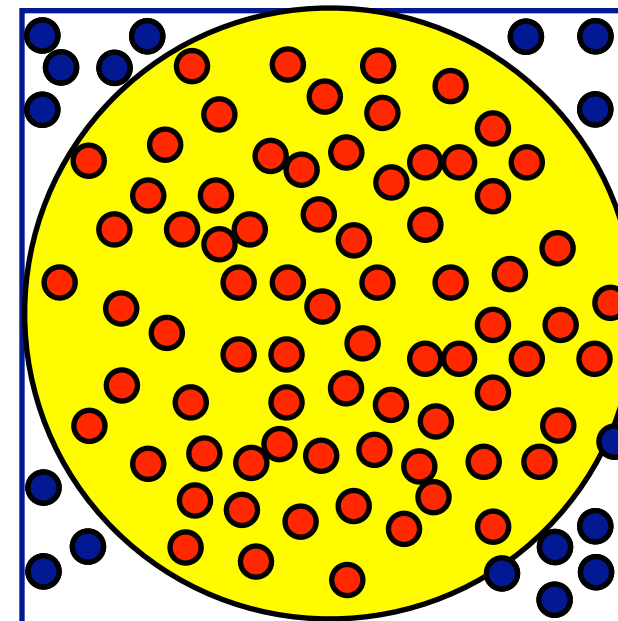
How to approximate  $\pi$  ?



.... by the perimeters of polygons inscribed and circumscribed about a given circle  
(goes back to Archimedes)

Monte Carlo approach:

Throw darts with uniform distribution on a square board



$$\frac{N(\text{red})}{N(\text{all})} \rightarrow \frac{\pi}{4}$$

Efficiency / computing speed

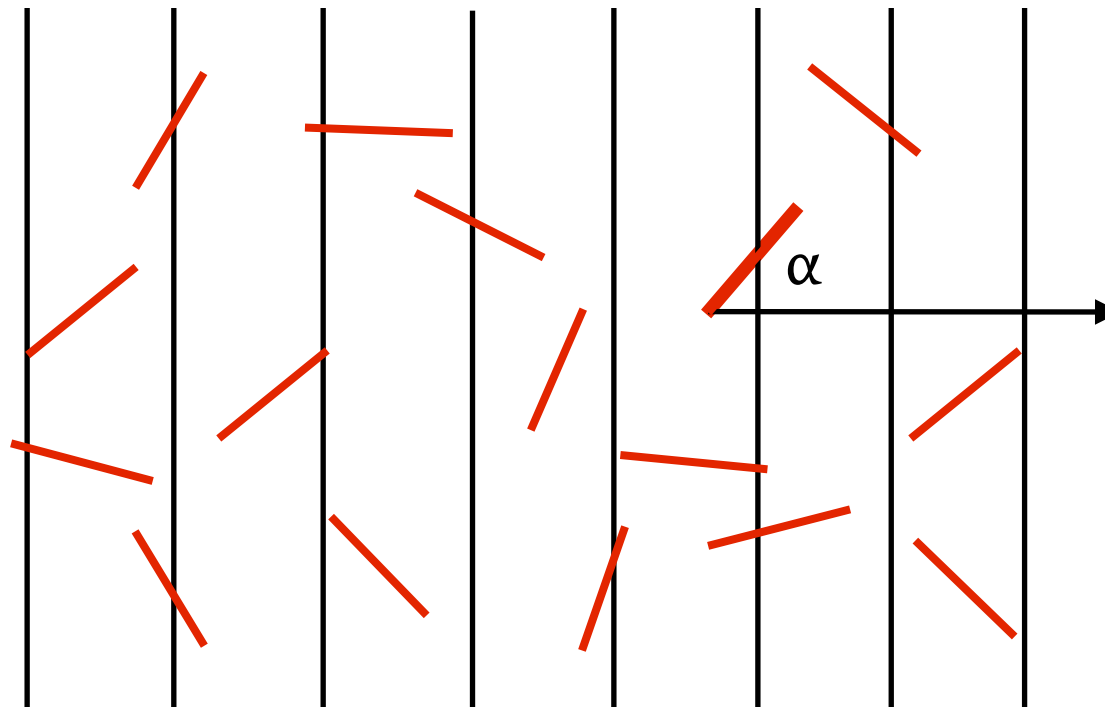
A different way of thinking...

## “Buffon's Needle problem”

Throw needles with length  $L$  on a paper, which has parallel lines with distance  $D$



GEORGE LOUIS LE CLERC, Comte de Buffon



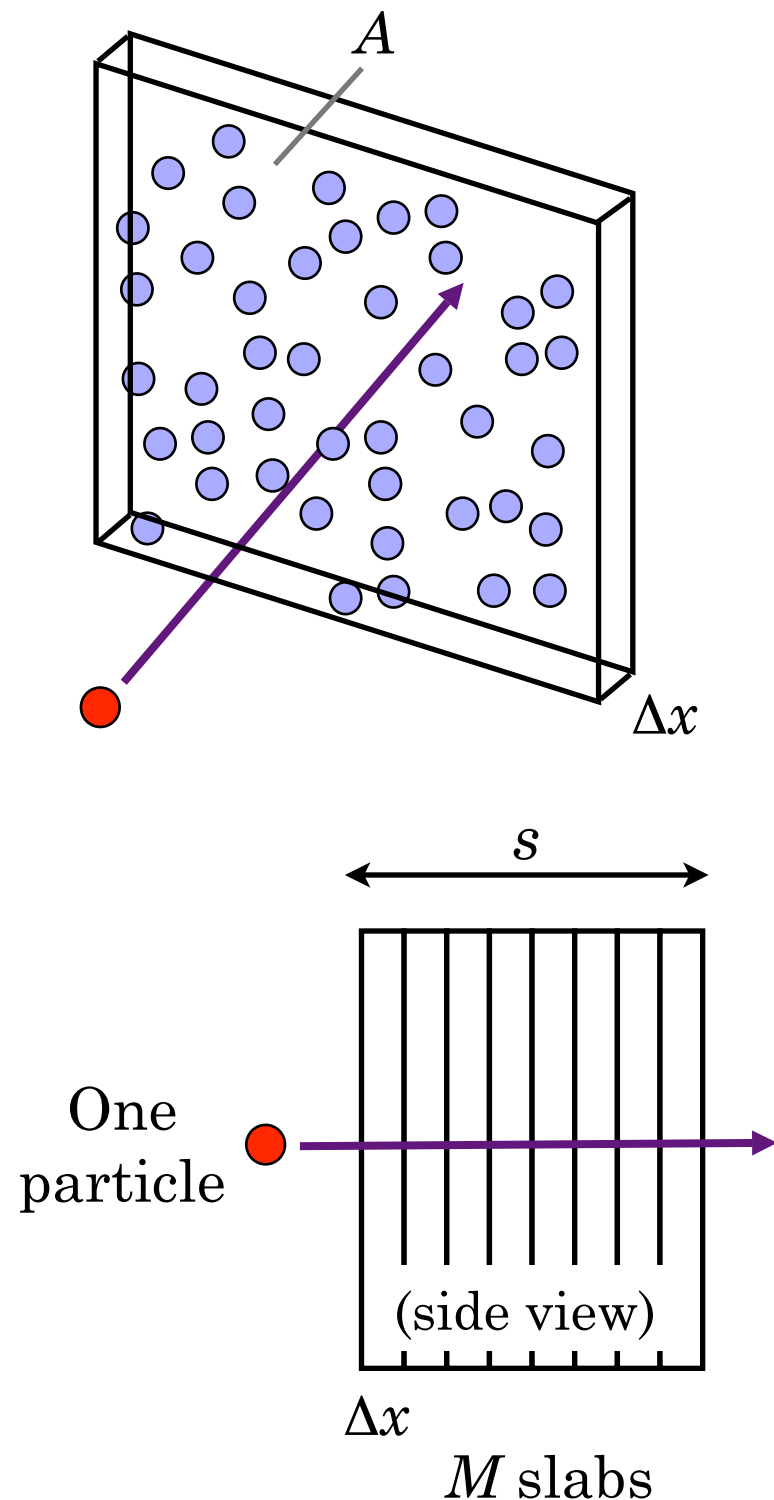
$$P(\text{needle crosses a line}) : P(\alpha) = \frac{L |\cos \alpha|}{D}$$

$$P = \frac{1}{2\pi} \int_0^{2\pi} P(\alpha) d\alpha = \frac{L}{2\pi D} \int_0^{2\pi} |\cos \alpha| d\alpha = \frac{2L}{\pi D}$$

$$\text{If } L = D : \frac{N}{N_C} \rightarrow \frac{\pi}{2}$$



# Monte Carlo method: particle transport



## Transit of a particle through a narrow slab of background gas

$N$  background gas particles with same cross section

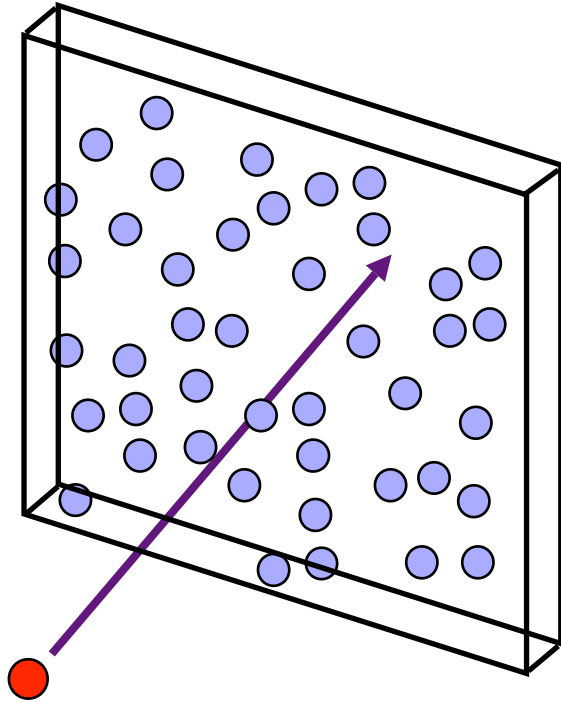
$$P_{\text{coll}} = \frac{A'}{A} = \frac{N\sigma}{A} = \frac{(nA\Delta x)\sigma}{A} = n\sigma\Delta x$$

valid in the  $\Delta x \rightarrow 0$  limit

## Transit of a particle through a stack of slabs (macroscopic width)

$$\begin{aligned} P_{\text{transfer}} &= (1 - P_{\text{coll}})^M \\ &= (1 - n\sigma\Delta x)^M = (1 - n\sigma\Delta x)^{\frac{s}{\Delta x}} \\ &= (1 - n\sigma\Delta x)^{-\frac{1}{n\sigma\Delta x}(-sn\sigma)} \rightarrow e^{-n\sigma s} \quad \text{if } \Delta x \rightarrow 0 \end{aligned}$$

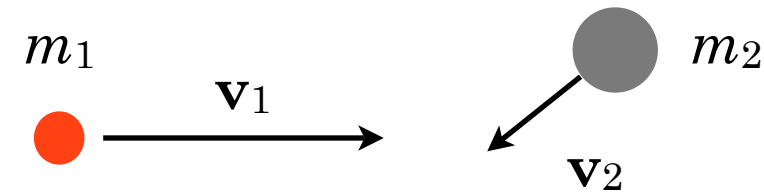
$$P_{\text{coll}} = 1 - e^{-n\sigma s} = 1 - e^{-n\sigma v\Delta t}$$



Collisions with background gas atoms with thermal distribution

$$P(\Delta t) = 1 - \exp[-n\overline{\sigma_T(v_r)}v_r\Delta t]$$

$$\mathbf{v}_r = \mathbf{v}_1 - \mathbf{v}_2$$



$$\overline{\sigma_T v_r} = \int \int \int \sigma_T(|\mathbf{v}_1 - \mathbf{v}_2|)|\mathbf{v}_1 - \mathbf{v}_2| \left(\frac{m_2}{2\pi kT_2}\right)^{3/2} \exp\left(-\frac{m_2 v_2^2}{2kT_2}\right) dv_{2x} dv_{2y} dv_{2z}$$

Cold gas approximation

$$T_2 = 0$$

$$P_{\text{coll}}(\Delta t) = 1 - \exp[-n\sigma_T v_1 \Delta t]$$

- valid for electrons as long as  $\bar{\epsilon} \gg kT_2$
- normally not valid for ions



## Tracing of individual particles (cold gas approx.)

Equation of motion:  $m \frac{d^2 \mathbf{r}}{dt^2} = e \mathbf{E}$

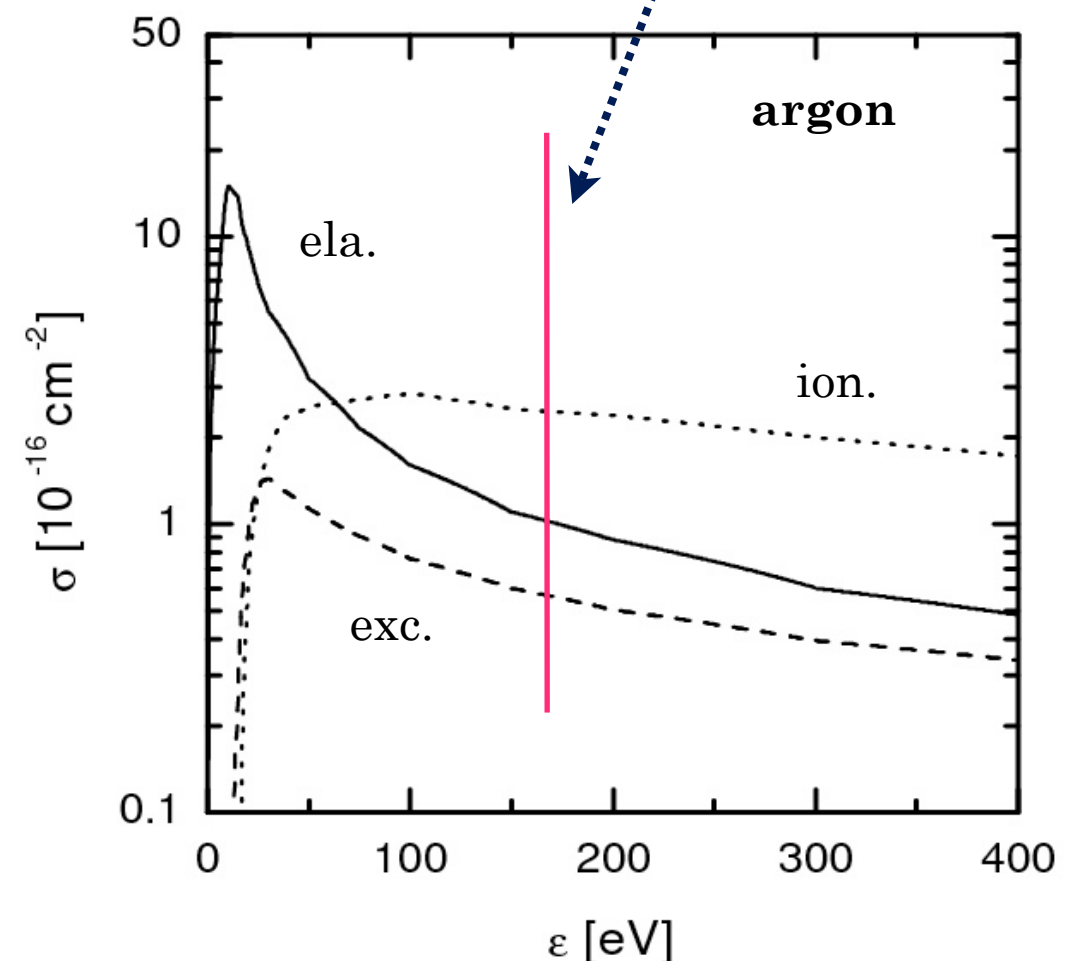
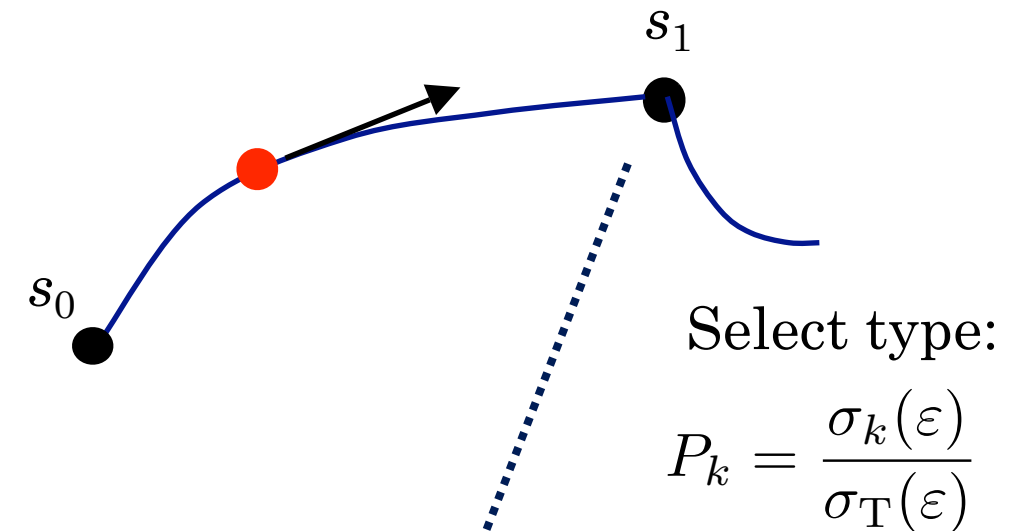


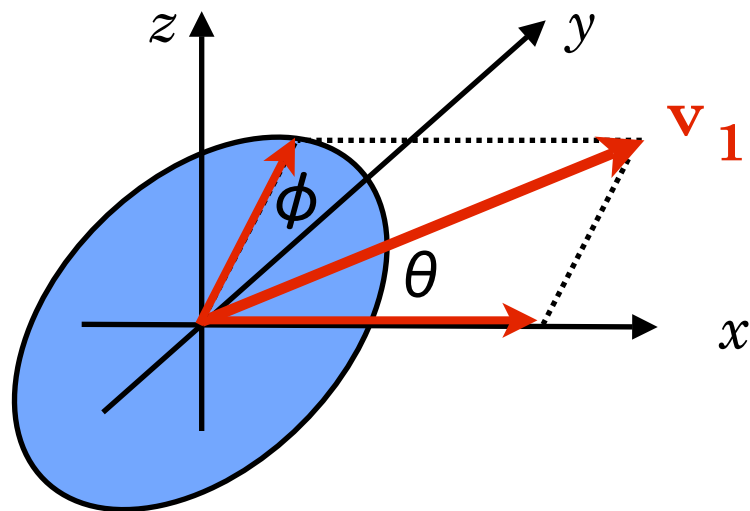
$$t \rightarrow t + \Delta t$$

Probability of collision:  $P_{\text{coll}} = 1 - e^{-n\sigma v \Delta t}$

total cross section

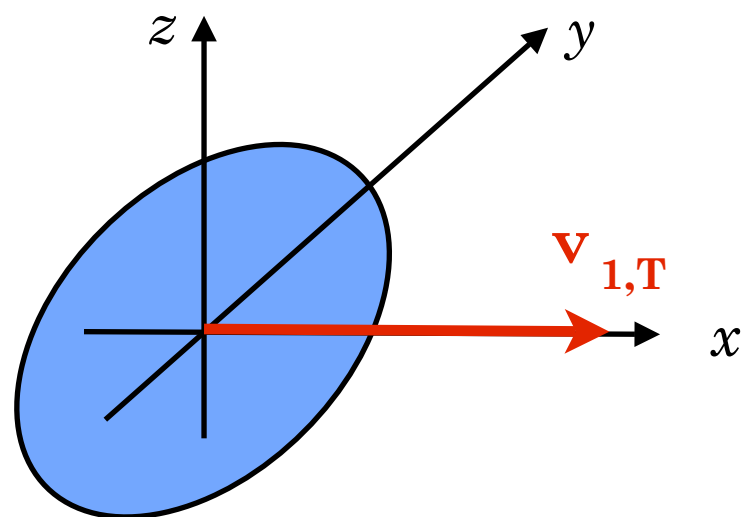
- Processes
  - elastic scattering,
  - electronic excitation,
  - ionization
- Chosen in a probabilistic manner:
  - free path,
  - type of collision,
  - new direction





## 1. Find velocity components (Euler angles)

$$\mathbf{v}_1 = \begin{bmatrix} v_x \\ v_y \\ v_z \end{bmatrix} = v_1 \begin{bmatrix} \cos \theta \\ \sin \theta \cos \phi \\ \sin \theta \sin \phi \end{bmatrix}$$

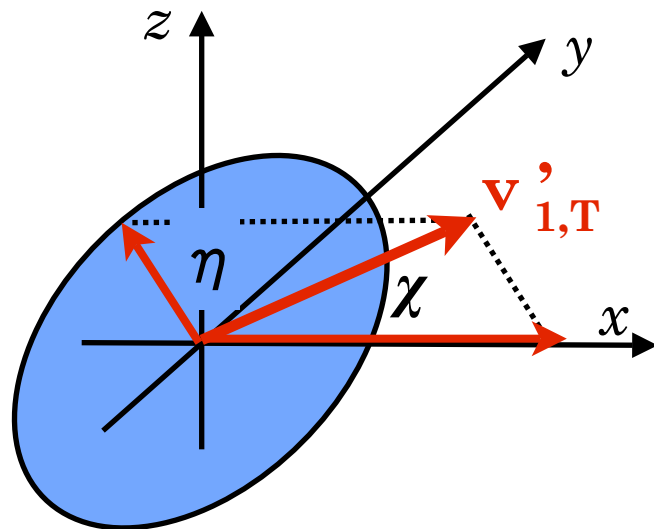


## 2. Transform velocity vector into z direction

$$\mathbf{v}_{1,T} = \mathbf{T}_z(-\theta) \mathbf{T}_x(-\phi) \mathbf{v}_1 = v_1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

(this calculation doesn't need to be carried out)



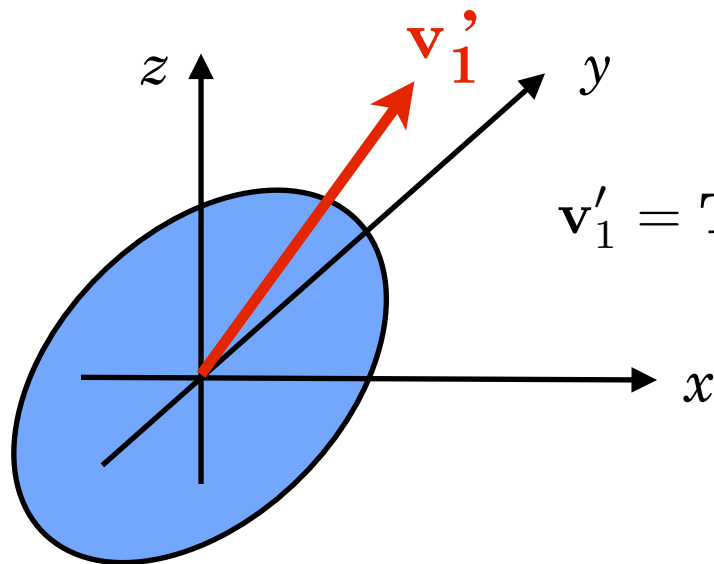


## 3. Deflect velocity vector (collision)

$$\mathbf{v}'_{1,T} = v'_1 \begin{bmatrix} \cos \chi \\ \sin \chi \cos \eta \\ \sin \chi \sin \eta \end{bmatrix}$$

(details discussed later on)

## 4. Transform “back”



$$\mathbf{v}'_1 = \mathbf{T}_x(\phi) \mathbf{T}_z(\theta) \mathbf{v}'_{1,T} = v'_1 \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta \cos \phi & \cos \theta \cos \phi & -\sin \phi \\ \sin \theta \sin \phi & \cos \theta \sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} \cos \chi \\ \sin \chi \cos \eta \\ \sin \chi \sin \eta \end{bmatrix}$$

$$\mathbf{v}'_1 = v'_1 \begin{bmatrix} \cos \theta \cos \chi - \sin \theta \sin \chi \cos \eta \\ \sin \theta \cos \phi \cos \chi + \cos \theta \cos \phi \sin \chi \cos \eta - \sin \phi \sin \chi \sin \eta \\ \sin \theta \sin \phi \cos \chi + \cos \theta \sin \phi \sin \chi \cos \eta + \cos \phi \sin \chi \sin \eta \end{bmatrix}$$

## Choosing the angles:

### Scattering angle

based on differential cross sec.:

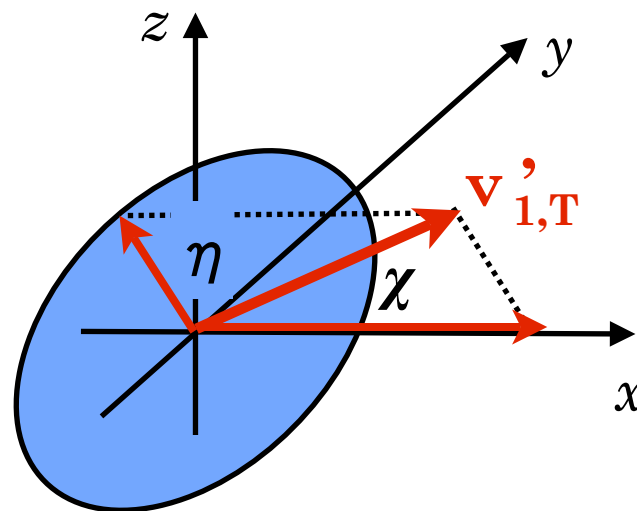
$$\frac{\int_0^\chi \sigma(\varepsilon, \chi') \sin \chi' d\chi'}{\int_0^\pi \sigma(\varepsilon, \chi') \sin \chi' d\chi'} = R_{01}$$

or, assuming isotropic scattering:

$$\chi = \arccos(1 - 2R_{01})$$

### Azimuth angle

$$\eta = 2\pi R_{01}$$



## Energy change:

### Elastic scattering

$$\frac{\Delta\varepsilon}{\varepsilon} = -\frac{2m_1m_2}{(m_1 + m_2)^2}(1 - \cos \chi)$$

$$\frac{\Delta\varepsilon}{\varepsilon} = -2 \frac{m_1}{m_2}(1 - \cos \chi) \quad \text{if } m_1 \ll m_2$$

### Excitation

$$\Delta\varepsilon = -\varepsilon_j$$

### Ionization

$$\varepsilon_{\text{scatt}} + \varepsilon_{\text{eject}} = \varepsilon - \varepsilon_{\text{ion}}$$

$$\varepsilon_{\text{eject}} = \bar{E} \tan \left[ R_{01} \arctan \left( \frac{\varepsilon - \varepsilon_{\text{ion}}}{2\bar{E}} \right) \right]$$

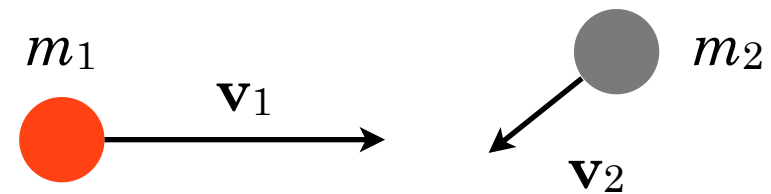
For electrons we did not use here transformation to Center of Mass system for the scattering ...



Unlike for electrons, here the mass of the projectile and the target are comparable  
→ one has to work in the *Center of Mass* system

Velocity of center of mass:  $\mathbf{w} = \frac{m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2}{m_1 + m_2}$

Relative velocity:  $\mathbf{v}_r = \mathbf{v}_1 - \mathbf{v}_2$



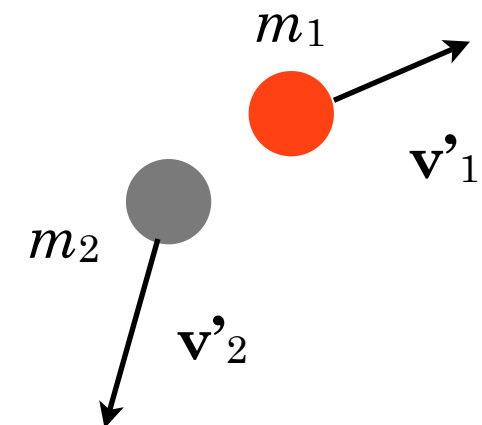
$\mathbf{v}_2$  has to be sampled from a Maxwellian distribution of the background gas atoms

Velocities in the COM frame:  $\begin{cases} \mathbf{V}_1 = \mathbf{v}_1 - \mathbf{w} \\ \mathbf{V}_2 = \mathbf{v}_2 - \mathbf{w} \end{cases}$

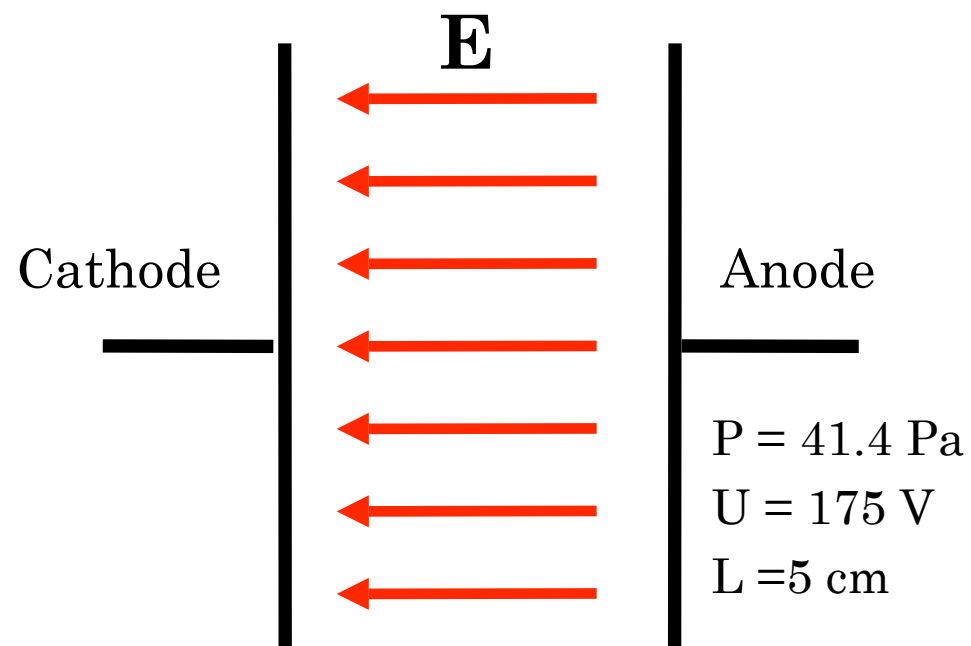
An elastic collision changes the direction of the relative velocity but keeps the magnitude unchanged

$\mathbf{v}_r \rightarrow \mathbf{v}'_r$

Postcollision velocities in the LAB frame:  $\begin{cases} \mathbf{v}'_1 = \frac{m_2}{m_1 + m_2} \mathbf{v}'_r + \frac{m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2}{m_1 + m_2} \\ \mathbf{v}'_2 = -\frac{m_1}{m_1 + m_2} \mathbf{v}'_r + \frac{m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2}{m_1 + m_2} \end{cases}$

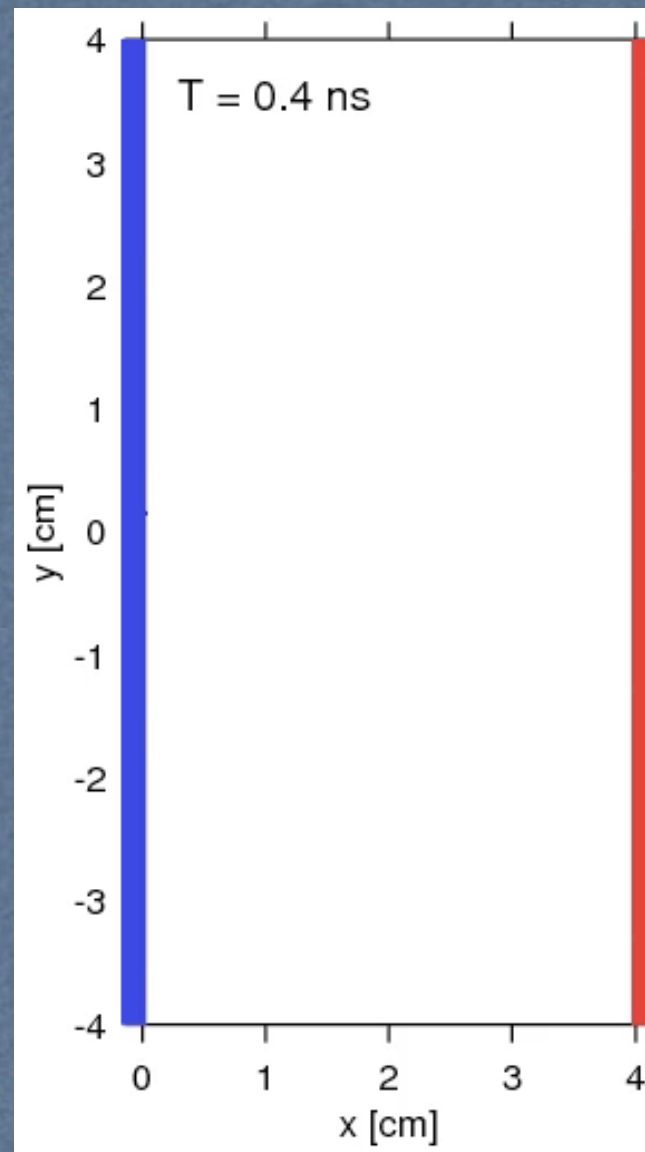


For noble gases the ion-atom collisions can in most cases be restricted to elastic scattering. Using the model of Phelps [J. Appl. Phys. **76**, 747 (1994)] this process is assumed to have two parts: (i) isotropic part + (ii) backward scattering part.

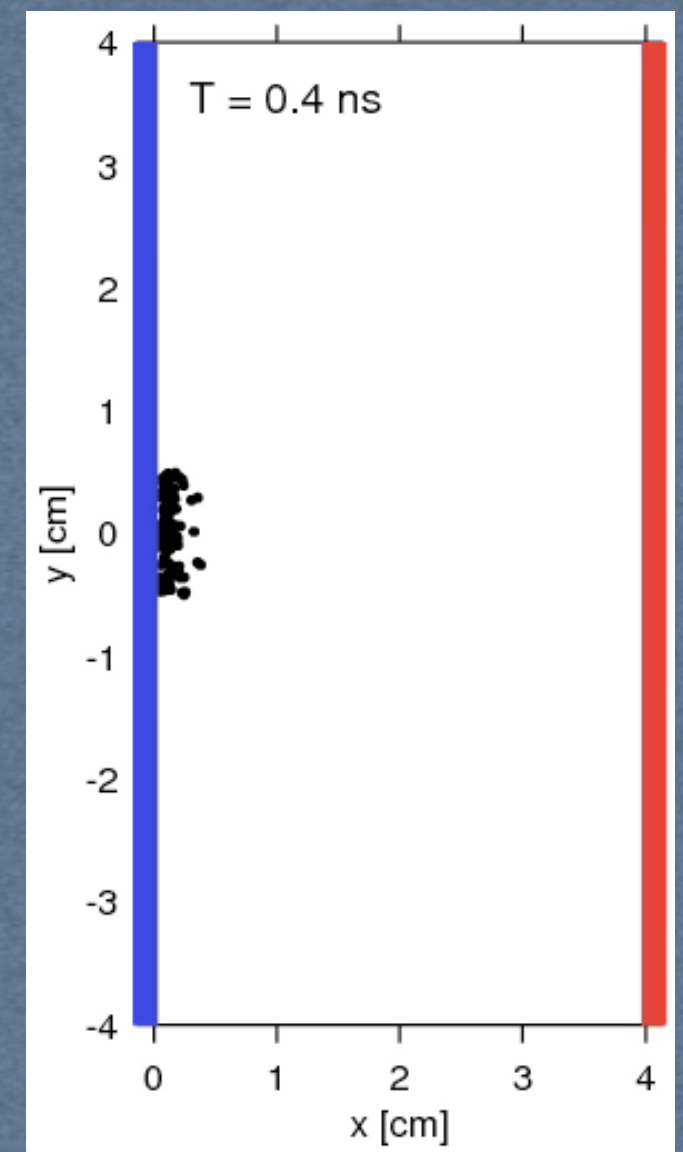


- 1D - simplest geometry
- infinite, plane, parallel electrodes
- homogeneous field
- already requires kinetic treatment (see more later)

Single avalanche

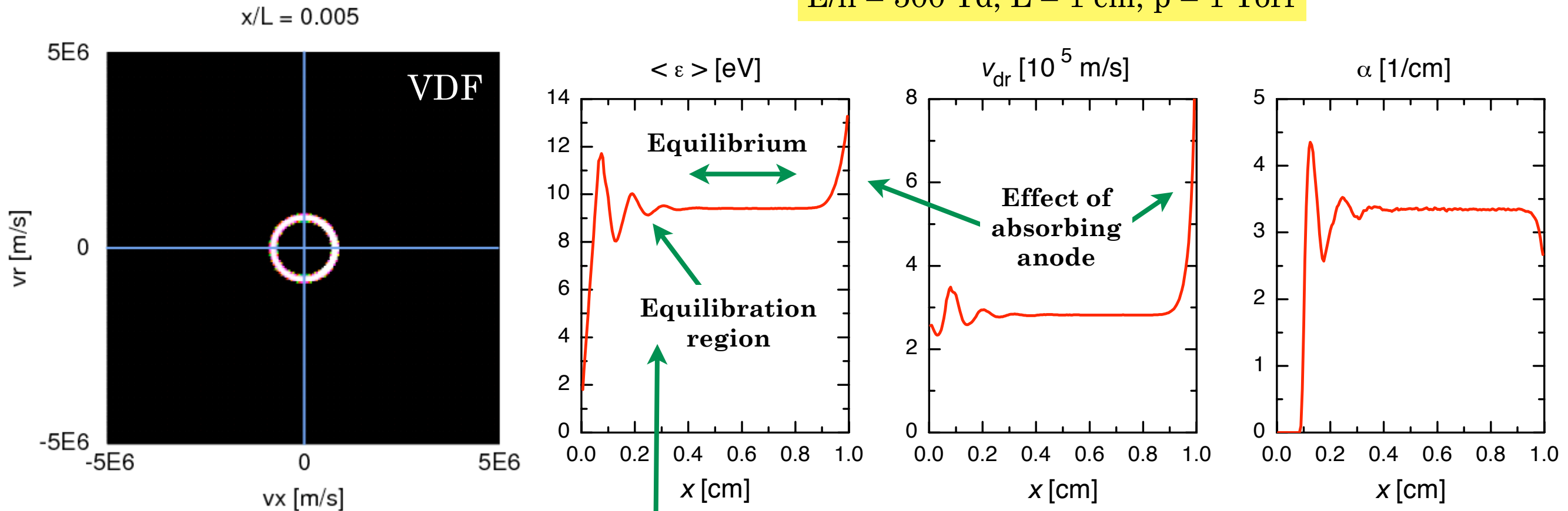


Particle cloud

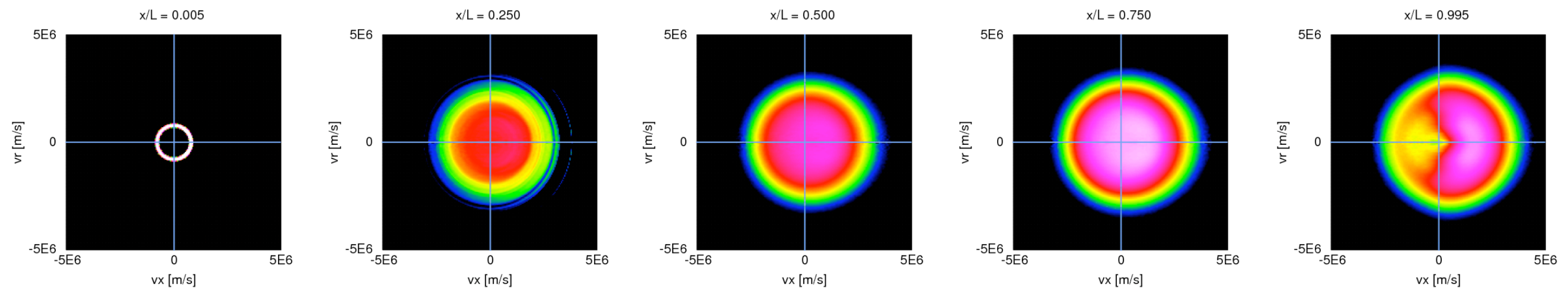


# Electron swarms in homogeneous electric field

$E/n = 500 \text{ Td}$ ,  $L = 1 \text{ cm}$ ,  $p = 1 \text{ Torr}$



G. Malović, A. Strinić, A. Živanov, D. Marić, and Z. Lj. Petrović, PSST **12**, S1 (2003).

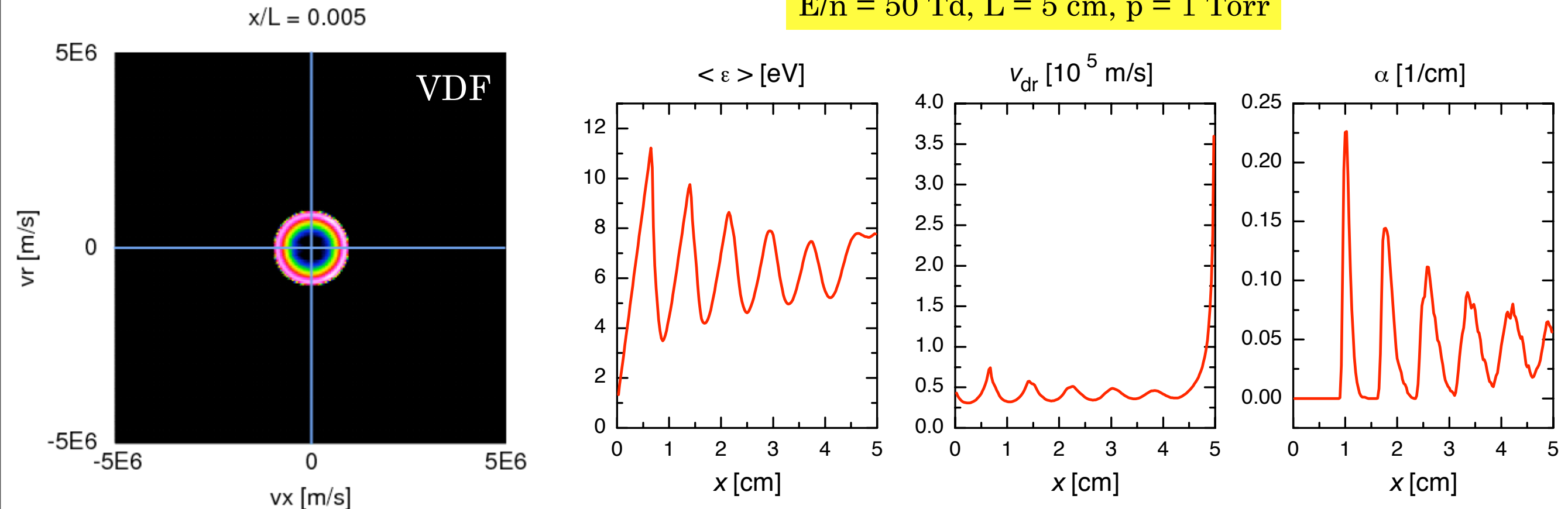


Z. Donkó, PSST (2010).

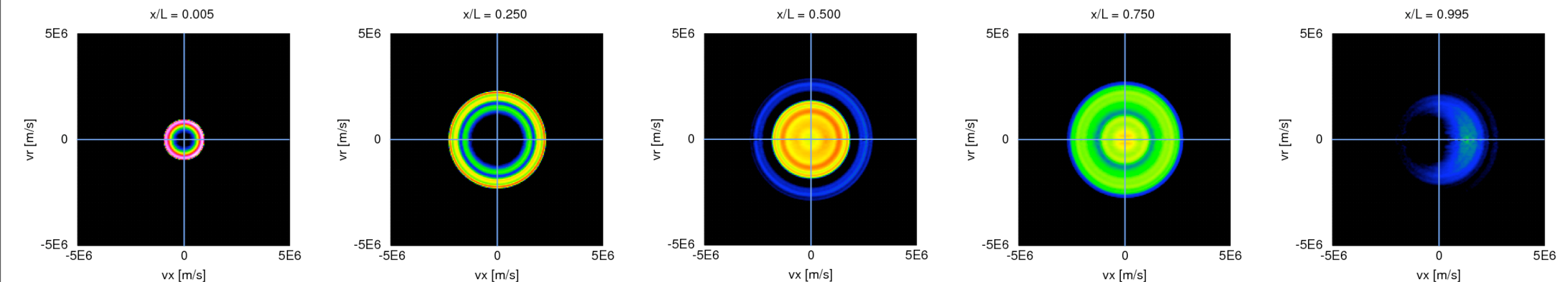


# Electron swarms in homogeneous electric field

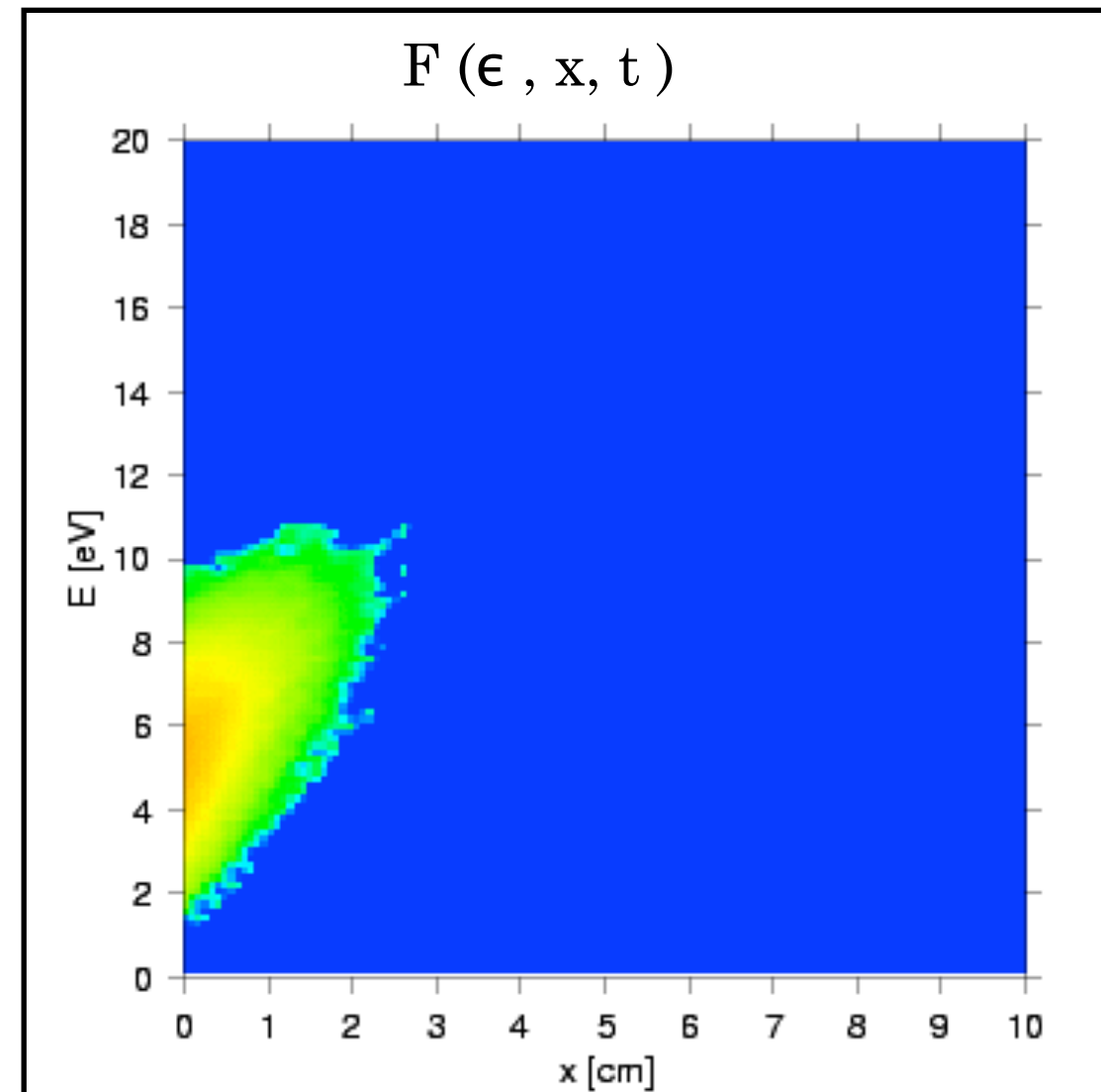
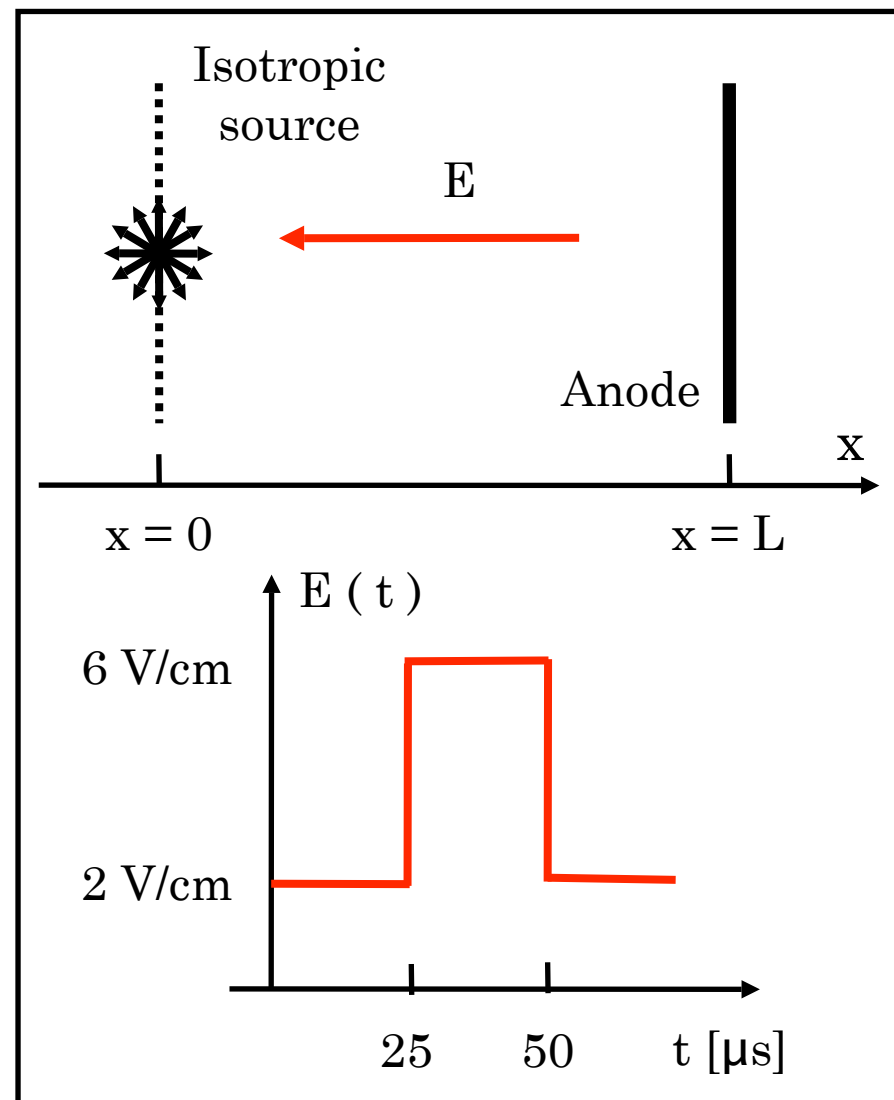
$E/n = 50 \text{ Td}$ ,  $L = 5 \text{ cm}$ ,  $p = 1 \text{ Torr}$



*Complete lack of equilibrium region*



# Spatio-temporal relaxation of an electron swarm



D. Loffhagen, R. Winkler, Z. Donkó, Eur. Phys. J. Appl. Phys. 18, 189 (2002).

(calculated with both MC simulation and Boltzmann equation)

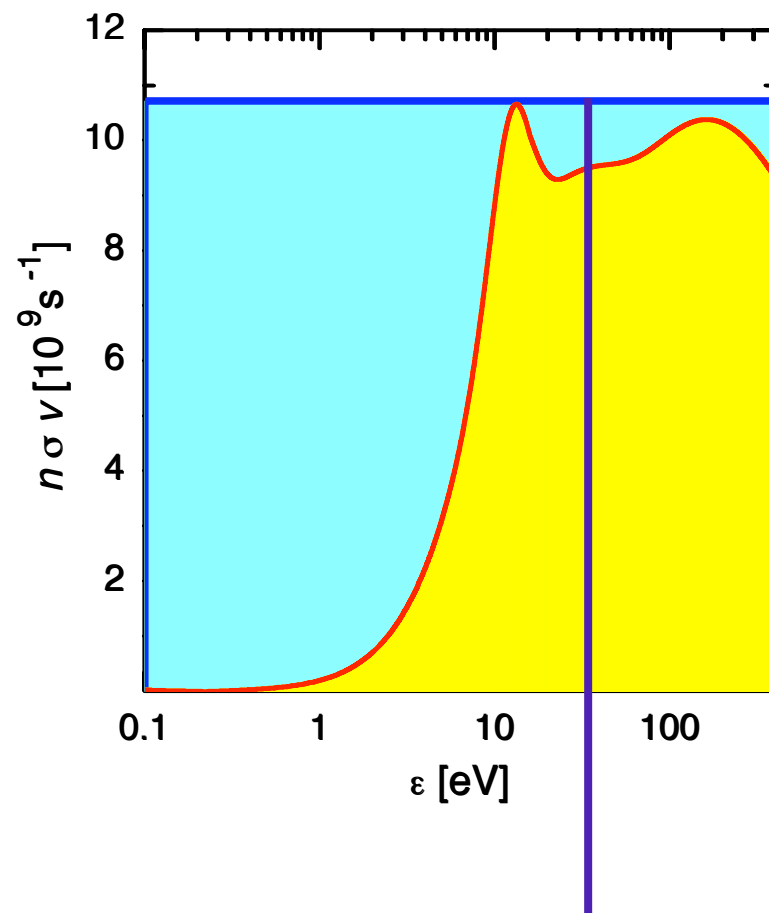
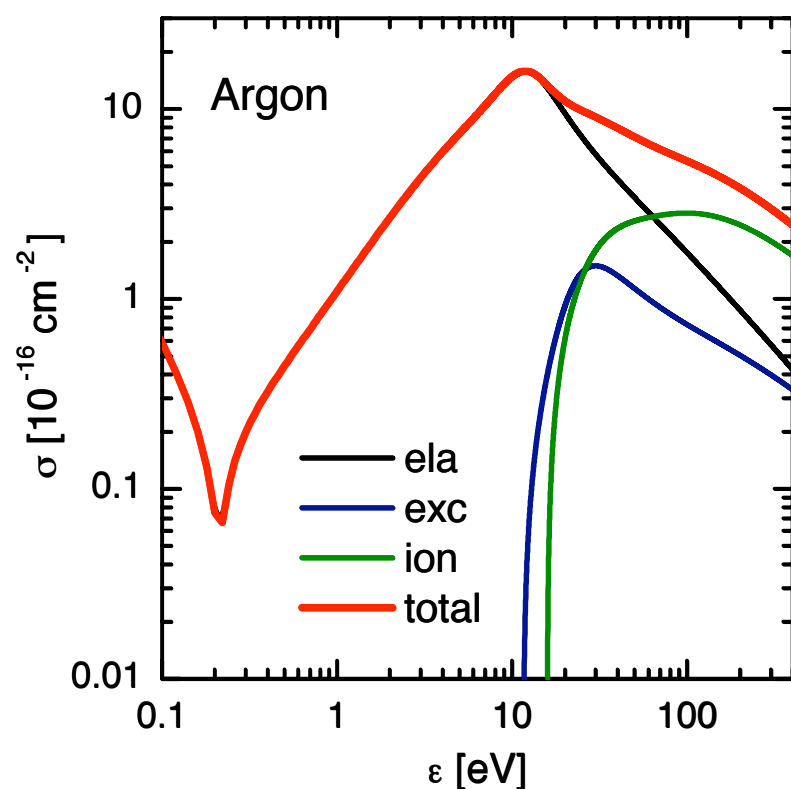
- *Monte Carlo simulation of charged species kinetics in weakly ionized gases* [S. Longo, Plasma Sources Sci. Technol. **15**, S181 (2006)]
- *Acceleration techniques - null-collision method* [H. R. Skullerud, J. Phys. D **1**, 1567 (1968)]
- *Rescaling techniques - very strong ionization / attachment* [Y. M. Li, L. C. Pitchford, and T. J. Moratz, Appl. Phys. Lett. **54**, 1403 (1989)]
- *Cold gas approximation (collision partner is at rest)*
  - usually valid for electrons, unless  $E/n$  is “very low” [M. Yousfi, A. Hennad, and A. Alkaa, Phys. Rev. E **49**, 3264 (1994)]
  - at very low  $E/n$ , as well as for simulations of ion transport the collision partner must be chosen from a background gas with Maxwellian velocity distribution
- *Monte Carlo simulation vs. Boltzmann equation*
  - equivalent, both have their advantages [for comparison of different techniques see e.g. N. R. Pinhão, Z. Donkó, D. Loffhagen, M. J. Pinheiro and E. A. Richley, Plasma Sources Sci. Technol. **13**, 719 (2004)].
  - *a lot of progress on BE solution* (multiterm methods, Greifswald & Belgrade groups, ....)
- *Benchmark your code!* [A. M. Nolan, M. J. Brennan, K. F. Ness and A. B. Wedding, 1997, J. Phys. D **30**, 2865; Z. M. Raspopović, S. Sakadžić, S. A. Bzenić, Z. Lj. Petrović, 1999, IEEE Trans. Plasma Science **27**, 1241.]



# The “null-collision” technique

$$P_{\text{coll}}(\Delta t) = 1 - \exp[-n\sigma_T v_1 \Delta t] = 1 - \exp[-\nu \Delta t]$$

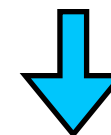
$\nu$  : collision frequency



**The idea:**  
introduce an artificial type of collision process (the “null-collision”), which makes the total collision frequency constant, independently of the particle velocity

$$\nu^* = \max\{n\sigma_T(v_1)v_1\}$$

$$\nu^*(v_1) = \nu(v_1) + \nu_{\text{null}}(v_1)$$



Flight time:

$$\tau = -\frac{1}{\nu^*} \ln(1 - R_{01})$$

No need to check for collision after each small  $\Delta t$ , after a free flight  $\tau$  the occurrence of a real/null collision is checked

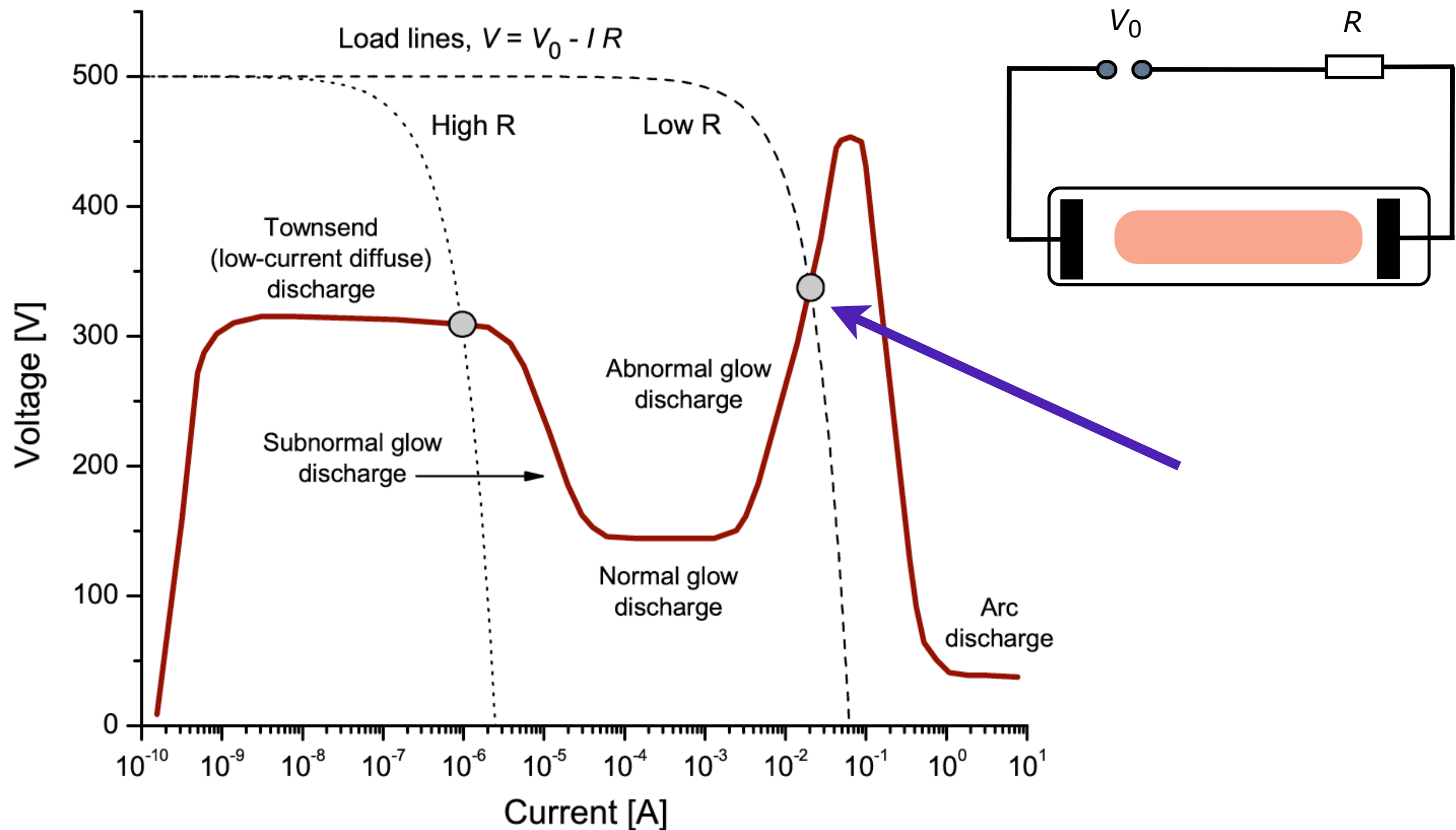
$$P_{\text{real}} = \frac{\nu_{\text{real}}}{\nu^*} = \frac{n\sigma_T(v_1)v_1}{\nu^*}$$

H. R. Skullerud, J. Phys. D: Appl. Phys. 1, 1567 (1968)

“The stochastic computer simulation of ion motion in a gas subjected to a constant electric field”

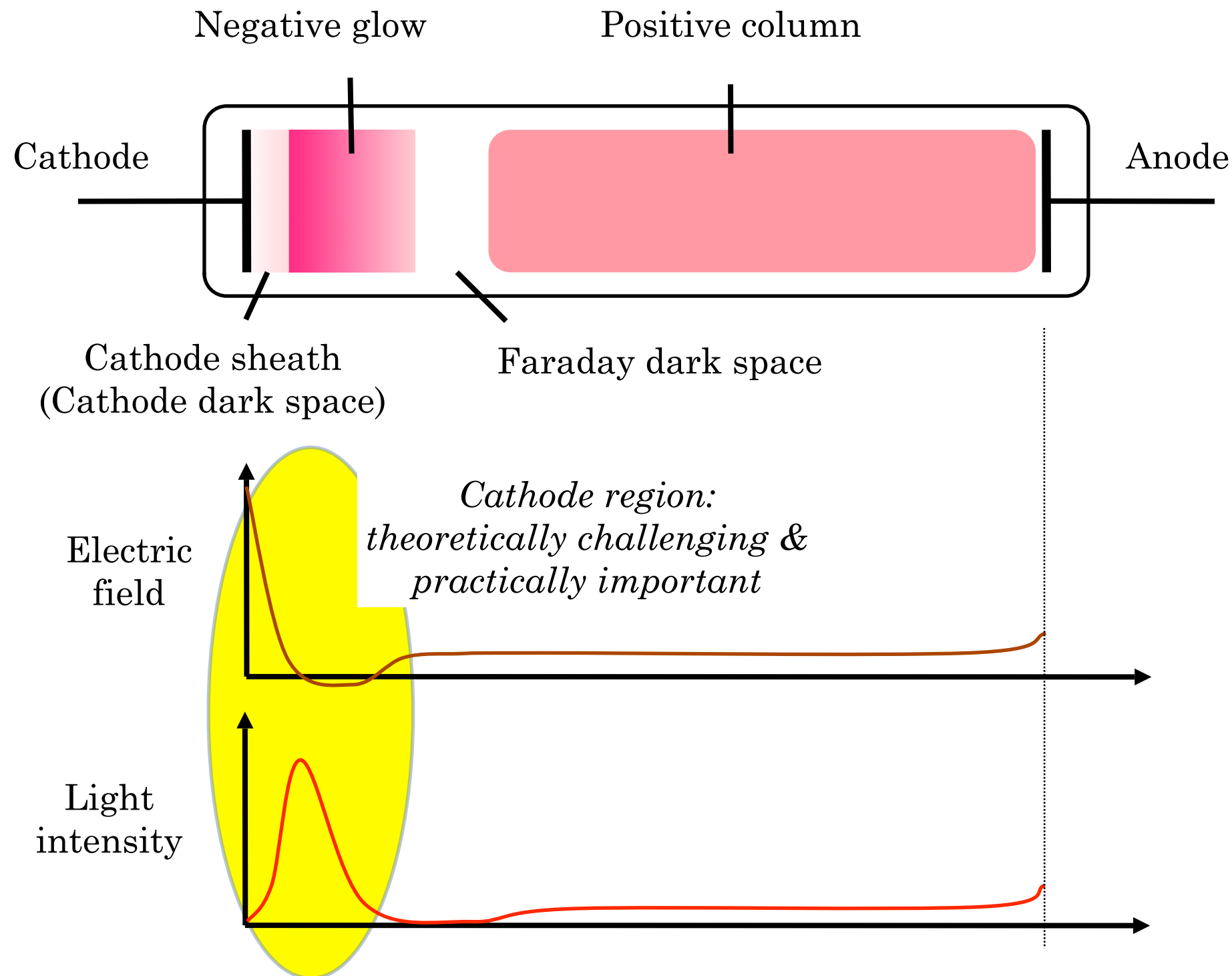
## II. Modeling of cold-cathode DC glow discharges

- Fluid models - how far can we go without kinetic simulations?
- Hybrid models - ionization source calculated at kinetic level





# DC discharges



- CDS: strong electric field, electrons (emitted from the cathode + secondaries) are accelerated
- NG: deposition of electron energy, intensive ionization & excitation
- FDS: low electron energy, no excitation and ionization
- PC: quasi-neutral plasma, ionization (in weak electric field) and wall losses balance each other.

# Self-consistent gas discharge models: a simple fluid model

- Self-consistent = ???
- What do we need for the mathematical description ?

Continuity equations:

$$\frac{\partial n_i}{\partial t} + \frac{\partial \phi_i}{\partial x} = S_i$$

$$\frac{\partial n_e}{\partial t} + \frac{\partial \phi_e}{\partial x} = S_e$$

Momentum transfer:

$$\phi_i = \mu_i n_i E - \frac{\partial(n_i D_i)}{\partial x}$$

$$\phi_e = -\mu_e n_e E - \frac{\partial(n_e D_e)}{\partial x}$$

Poisson equation:

$$\Delta V = -\frac{e}{\varepsilon_0}(n_i - n_e)$$

Source functions:

$$S_i = S_e = \alpha \Phi_e$$

$$\alpha/n = f(E/n)$$

local field approximation

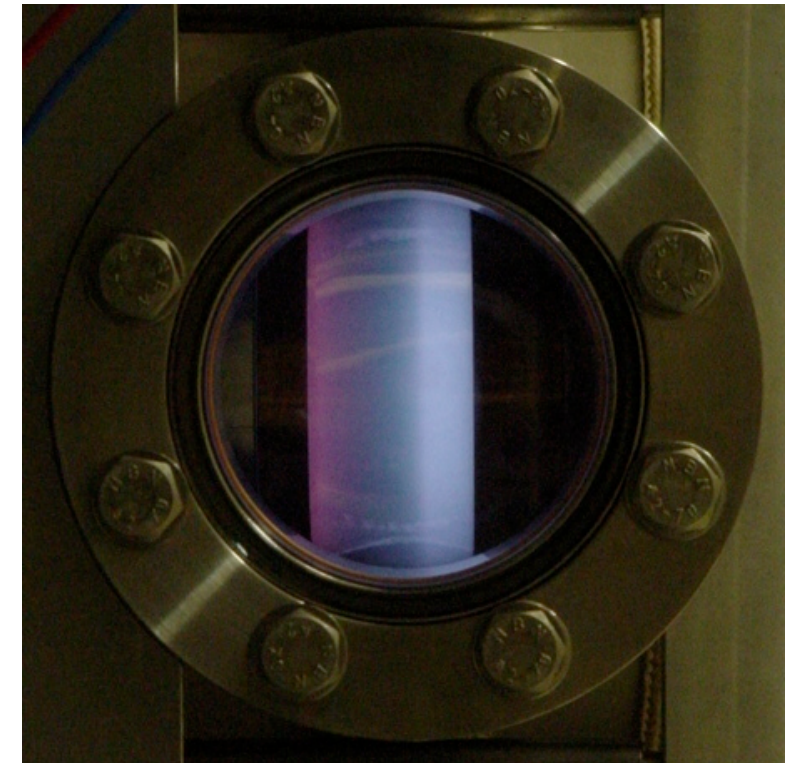
Boundary conditions:

$$V(0) = 0, V(L) = V_0$$

$$\left. \frac{\partial n_i}{\partial x} \right|_0 = 0 \quad n_i(L) = 0$$

$$n_e(0)v_e(0) = \gamma n_i(0)v_i(0)$$

$$n_e(L) = 0$$



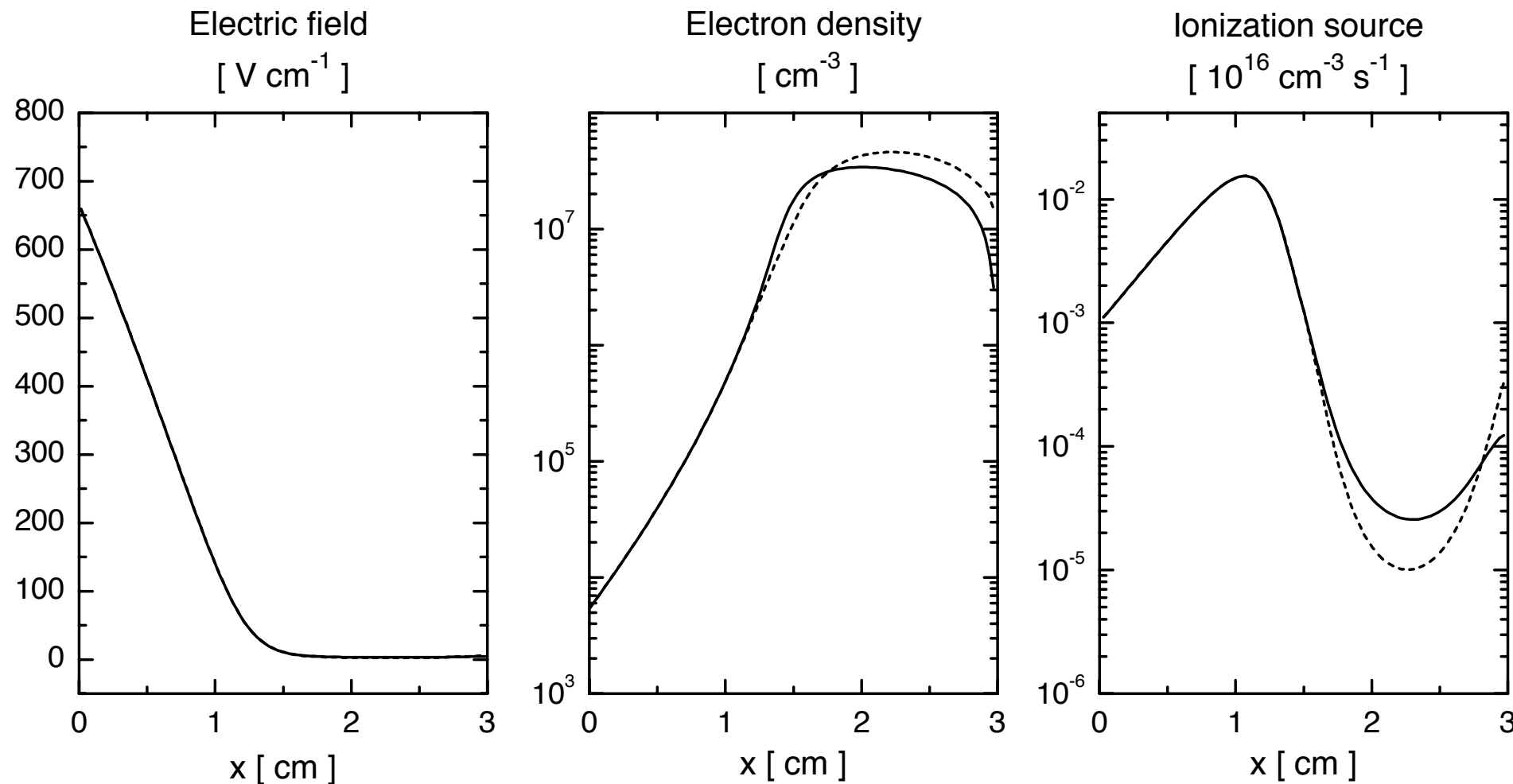
- **Cold-cathode abnormal glow**
- 1 dimension
- “short discharge”:  $D \gg L$
- Radial losses can be neglected
- 1-dimensional model
- Processes:
  - Drift
  - Diffusion
  - Ionization

# Results: simple fluid model

$$p = 40 \text{ Pa (Ar)}, V = 441 \text{ V}, T_g = 300 \text{ K}, L = 3 \text{ cm}, \gamma = 0.033$$

transport coefficients

$$\begin{aligned}\mu_i &= f(E/n) \\ D_i/\mu_i &= ekT_i = ekT_g \\ \mu_e &= \text{const.} \\ D_e/\mu_e &= ekT_e \leftarrow \text{input}\end{aligned}$$



Cathode sheath + negative glow structure reproduced  
Using  $E/n$ -dependent transport parameters is problematic

→ Can we do better?

Z. Donkó, P. Hartmann, K. Kutasi, Plasma Sources Sci. Technol. **15**, 178 (2006)  
W. J. M. Brok, personal communication



## Energy equation & Ionization source

Use 3rd moment of BE; equation for energy density ( $n_\varepsilon = n_e \bar{\varepsilon}$ ):

$$\frac{\partial n_\varepsilon}{\partial t} + \frac{\partial \phi_\varepsilon}{\partial x} = S_\varepsilon - L_\varepsilon$$

Energy source from field:

$$S_\varepsilon(x) = -E(x)\phi_e e$$

Energy flux:

$$\phi_\varepsilon = -\mu_\varepsilon n_\varepsilon E - \frac{\partial(n_\varepsilon D_\varepsilon)}{\partial x}$$

Energy loss due to collisions:

$$L_\varepsilon(x) = k_L[\bar{\varepsilon}(x)]n_e(x)$$

## Possibilities to calculate the ionization source:

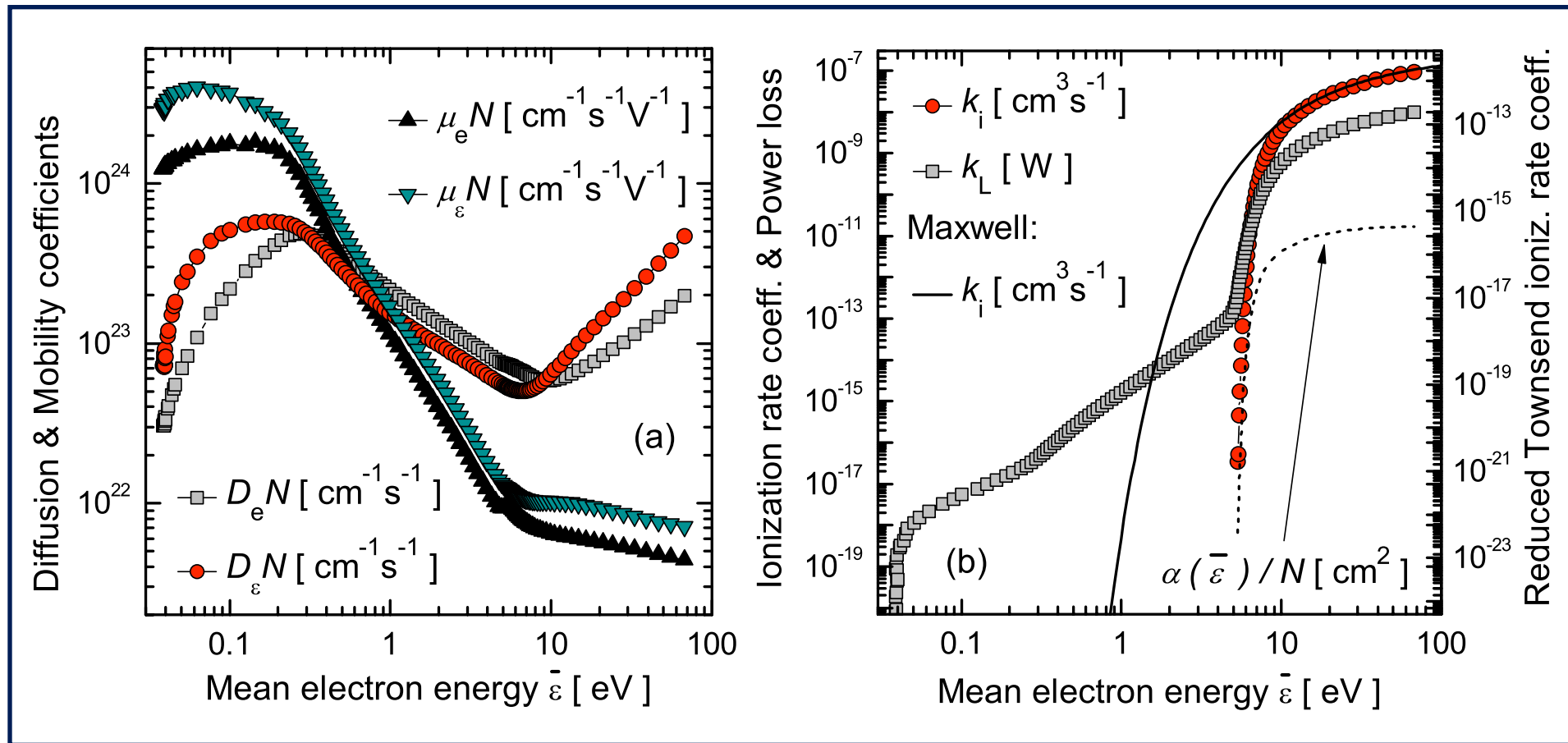
Flux - based:  $S(x) = \alpha[\bar{\varepsilon}(x)]|\phi_e(x)|$

Rate coefficient - based:  $S(x) = k_i[\bar{\varepsilon}(x)]n_e(x)N$   $k_i(\bar{\varepsilon}) = \sqrt{\frac{2e}{m}} \int_0^\infty \varepsilon \sigma_i(\varepsilon) F_0(\varepsilon) d\varepsilon$

see e.g. G J M Hagelaar and L C Pitchford, Plasma Sources Sci. Technol. **14**, 722 (2005)

## Transport coefficients & rates

To obtain these data: (1) carry out swarm calculations (MC) for a series of  $E/n$  values, and (2) organize the data as a function of the electron mean energy



$$\phi_i = \mu_i n_i E - \frac{\partial(n_i D_i)}{\partial x}$$

$$\phi_e = -\mu_e n_e E - \frac{\partial(n_e D_e)}{\partial x}$$

$$\phi_\epsilon = -\mu_\epsilon n_\epsilon E - \frac{\partial(n_\epsilon D_\epsilon)}{\partial x}$$

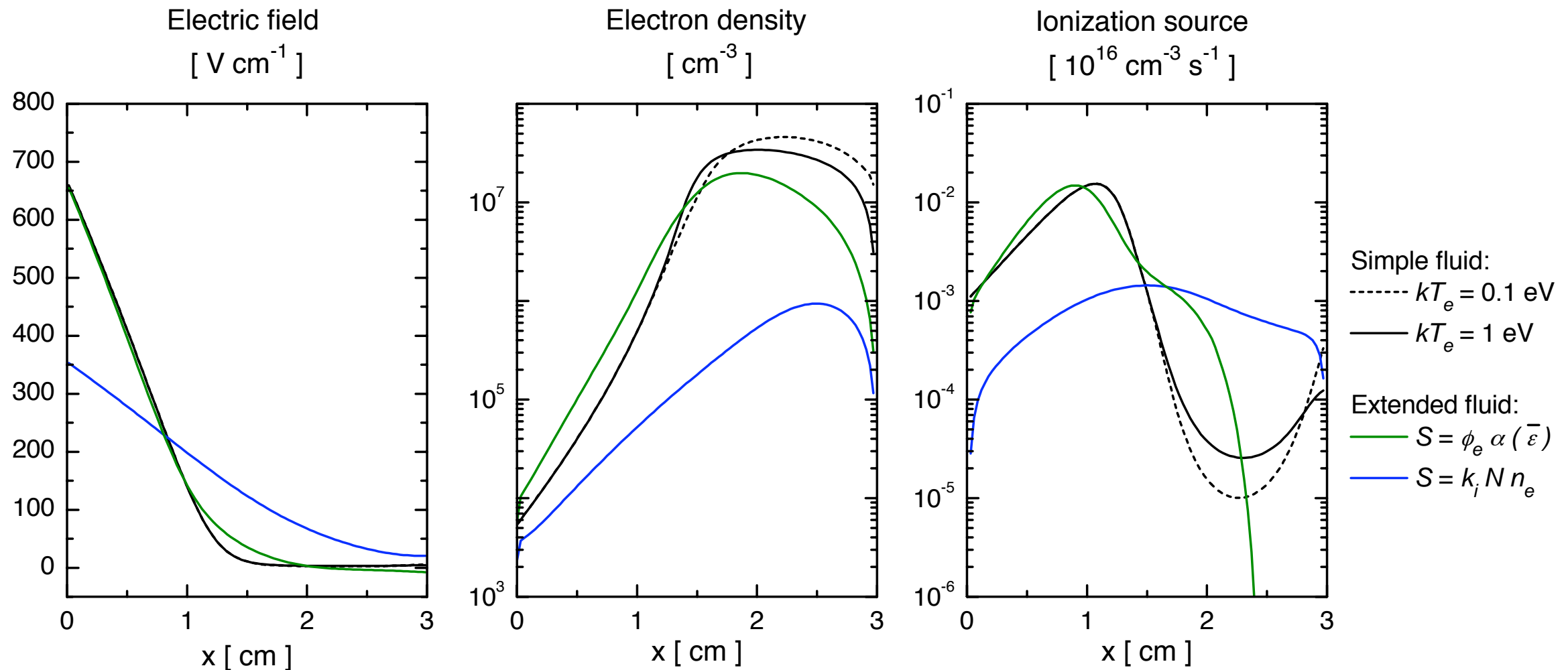
$$L_\epsilon(x) = k_L[\bar{\epsilon}(x)] n_e(x)$$

$$k_i(\bar{\epsilon}) = \sqrt{\frac{2e}{m}} \int_0^\infty \epsilon \sigma_i(\epsilon) F_0(\epsilon) d\epsilon$$

A. Derzsi, P. Hartmann, I. Korolov, J. Karácsony, G. Bánó, and Z Donkó, J. Phys. D: Appl. Phys. **42**, 225204 (2009)

M. M. Becker, D. Loffhagen, and W. Schmidt, Comput. Phys. Commun. **180**, 1230 (2009), ... and many groups before...

$$p = 40 \text{ Pa (Ar)}, V = 441 \text{ V}, T_g = 300 \text{ K}, L = 3 \text{ cm}, \gamma = 0.033$$



Most of the results are close to those of “simple” fluid models

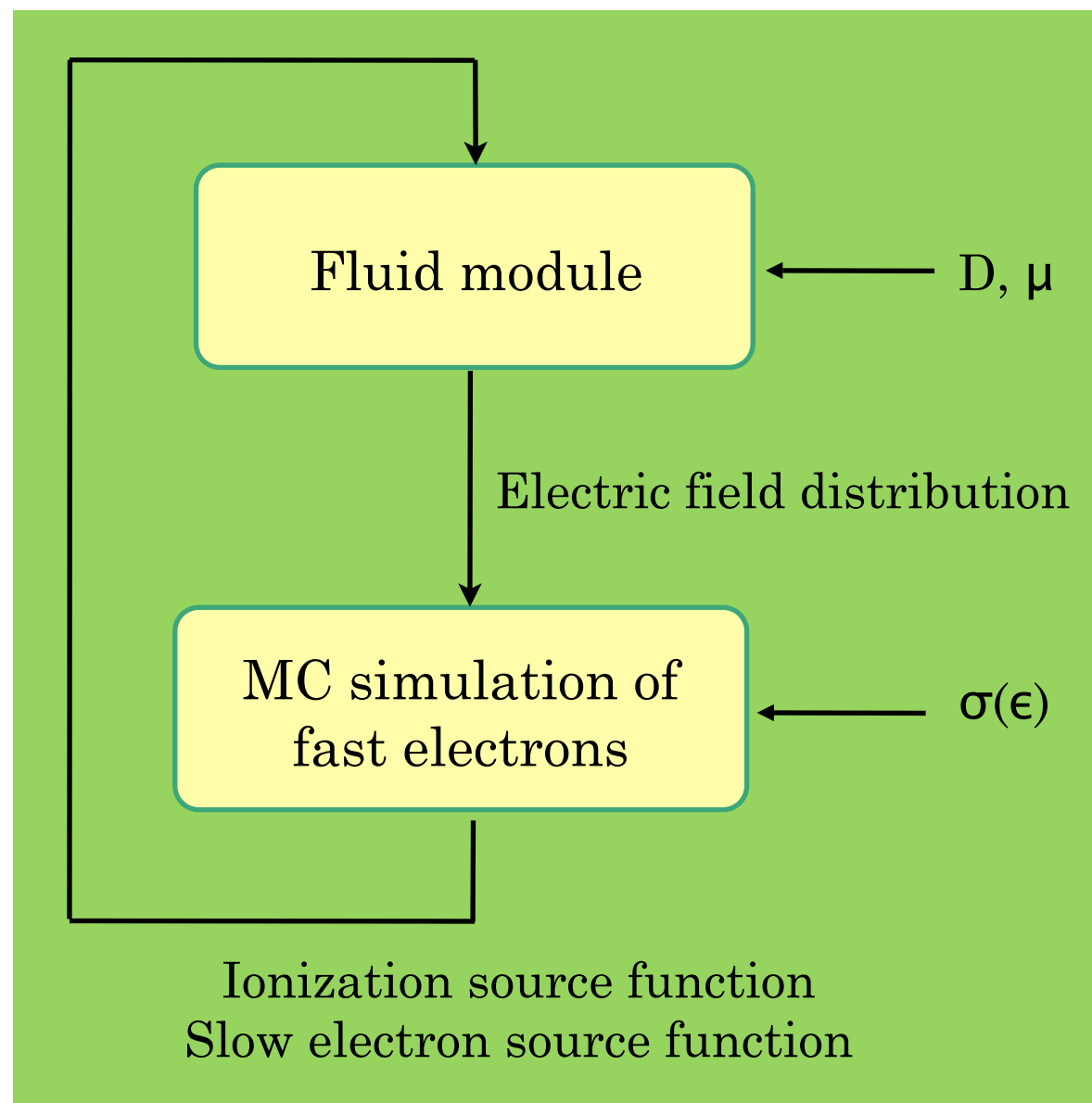
Extended fluid model with flux-based source calculation predicts a field reversal

BUT: still large differences between the results of different models  $\longrightarrow$  Can we do better?

## The idea:

calculate the ionization source from kinetic simulation of fast electrons

Monte Carlo simulation - becomes inefficient for slow electrons - treat slow electrons as fluid



M. Surendra, D. B. Graves, and G. M. Jellum, Phys. Rev. A **41**, 1112 (1990).

J. P. Boeuf and L. C. Pitchford, IEEE Trans. Plasma Sci. **19**, 286 (1991).

A. Fiala, L. C. Pitchford, and J. P. Boeuf, Phys. Rev. E **49**, 5607 (1994).

A. Bogaerts, R. Gijbels, and W. J. Goedheer, J. Appl. Phys. **78**, 2233 (1995).

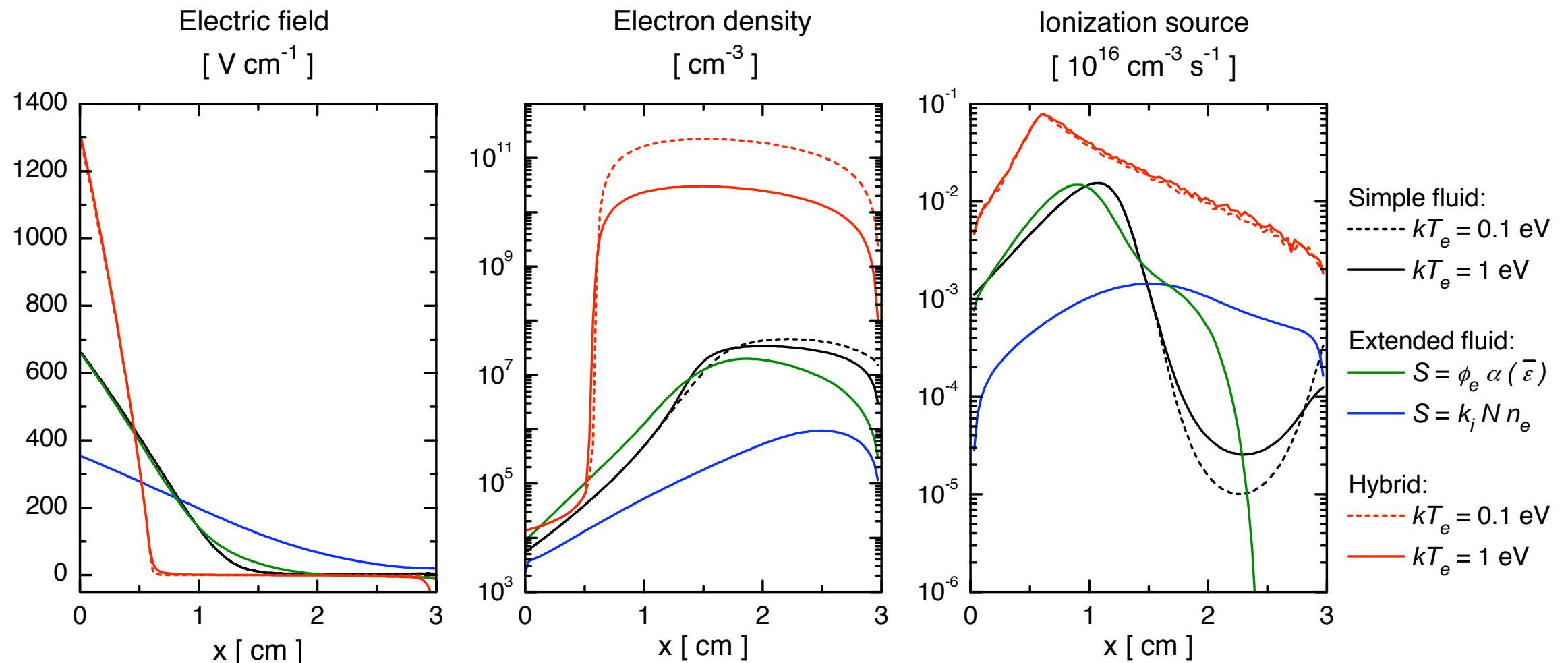
$$S_{i,e}(x) = \frac{j}{e(1 + 1/\gamma)\Delta x} \frac{N_{i,e}(x)}{N_0^{\text{MC}}}$$



$$\begin{aligned} \frac{\partial n_i}{\partial t} + \frac{\partial \phi_i}{\partial x} &= S_i \\ \frac{\partial n_e}{\partial t} + \frac{\partial \phi_e}{\partial x} &= S_e \end{aligned}$$



$$p = 40 \text{ Pa (Ar)}, V = 441 \text{ V}, T_g = 300 \text{ K}, L = 3 \text{ cm}, \gamma = 0.033$$

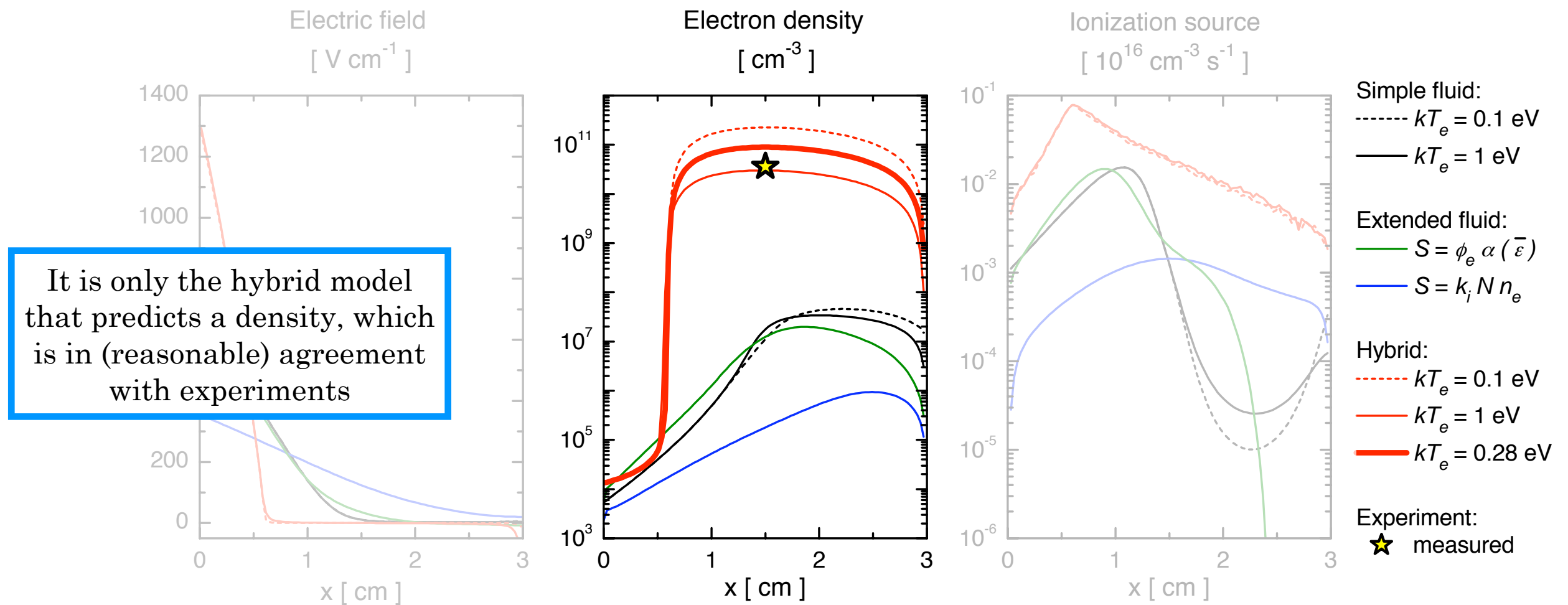


Significant differences: e.g. orders of magnitude difference between the charge densities

“On the accuracy and limitations of fluid models of the cathode region of dc glow discharges”

A. Derzsi, P. Hartmann, I. Korolov, J. Karácsony, G. Bánó, and Z. Donkó, J. Phys. D: Appl. Phys. **42**, 225204 (2009)

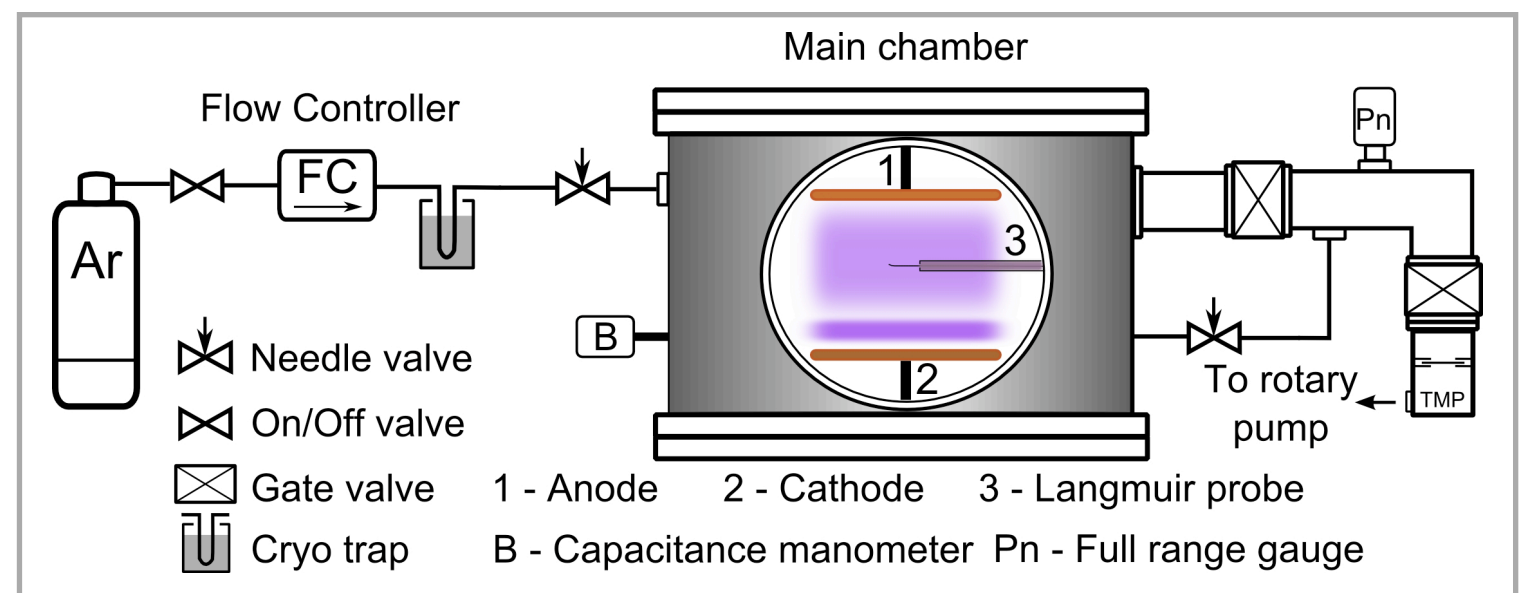
## Hybrid model : consistency (I)



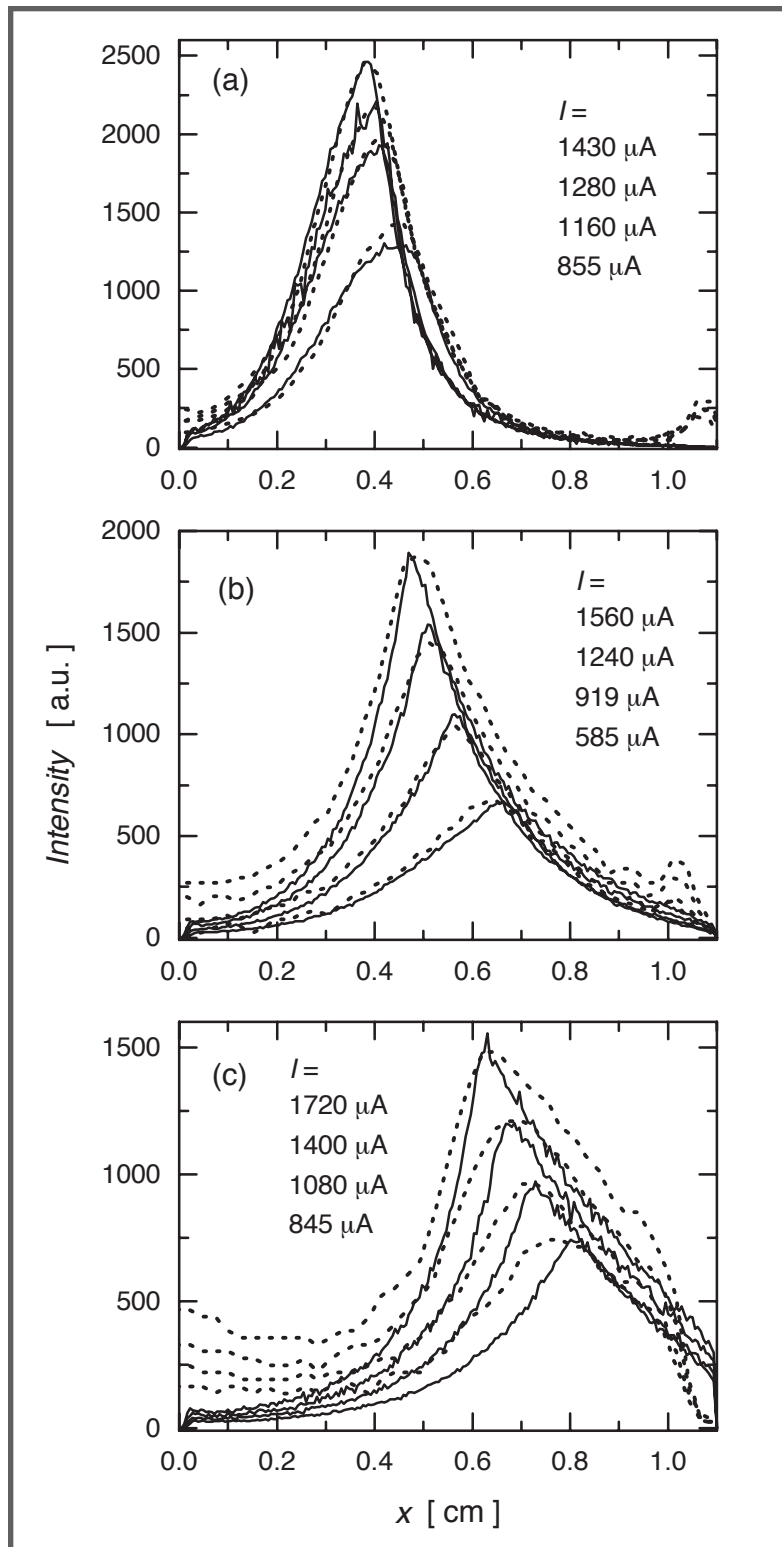
$p = 40$  Pa (Ar),  
 $V = 441$  V,  
 $T_g = 300$  K,  
 $L = 3$  cm,  
 $\gamma = 0.033$

Measurements with  
Langmuir probe

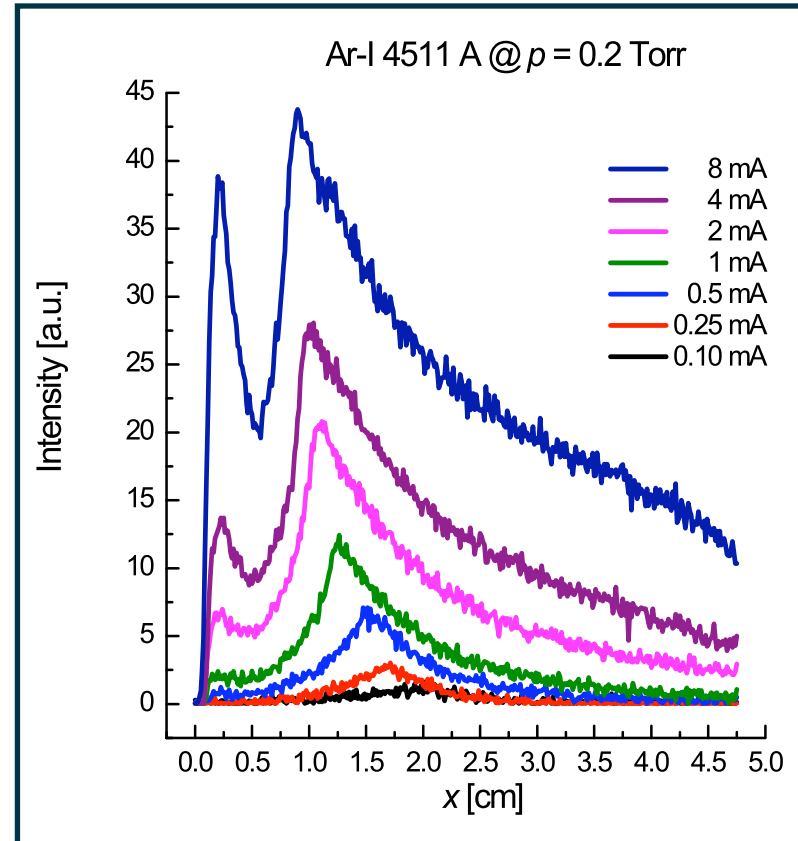
A. Derzsi, P. Hartmann, I. Korolov, J. Karácsony,  
 G. Bánó, and Z. Donkó,  
 J. Phys. D: Appl. Phys. **42**, 225204 (2009)



## EXPERIMENT & MODELS



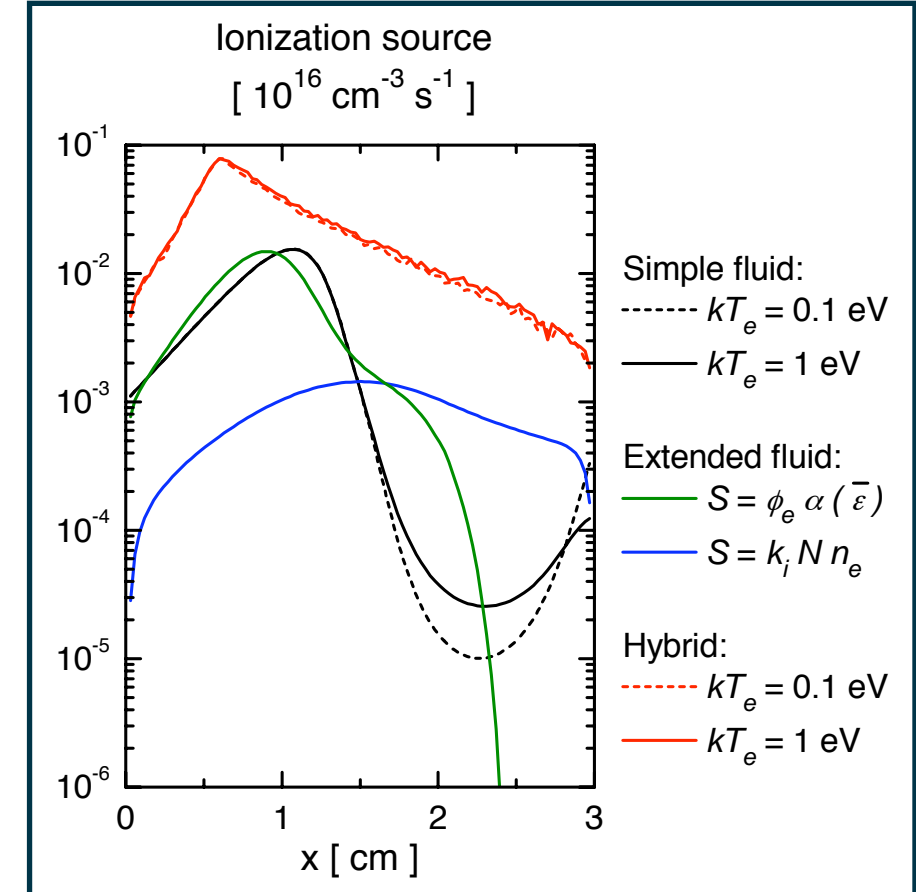
## EXPERIMENT



K. Rózsa, A. Gallagher and Z. Donkó:  
"Excitation of Ar lines in the cathode  
region of a DC discharge",  
Physical Review E **52**, 913-918 (1995)

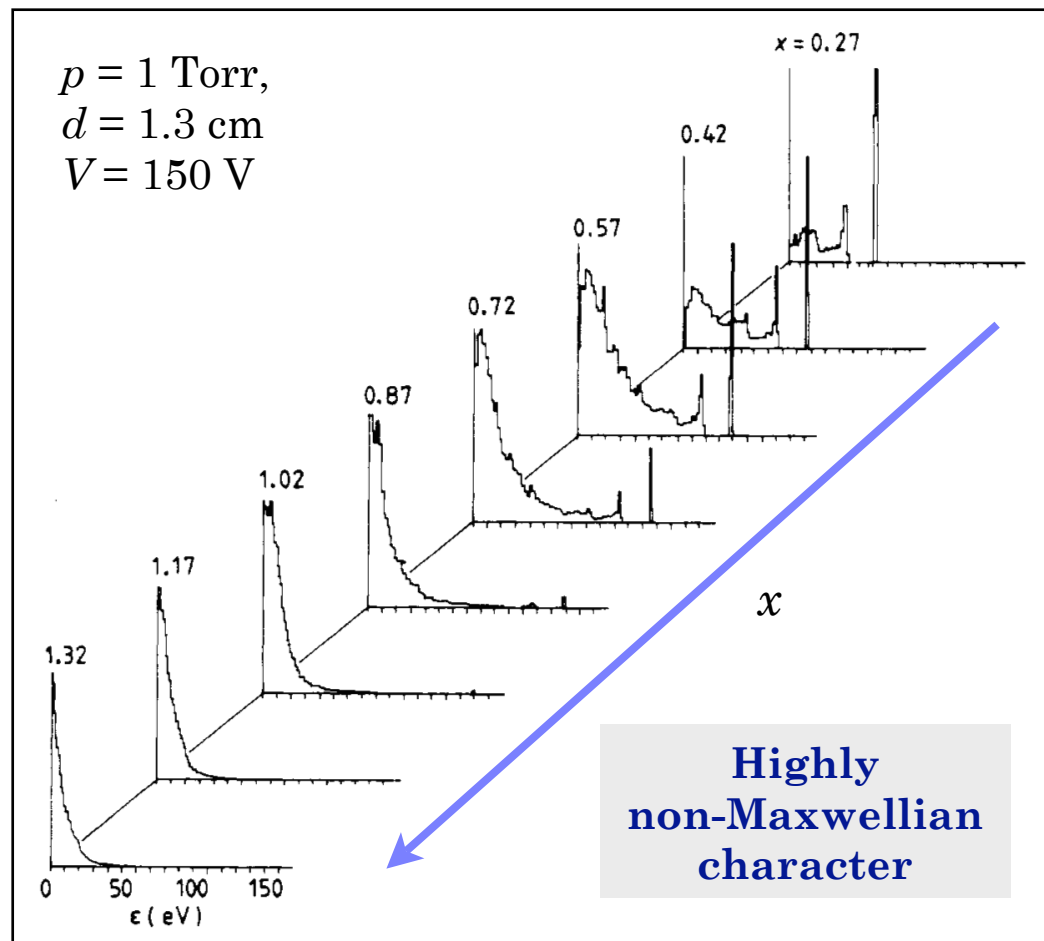
D. Marić, K. Kutasi, G. Malović, Z. Donkó and Z. Lj. Petrović:  
"Axial emission profiles and apparent secondary electron yield in  
abnormal glow discharges in argon", Eur. Phys. J D **21**, 73 (2002)

## MODELS



It is only the hybrid model that  
predicts an exponentially falling  
ionization (and excitation) source  
beyond the sheath-glow boundary

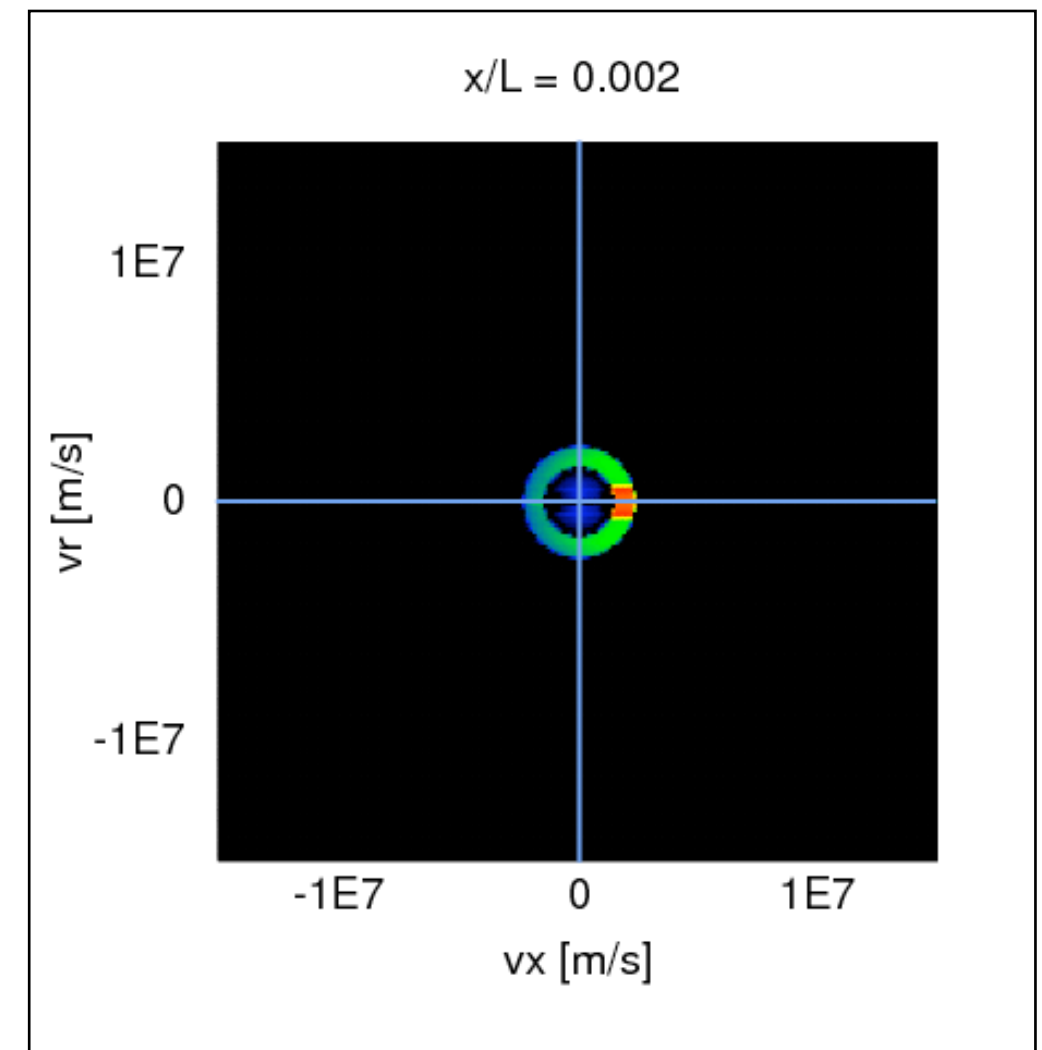
The spatial variation of the distribution function in the cathode sheath



J. P. Boeuf and E. Marode J. Phys. D **15** 2169 (1982)  
“A Monte Carlo analysis of an electron swarm in nonuniform field: the cathode region of a glow discharge in helium”

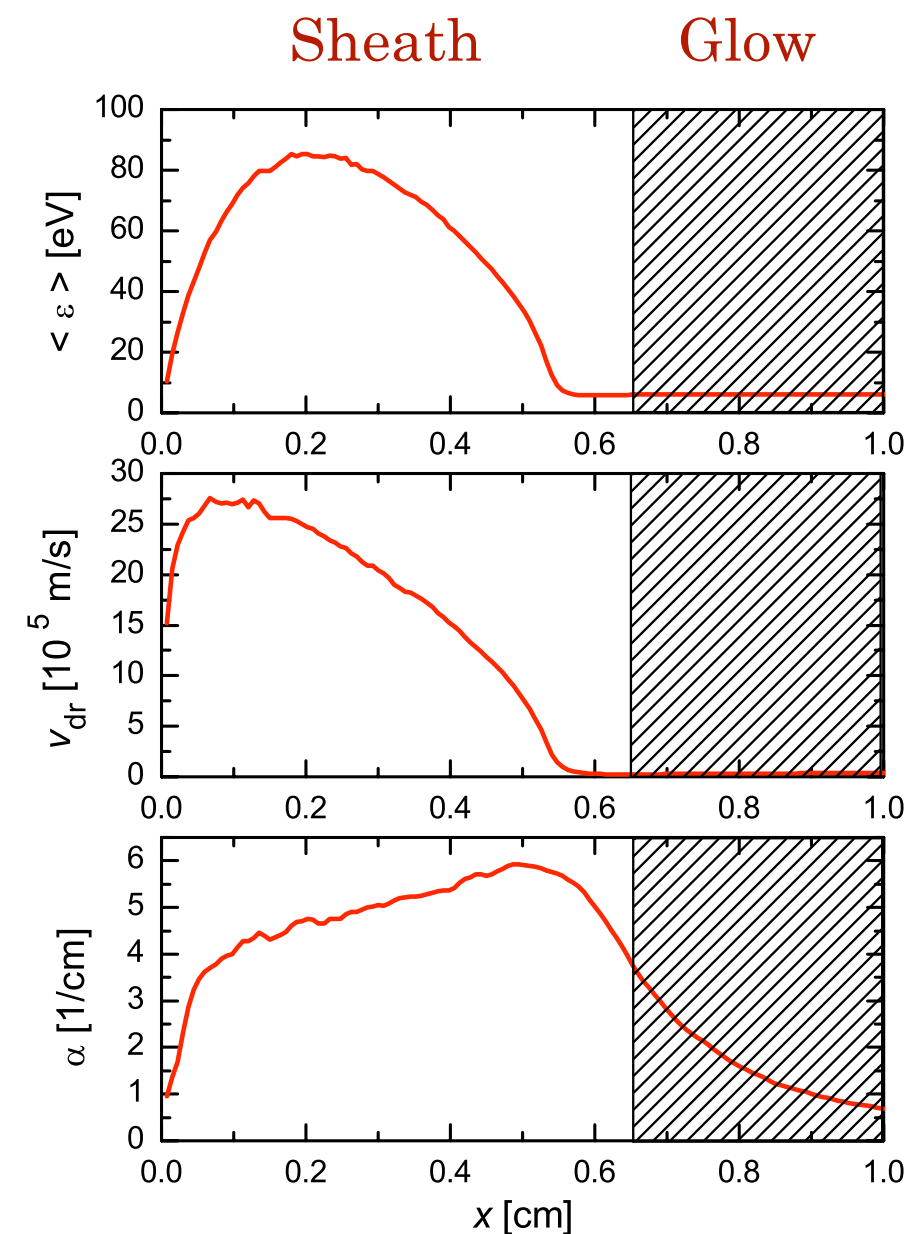
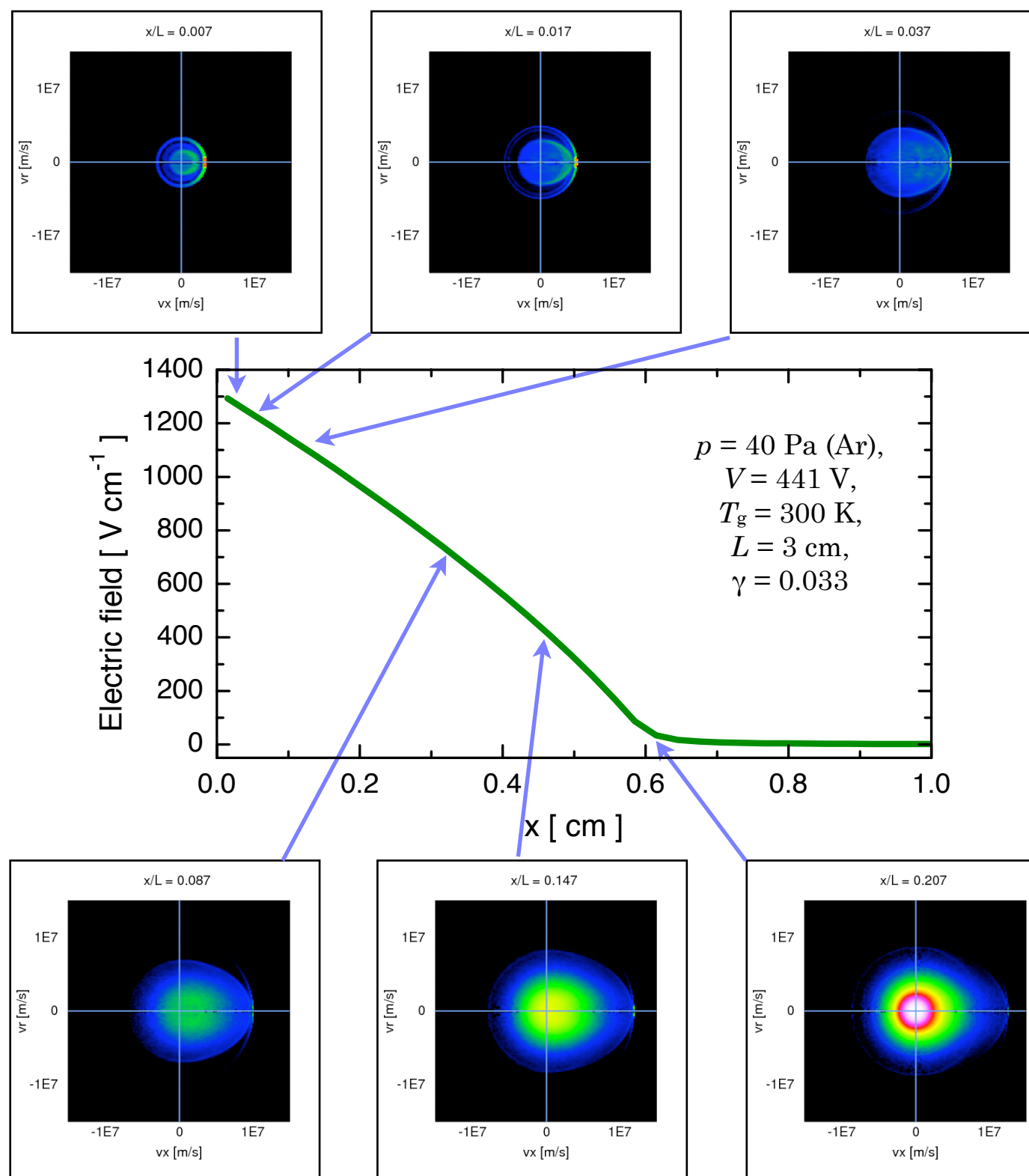
The VDF:

$p = 40$  Pa (Ar),  $V = 441$  V,  $T_g = 300$  K,  
 $L = 3$  cm,  $\gamma = 0.033$



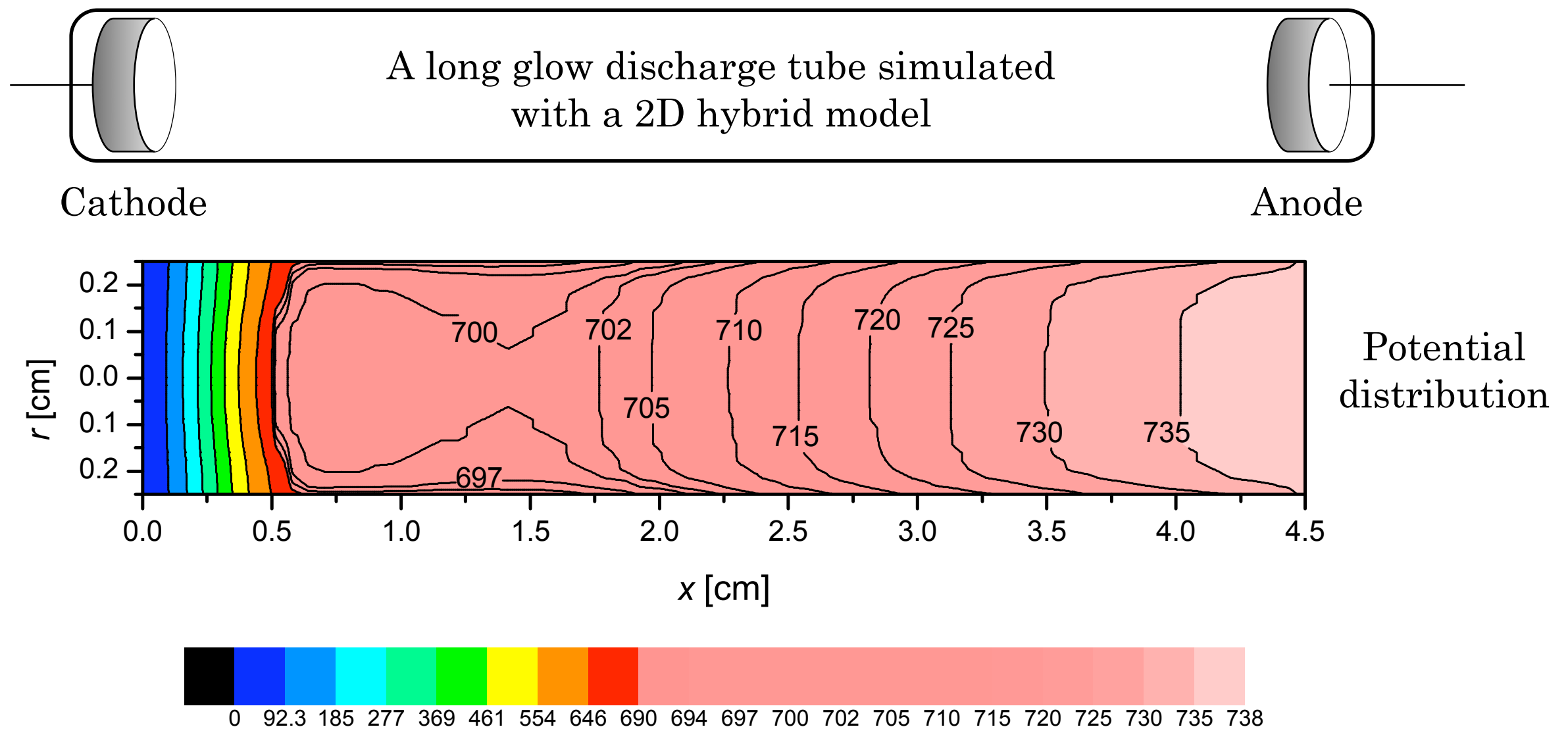


# Analysis of the VDF in the DC glow sheath

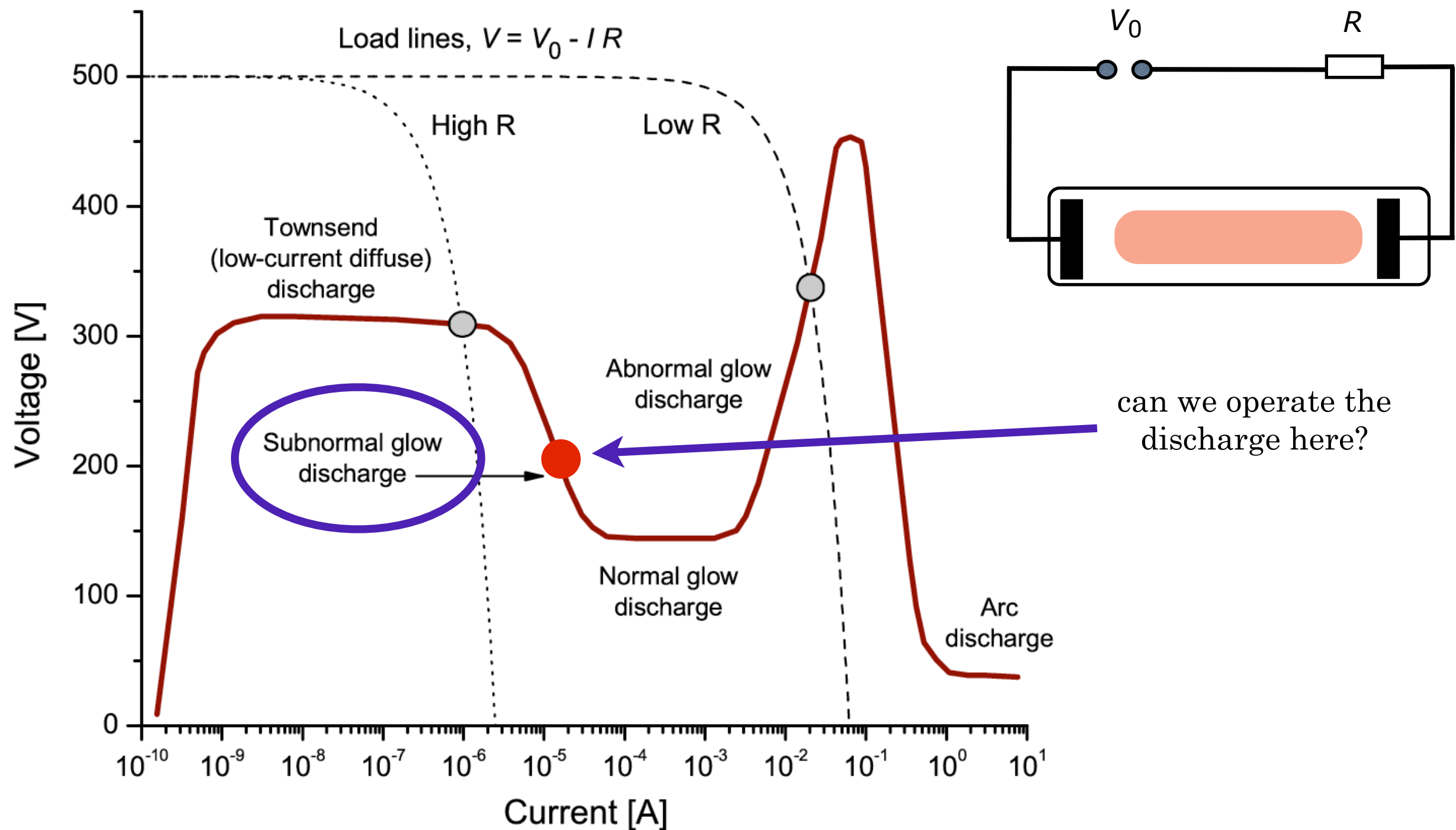


Conclusion: characteristic features captured by kinetic description only

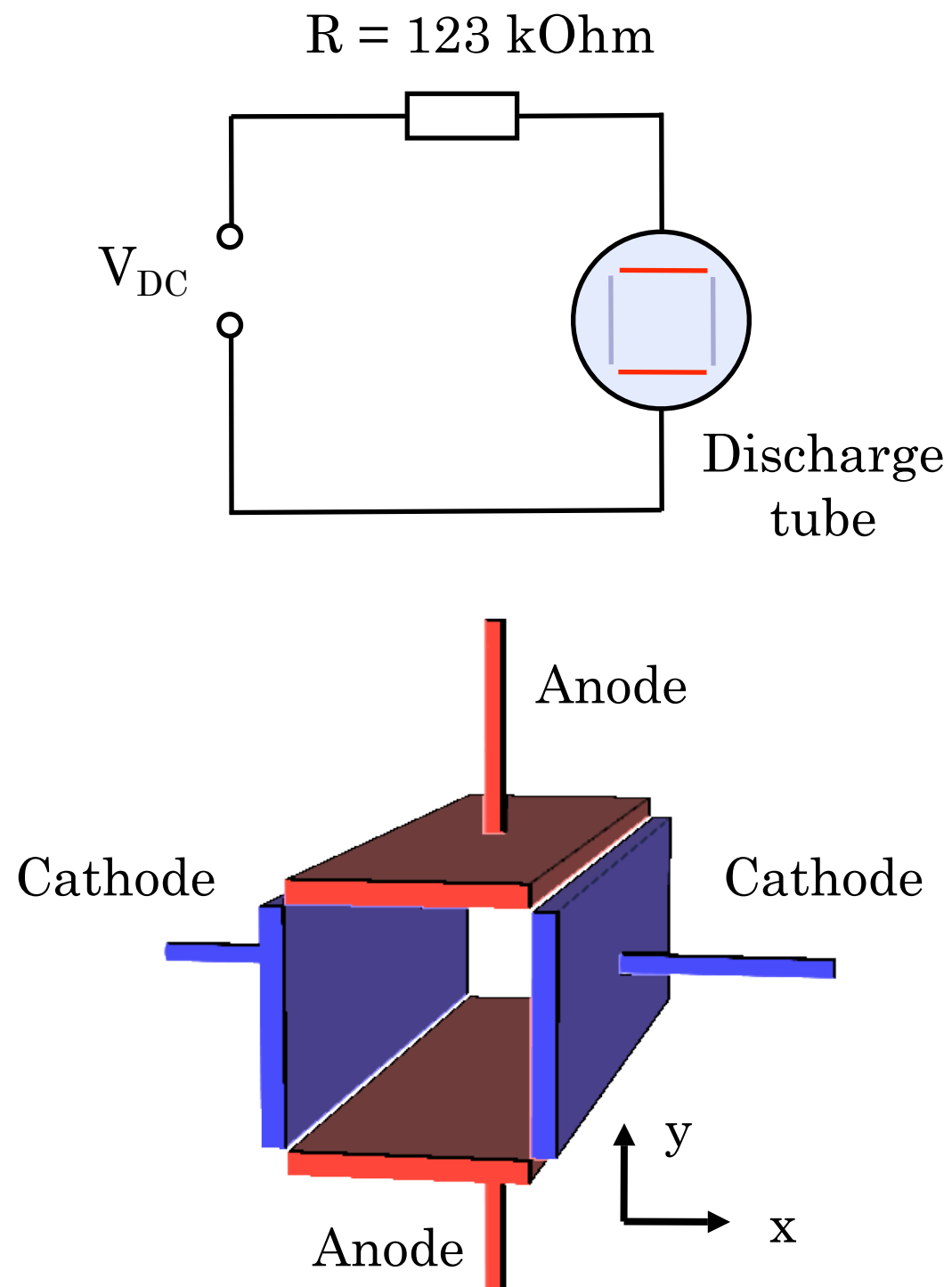
# Applications of hybrid models: Simulation of a “long” glow discharge



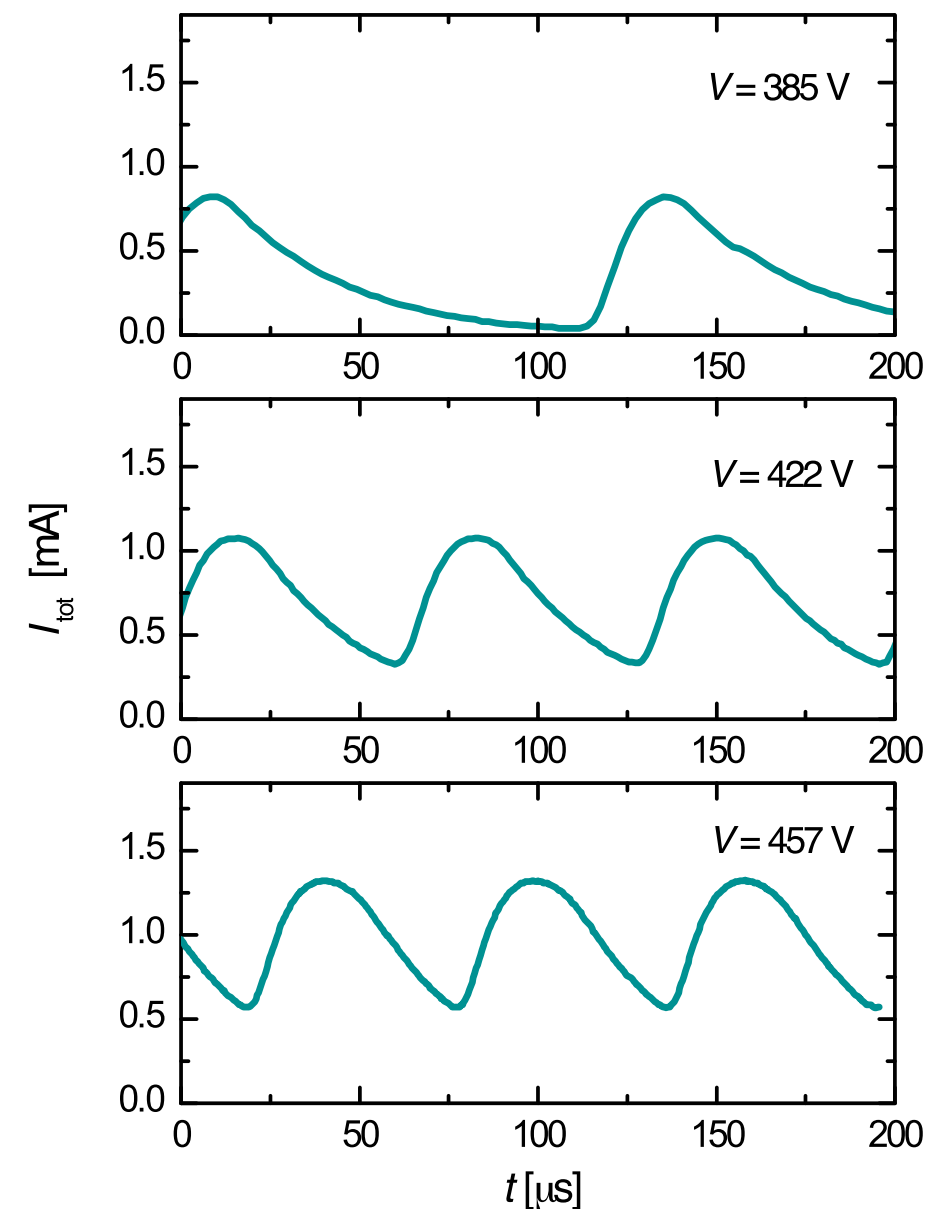
All characteristic regions reproduced



# Applications of hybrid models: Self-generated oscillations in a hollow cathode discharge

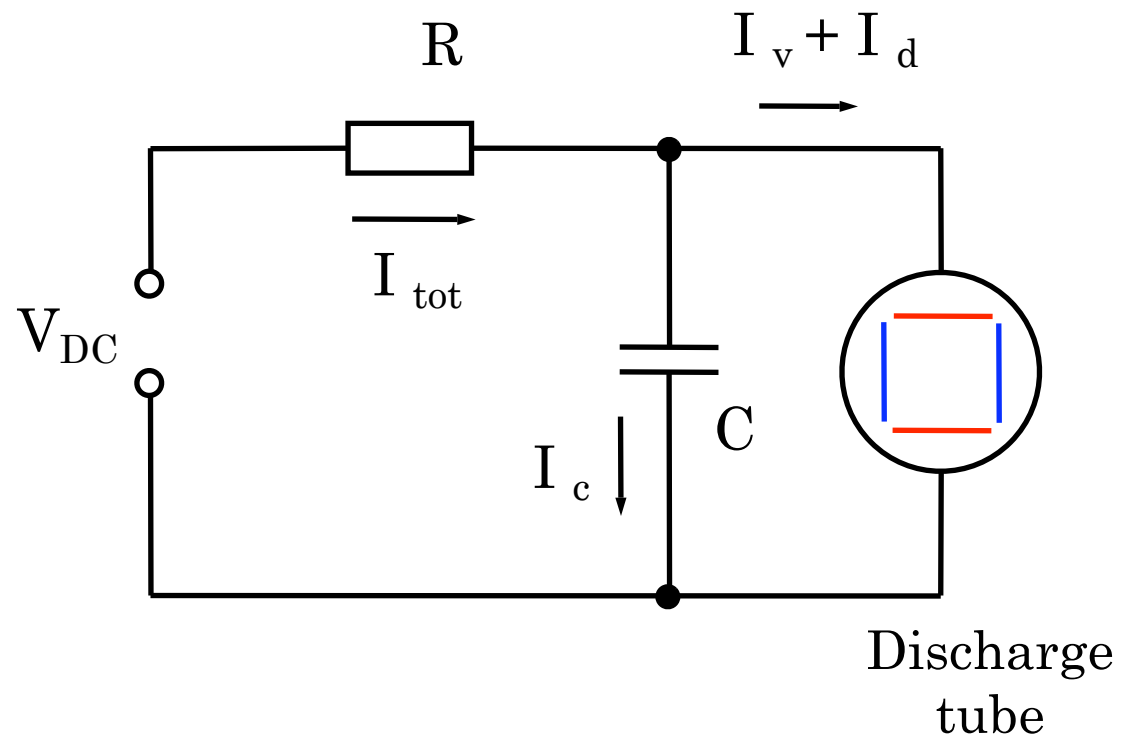


Experimentally observed oscillations:





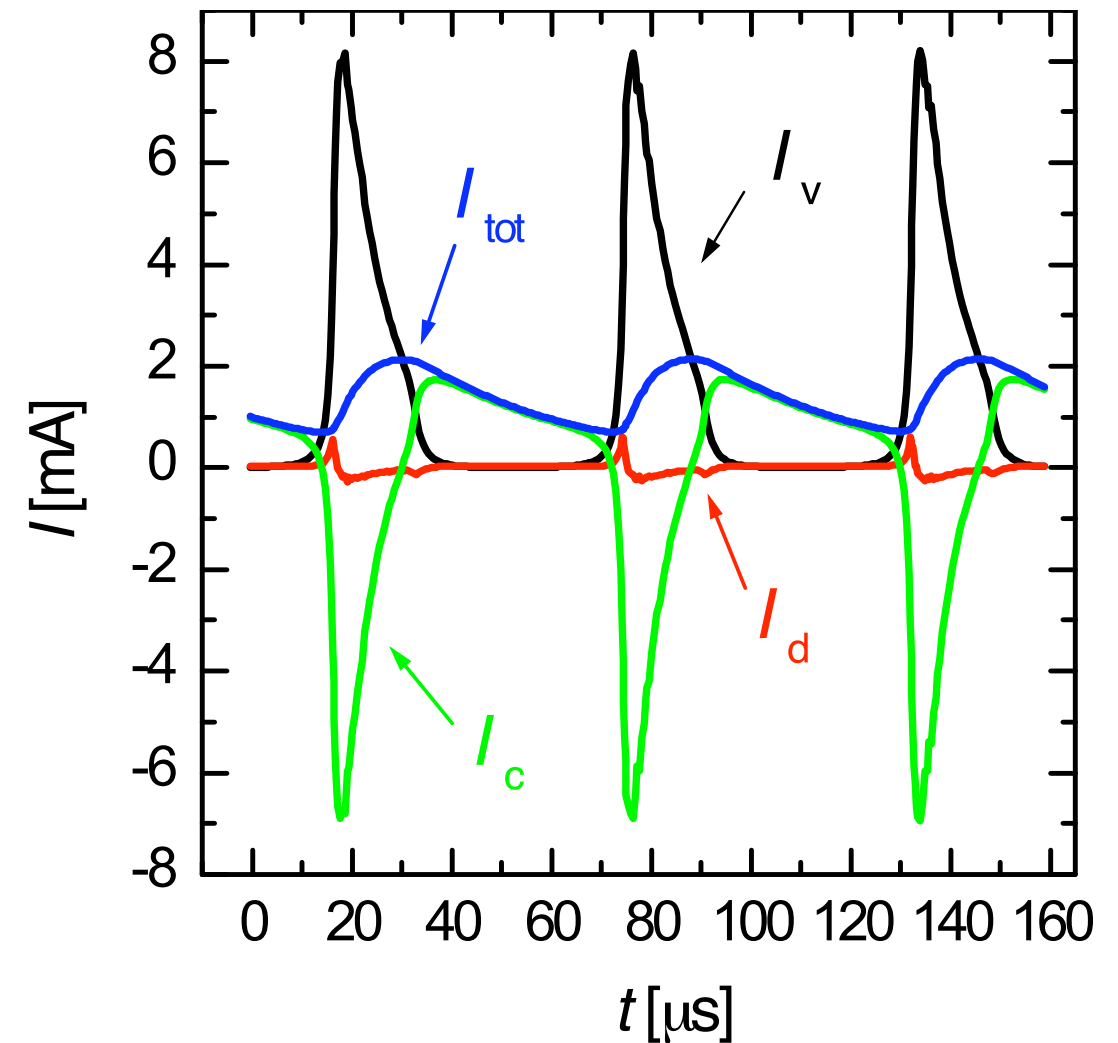
# Applications of hybrid models: Self-generated oscillations in a hollow cathode discharge



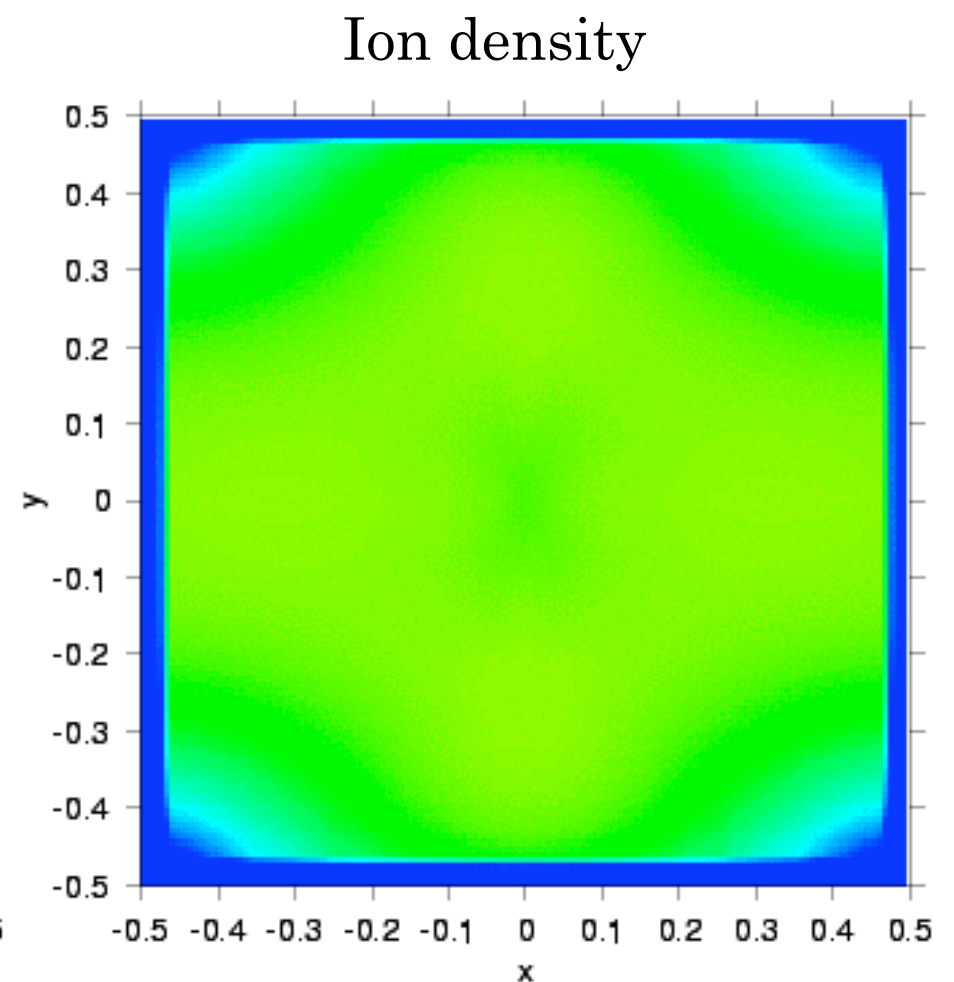
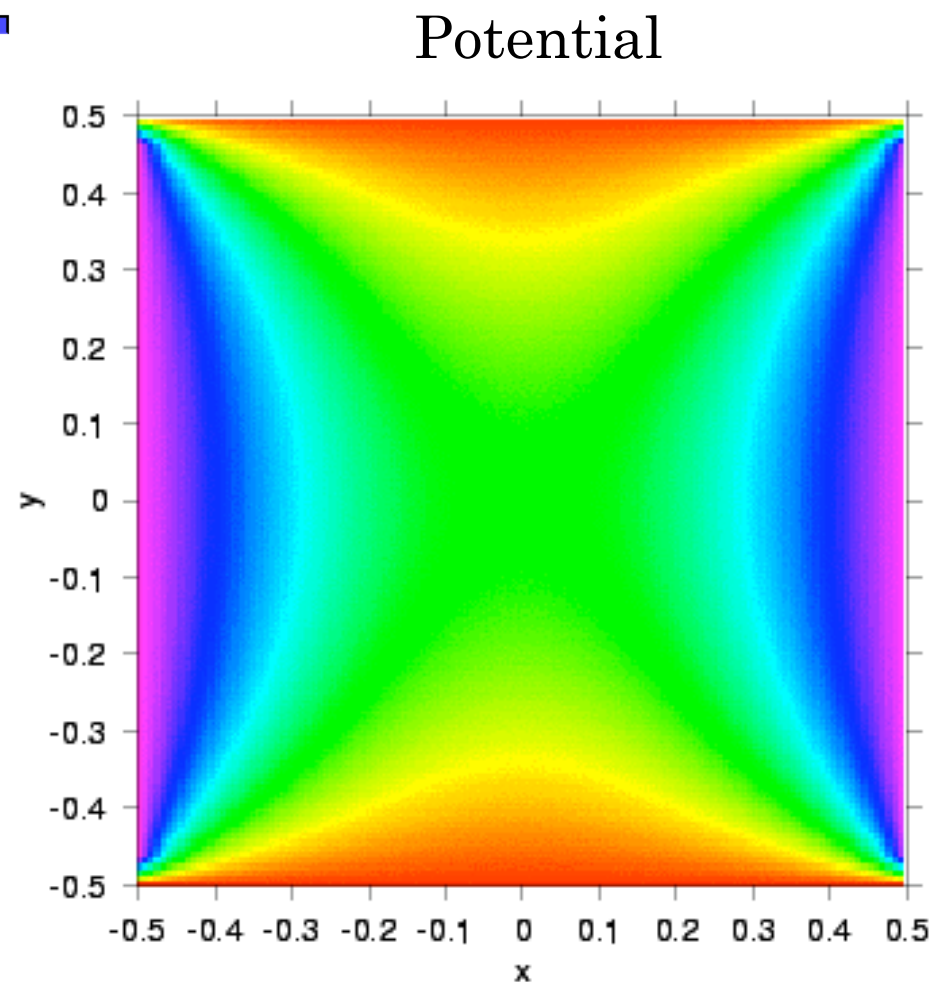
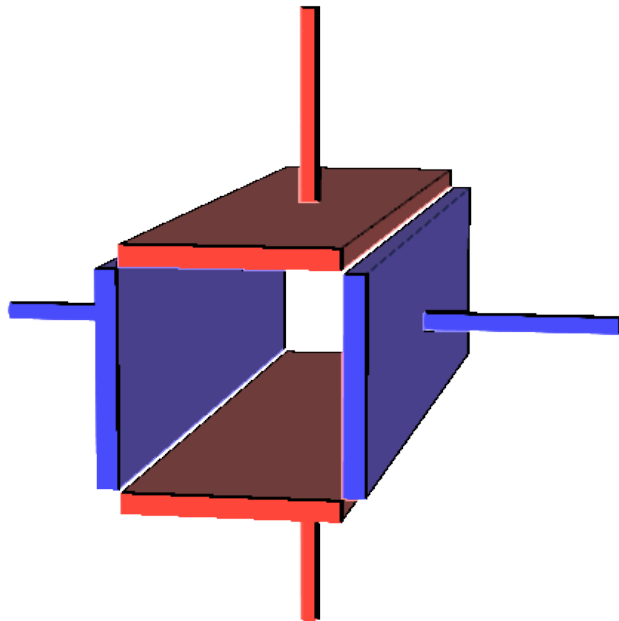
2D hybrid model +

$$I_{tot} = I_v + I_d + I_c \quad V_{DC} = V - RI_{tot}$$

$$I_d = \varepsilon_0 \int_{(Cath.)} \frac{dE_n}{dt} dA \quad I_c \cong C \frac{\Delta V}{\Delta t}$$



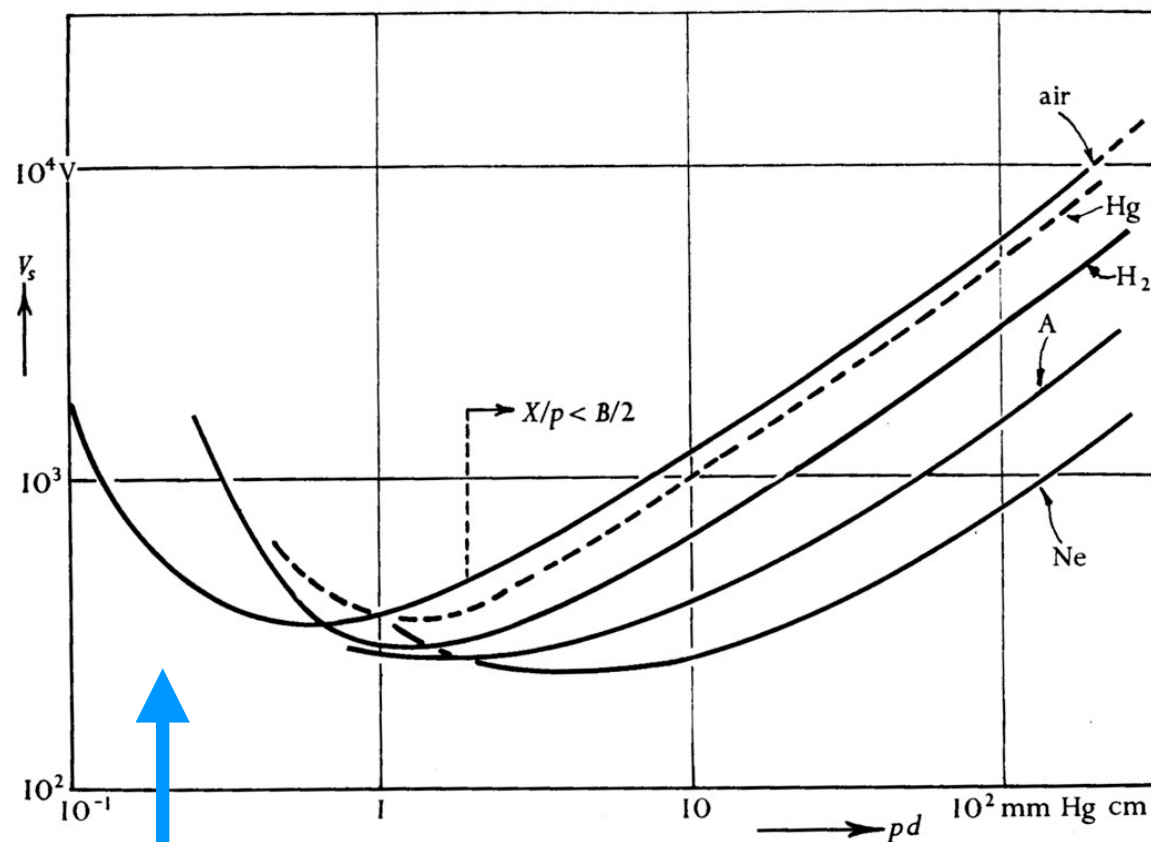
# Applications of hybrid models: Self-generated oscillations in a hollow cathode discharge



Z. Donkó, J. Phys. D. 32, 1657 (1999)

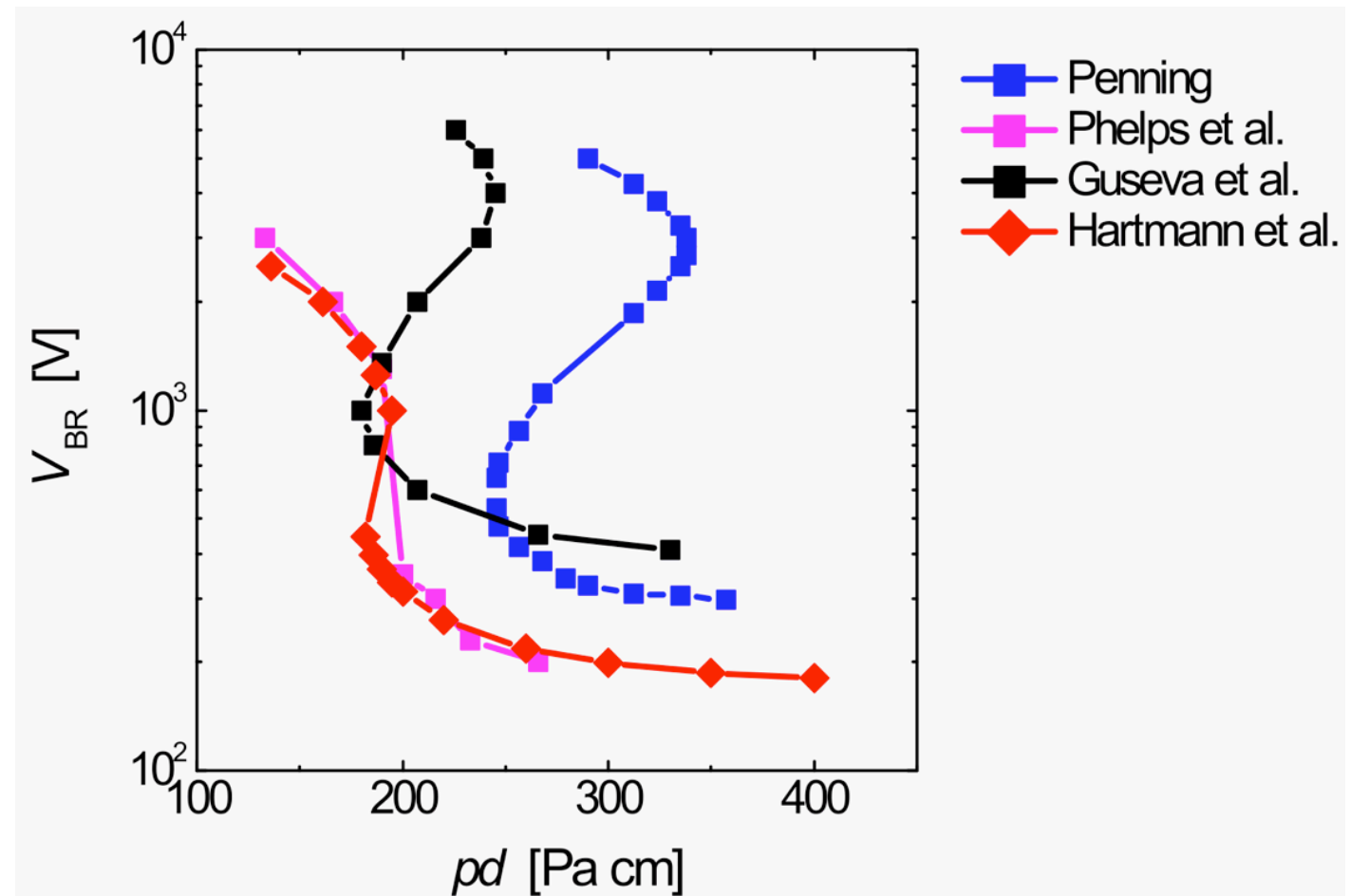
# Heavy particle processes in low-pressure discharges

Paschen curve for Ar, Ne, Hg, ....



Electron motion at left side of the Paschen curve becomes highly nonlocal  $\rightarrow$  need for kinetic description

Measured breakdown curves for helium gas:



What causes the strange shape for Helium ??

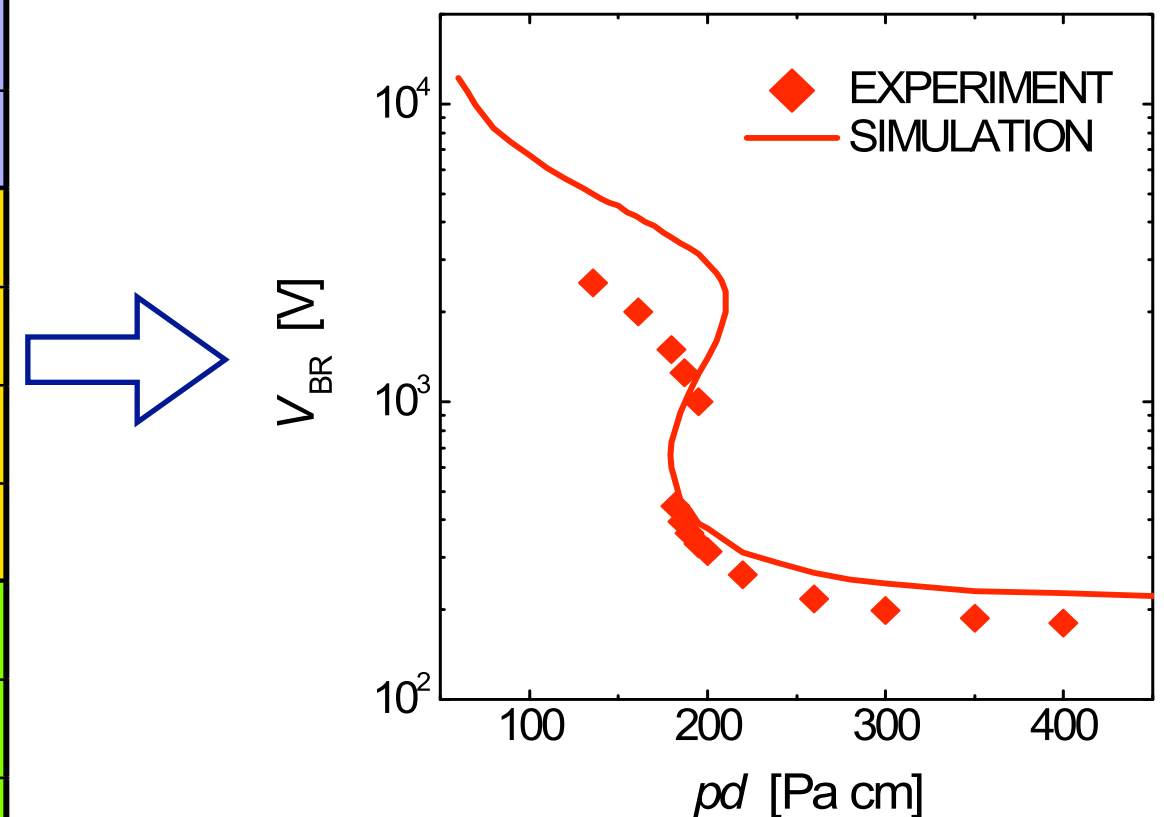
P. Hartmann, Z. Donkó, G. Bánó, L. Szalai, K. Rózsa,  
Plasma Sources Sci. Technol. **9**, 183 (2000).



Simulation including the Monte Carlo treatment of  
electrons, ions, as well as fast atoms

$e^- + X \rightarrow e^- + X$	Elastic collision
$e^- + X \rightarrow e^- + X^*$	Excitation
$e^- + X \rightarrow 2e^- + X^+$	Ionization
$X^+ + X \rightarrow X^+ + X^F$	Elastic collision (isotropic part)
$X^+ + X \rightarrow X^+ + X^F$	Elastic collision (backward part)
$X^+ + X \rightarrow X^+ + X^*$	Excitation
$X^+ + X \rightarrow 2X^+ + e^-$	Ionization
$X^F + X \rightarrow X^F + X^F$	Elastic collision
$X^F + X \rightarrow X^F + X^*$	Excitation
$X^F + X \rightarrow X^F + X^+ + e^-$	Ionization

P. Hartmann, Z. Donkó, G. Bánó, L. Szalai, K. Rózsa,  
Plasma Sources Sci. Technol. **9**, 183 (2000).



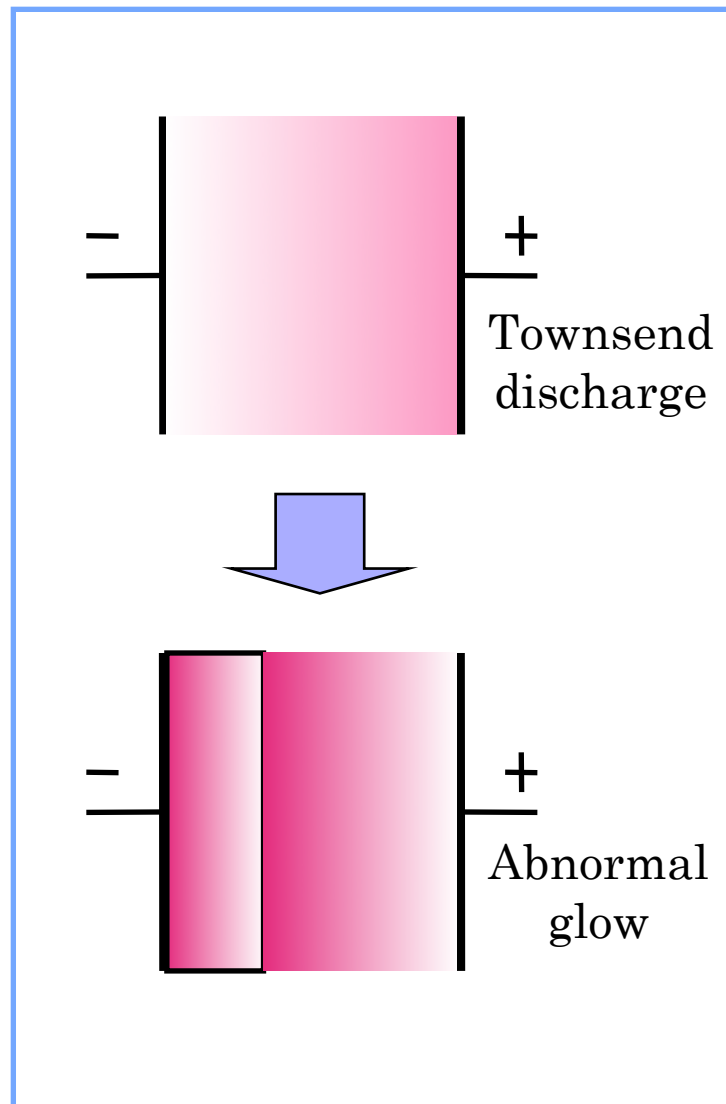
What causes the strange shape for Helium ??

- (i) ion-induced ionization,
- (ii) secondary electron emission due to fast atoms

+ reasonable data for secondary yields  
for all these species

# Townsend discharge – abnormal glow transition

Heavy particle hybrid model:  
Monte Carlo simulation of  
*fast electrons,*  
*fast positive ions* and  
*fast neutrals*

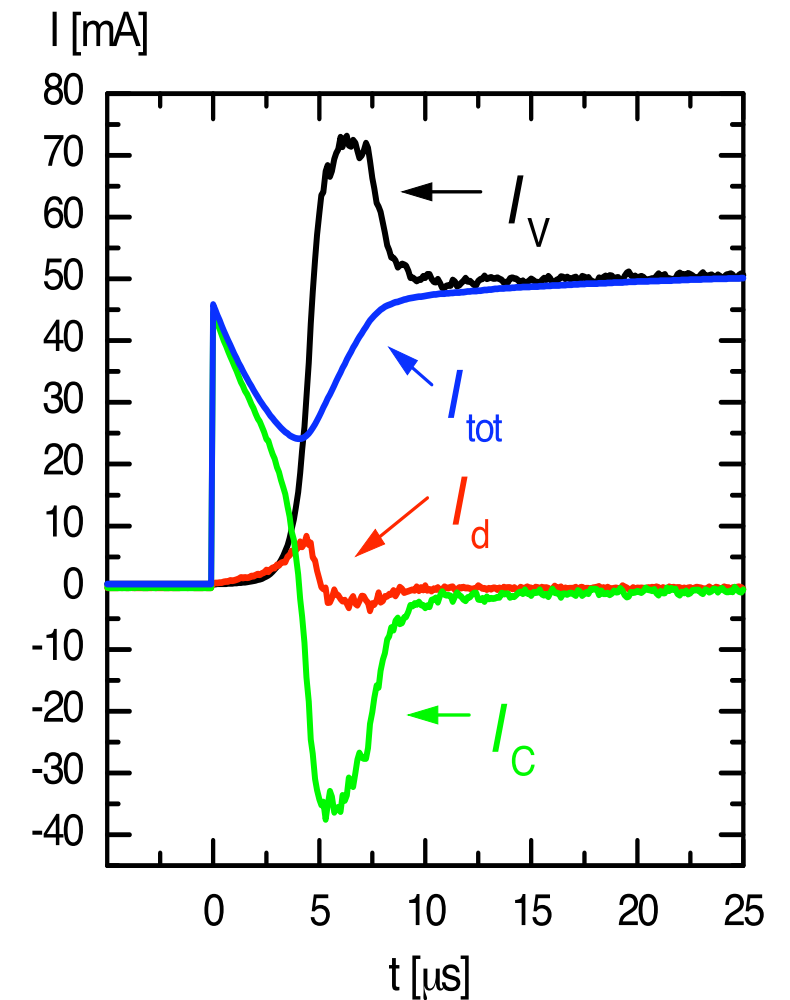
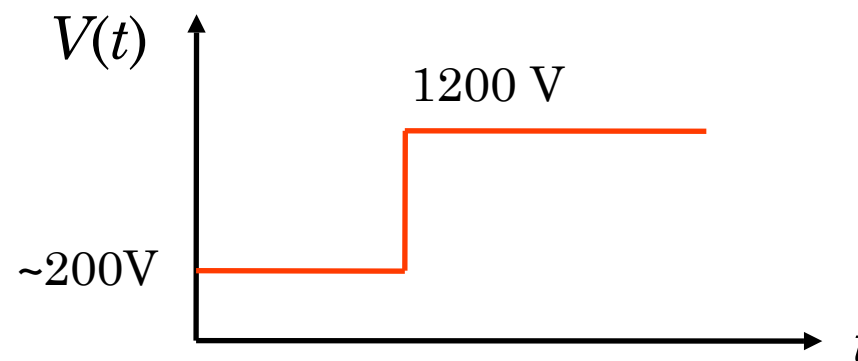
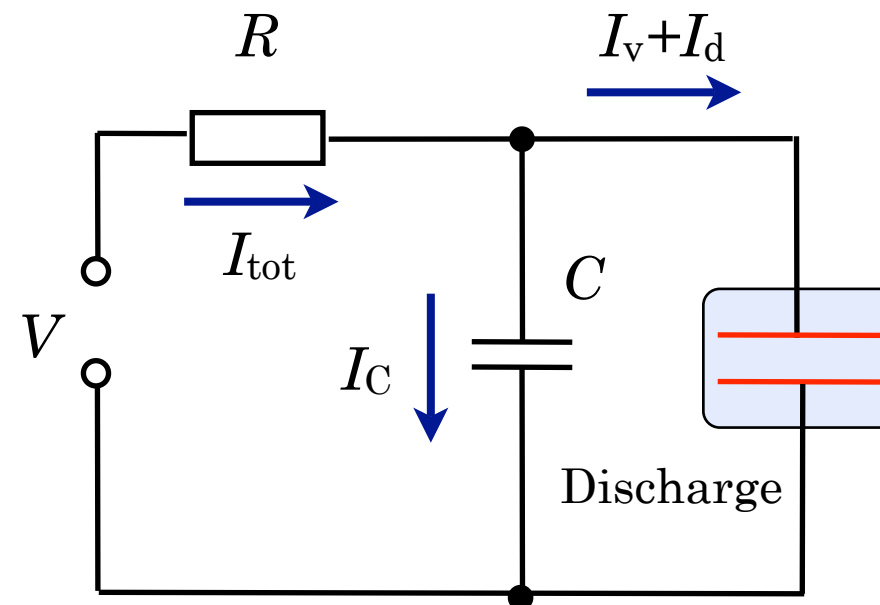


$$I_{\text{tot}} = I_v + I_d + I_C$$

$$I_d = \varepsilon_0 \int_{(\text{Cath.})} \frac{dE_n}{dt} dA$$

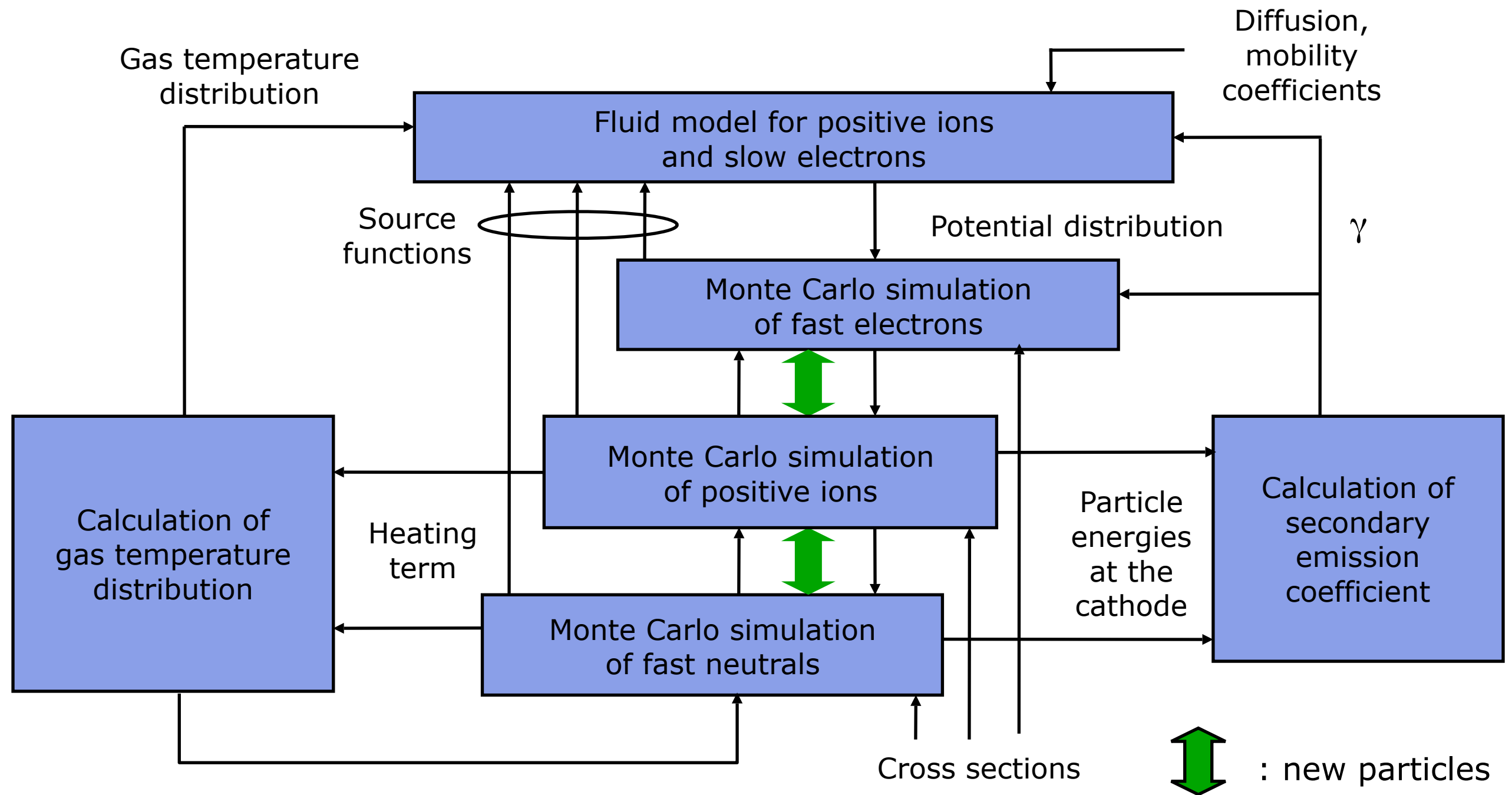
$$V_{\text{DC}} = V - RI_{\text{tot}}$$

$$I_C \cong C \frac{\Delta V}{\Delta t}$$



Experiments: B. M. Jelenković and A. V. Phelps, J. Appl. Phys. **85**, 7089 (1999)

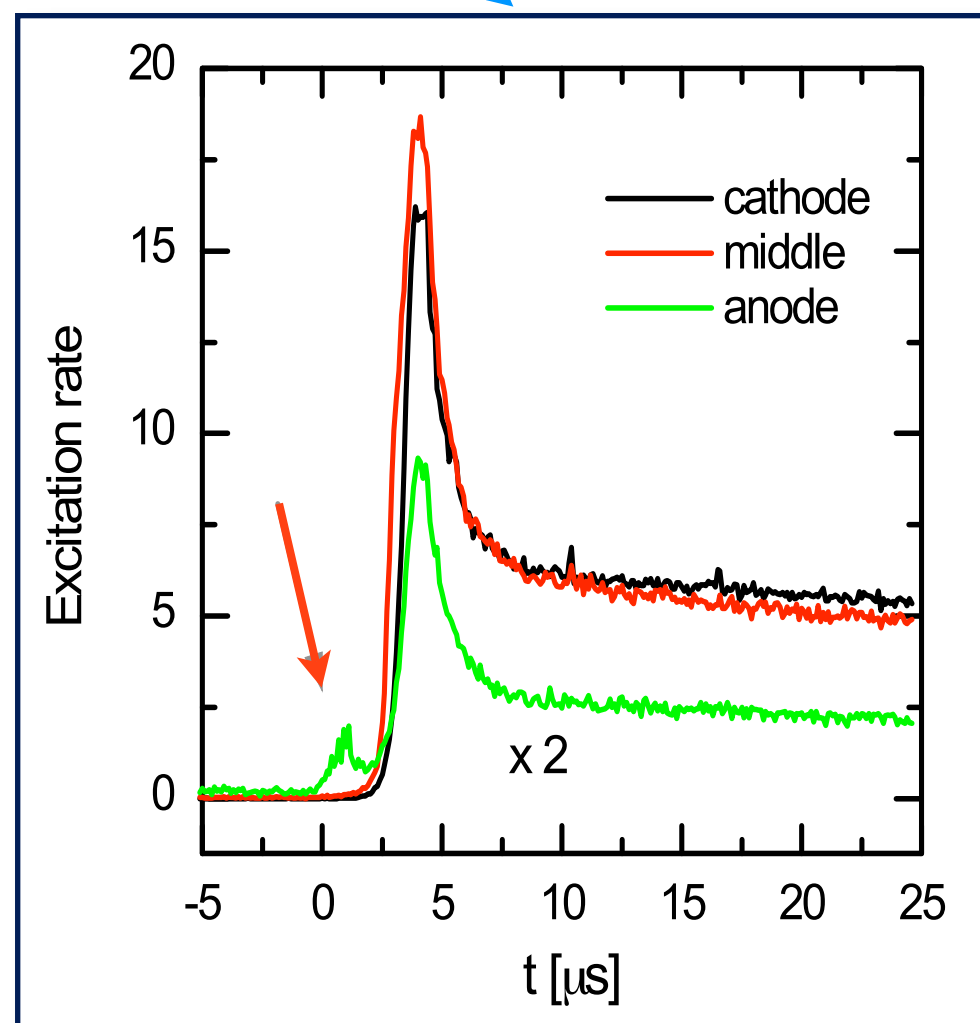
# Heavy-particle hybrid model



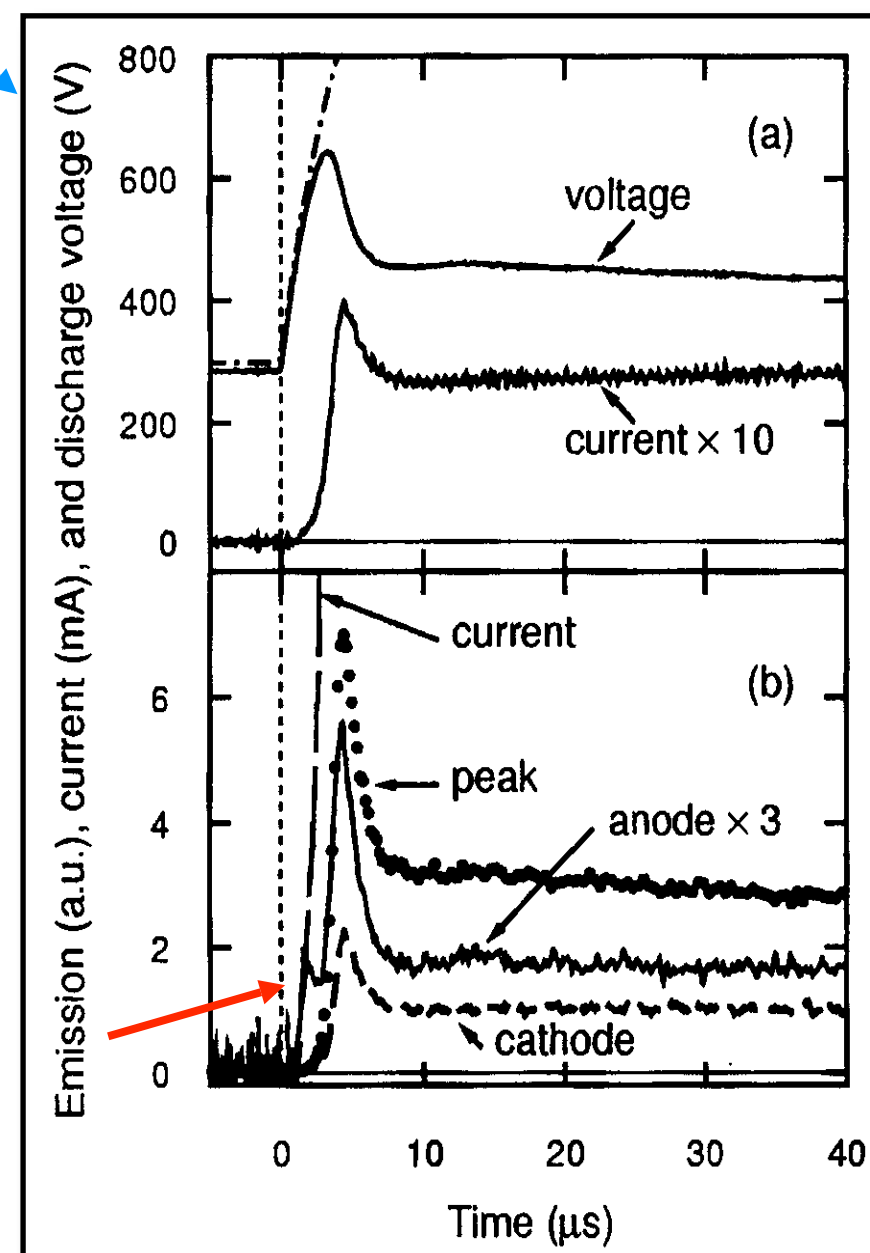
Z. Donkó, Phys. Rev. E **64**, 026401 (2001).

# Discharge transient: temporal changes of light intensity

Simulations



Measurement



Z. Donkó, J. Appl. Phys. 88, 2226 (2000)

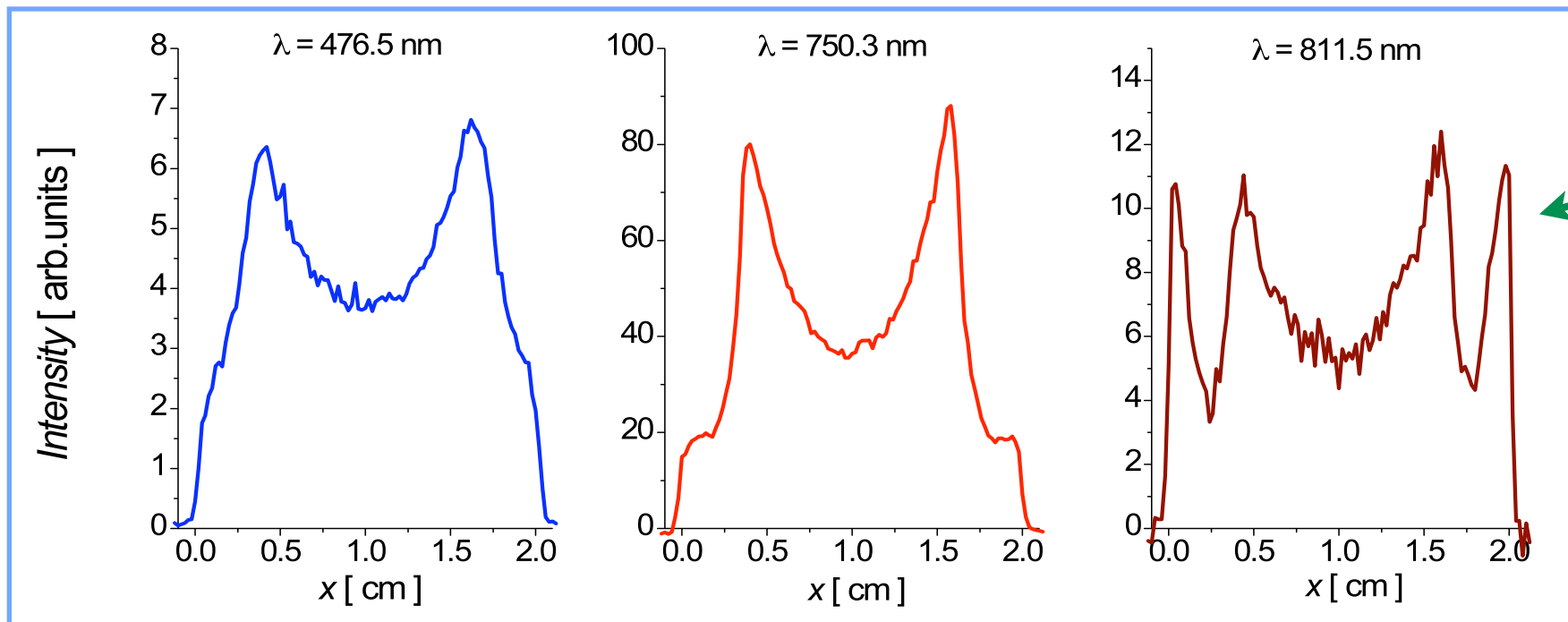
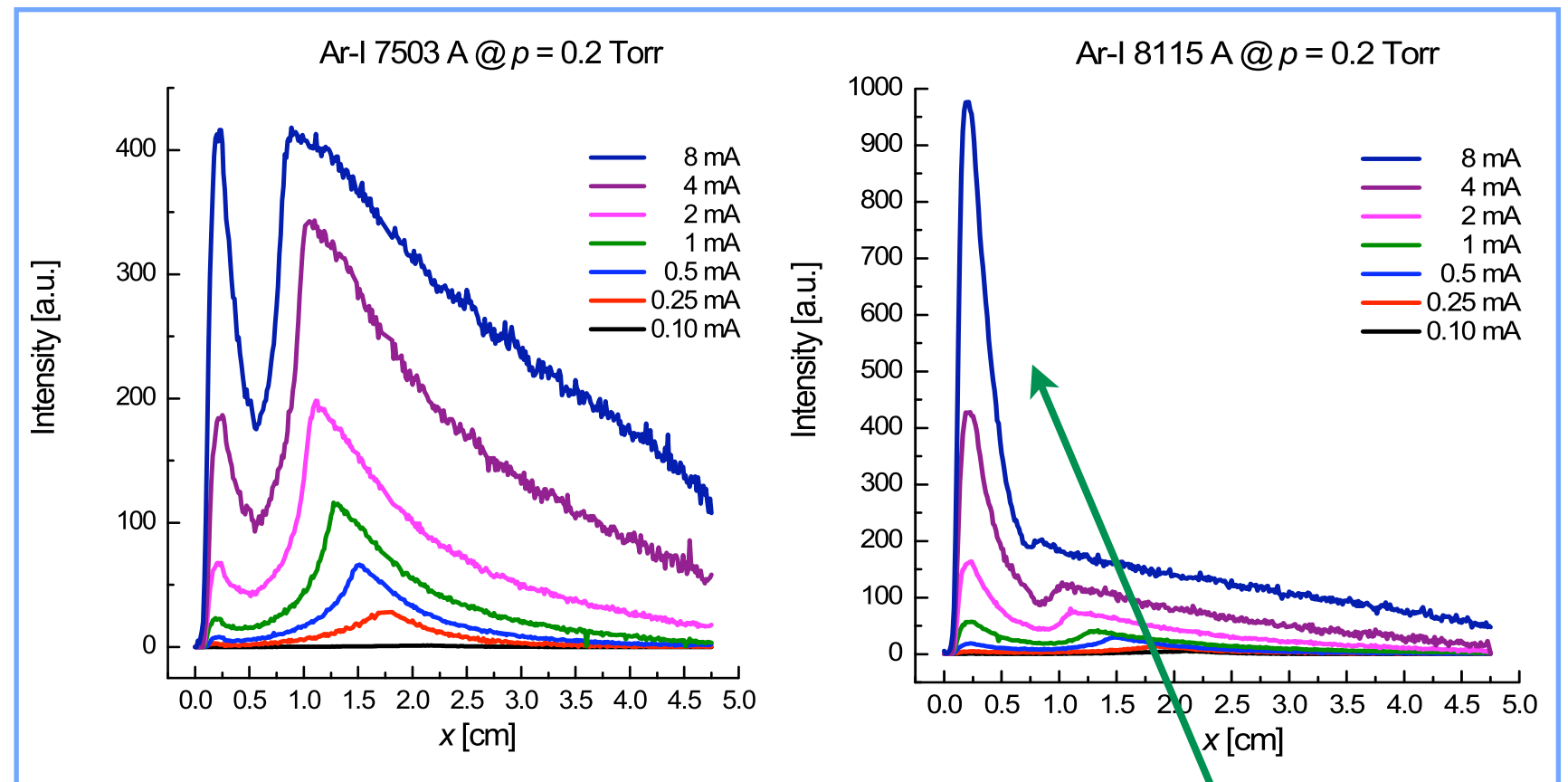
B. M. Jelenković and A. V. Phelps, J. Appl. Phys. 85, 7089 (1999)



# Heavy-particle excitation in the cathode region

Spatial distributions of spectral line intensities over a plane cathode

K. Rózsa, A. Gallagher, Z. Donkó,  
Phys. Rev. E 52, 913 (1995).



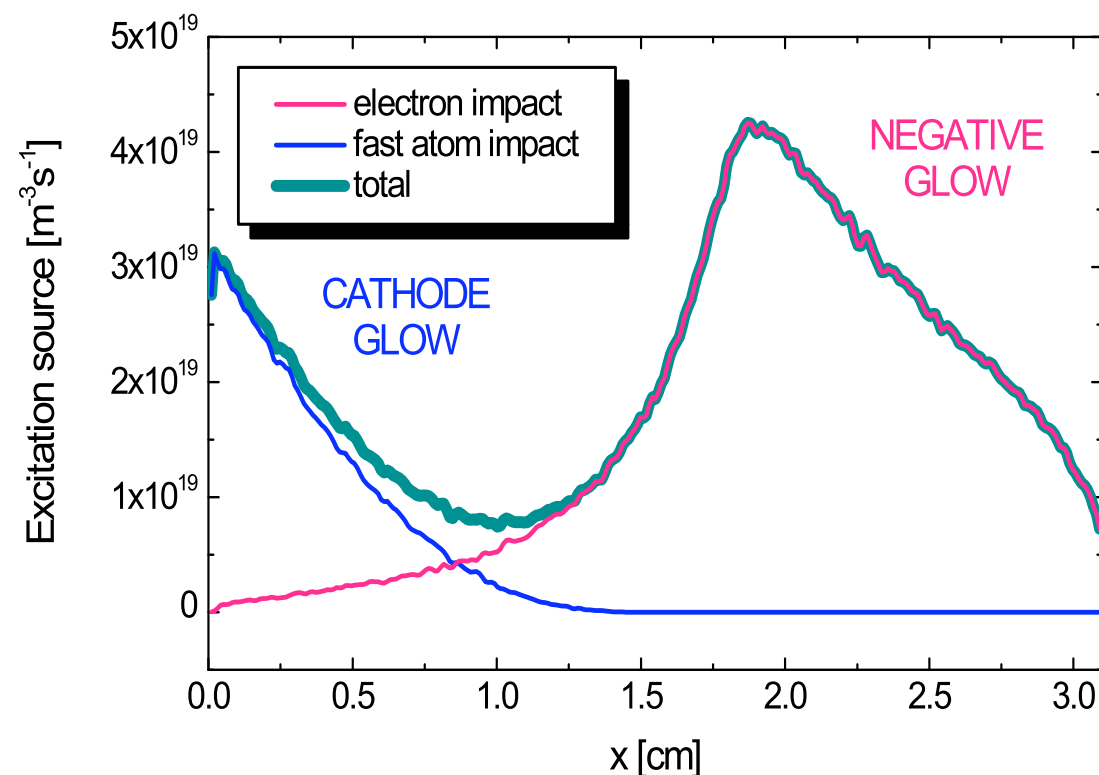
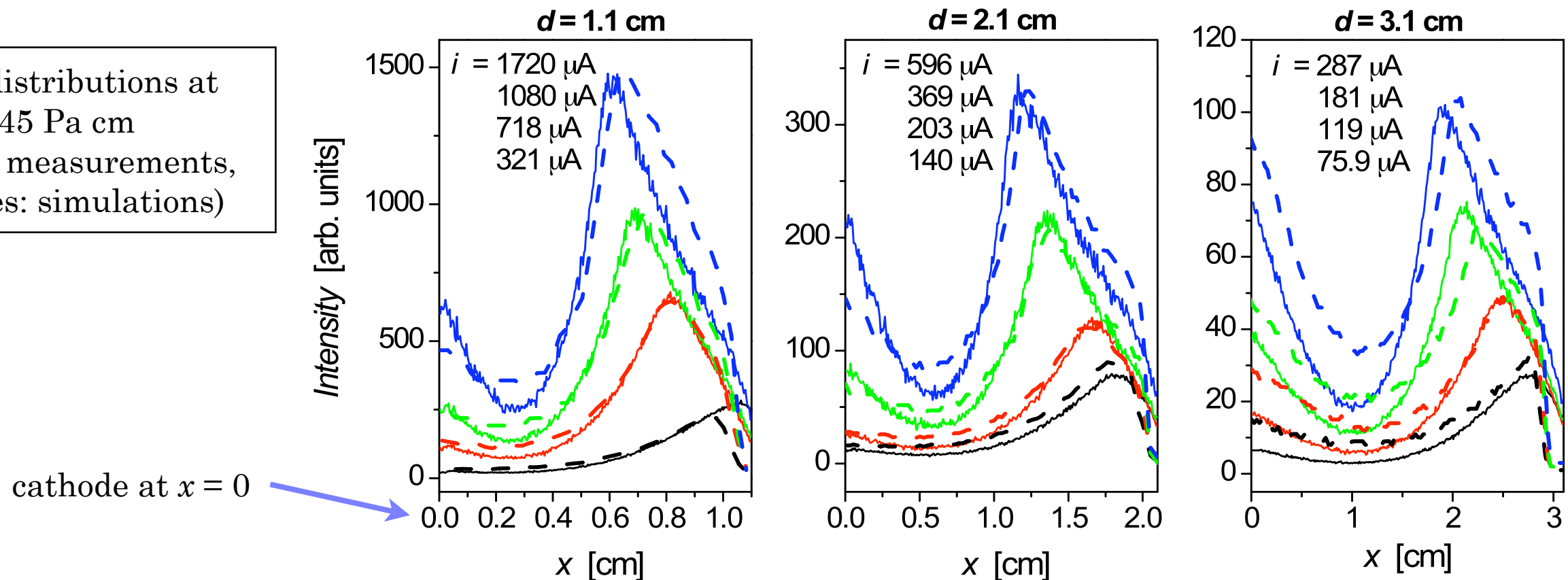
Especially sensitive  
to heavy-particle  
excitation

Line intensities in a  
hollow cathode discharge  
with plane & parallel  
cathodes

K. Kutasi and Z. Donkó,  
J. Phys. D. **33**, 1081 (2000).

# Heavy-particle excitation in the cathode region

Intensity distributions at  
 $pd = 45 \text{ Pa cm}$   
(Solid lines: measurements,  
dashed lines: simulations)



The source of excitation can easily be decomposed in the simulation

NEGATIVE GLOW: electron impact  
CATHODE GLOW: fast atom impact

D. Marić, P. Hartmann, G. Malović, Z. Donkó, Z. Lj. Petrović,  
J. Phys. D **36**, 2639 (2003).

- **Charged particle kinetics**
  - Fluid vs. kinetic description of transport
  - Basics of Monte Carlo simulation
  - Velocity distribution functions and transport parameters in homogeneous field
  - Spatio-temporal relaxation of the electron gas
- **Modeling of cold-cathode DC glow discharges**
  - Fluid models - how far can we go without kinetic simulations?
  - Hybrid models - ionization source calculated at kinetic level
- **Heavy particle processes in low-pressure discharges**
  - Breakdown, transients, light emission